

MINUTES OF THE SPECIAL MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
JULY 13, 2016

Pursuant to notice, a special meeting of the Board of Trustees of Southern Illinois University convened at 1:30 p.m., Wednesday, July 13, 2016, in the Lincoln Conference Room, Southern Illinois University School of Medicine, Springfield, Illinois. The meeting was called to order by Chair Randal Thomas. The following members of the Board were physically present:

MG (Ret) Randal Thomas, Chair  
Dr. Donna Manering, Vice Chair  
Mr. Joel Sambursky, Secretary  
Hon. J. Phil Gilbert  
Dr. Roger Herrin  
Mr. Ryan Johnson  
Dr. Shirley Portwood  
Ms. Amy Sholar  
Ms. Naomi Tolbert

Also present for the duration of the meeting were Dr. Randy J. Dunn, President; Mr. Lucas Crater, General Counsel; and Ms. Misty Whittington, Executive Secretary of the Board. Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs; and Mr. John Charles, Executive Director for Governmental and Public Affairs; attended a portion of the meeting.

Chair Thomas explained the procedures for the public comment and question portion of the Board's agenda.

Executive Secretary Whittington advised that no speakers had registered to speak.

Mr. Kevin Bame, Vice Chancellor for Administration, SIUC; and Ms. Lori Stettler, Interim Vice Chancellor for Student Affairs, SIUC; provided a review of issues regarding the Southern Hills, Greek Row, and Stone Center properties at the Carbondale campus.

President Dunn reviewed the diversity initiatives framework under consideration by the Board.

Chair Thomas reviewed that the Board retreat was scheduled for August 25-26, 2016, at the Touch of Nature Environmental Center. He invited Board members to submit to him their preferred agenda topics.

Chair Thomas distributed a draft 2017 Board of Trustees meeting schedule and invited the members to advise him of scheduling conflicts.

At 2:30 p.m., Trustee Manering moved that the Board go into closed session to consider imminent and/or pending court proceedings against or on behalf of the Board; appointment, employment, compensation, discipline, performance, or dismissal of specific employees; matters related to students; and review of minutes of meetings lawfully closed under the Open Meetings Act. The relevant sections of the Open Meetings Act Statute that allow for the closed session are 5 ILCS 120/2(c) (1), (10), (11), (21). The motion was duly seconded by Trustee Gilbert. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Roger Herrin, Dr. Donna Manering, Dr. Shirley Portwood, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, none.

No action was taken in closed session.

Trustee Sholar made a motion to adjourn the meeting. The motion was duly seconded by Trustee Herrin, and it passed unanimously by voice vote.

The meeting adjourned at 4:38 p.m.

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Joel Sambursky, Secretary

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
JULY 14, 2016

Pursuant to notice, a regular meeting of the Board of Trustees of Southern Illinois University convened on Thursday, July 14, 2016, at 10:17 a.m. in the Auditorium of the Southern Illinois University School of Medicine, 801 North Rutledge, Springfield, Illinois. The meeting was called to order by Chair Randal Thomas. The following members of the Board were physically present:

MG (Ret) Randal Thomas, Chair  
Dr. Donna Manering, Vice Chair  
Mr. Joel Sambursky, Secretary  
Hon. J. Phil Gilbert  
Dr. Roger Herrin  
Mr. Ryan Johnson  
Dr. Shirley Portwood  
Ms. Amy Sholar  
Ms. Naomi Tolbert

Executive Officers present were:

Dr. Randy J. Dunn, President, SIU  
Dr. Brad Colwell, Interim Chancellor, SIU Carbondale  
Dr. Stephen Hansen, Interim Chancellor, SIU Edwardsville  
Mr. Lucas Crater, General Counsel  
Dr. Jim Allen, Acting Vice President for Academic Affairs

Also present was Ms. Misty Whittington, Executive Secretary of the Board.

Chair Thomas led the group in the Pledge of Allegiance.

NOTE: Copies of all background documents furnished to the Board in connection with the following matters have been placed on file in the Office of the Board of Trustees.

The Executive Secretary reported and Chair Thomas determined that a quorum was physically present.

Chair Thomas welcomed newly elected SIU Carbondale Student Trustee Naomi Tolbert and SIU Edwardsville Student Trustee Ryan Johnson to their first Board meeting.

The Chair announced that at the prior day's executive session meeting, the Board reviewed excerpts of executive session meeting minutes for a period through March 2016 and determined certain portions no longer required confidential treatment, and those releases would be made available for public inspection on the Board of Trustees website.

Chair Thomas appointed Mr. Johnson to serve as a member of the Finance Committee and Architecture and Design Committee. He appointed Ms. Tolbert to serve as a member of the Academic Matters Committee and Audit Committee.

Trustee Portwood moved that the Minutes of the Meetings held May 4 and 5, 2016, be approved. Trustee Sambursky seconded the motion, and the minutes were approved unanimously by voice vote.

Under Trustee Reports Chair Thomas reported that on the prior day he and President Dunn attended the Joint Trustee Committee meeting at the School of Medicine along with representatives of St. John's Hospital and Memorial Medical Center. The Chair noted that Dean Kruse would be providing items discussed during his report to the Board.

Under Committee Reports, Chair Thomas reported that the Executive Committee had not met since his last report to the full Board except for the morning's

public Executive Committee meeting where it approved a member of the Southern Illinois Research Park Board, SIUC.

Under Executive Officer Reports, President Dunn provided his report for the Southern Illinois University system. The President spoke on the need to begin some long-range system strategic improvement planning. He noted the Board would consider this at its August retreat. He discussed the SIU system dashboard and the set of metrics that it would provide for the entire system. He spoke of the various areas of data that would be available and showed the Board how to navigate the site. President Dunn discussed the system diversity and inclusion initiatives amendments on the agenda for the meeting.

The President discussed the newly selected Chancellor for SIU Edwardsville, Dr. Randy Pembroke, an SIU Edwardsville two-degree alum who would soon begin his duties. Dr. Dunn thanked Dr. Stephen Hansen for serving as Interim Chancellor for the past year at that campus. The President reported the outcome of the U.S. Supreme Court ruling on the case of Fisher v. University of Texas whereby the ruling was to allow a narrow tailoring of use of race as a factor in admitting students. He informed the Board of guidance that was issued from the Department of Justice and the Department of Education regarding transgendered students. President Dunn stated that the General Counsel had been working on the subject, and at this point the campuses are allowed to navigate through as necessary. He informed the Board that the Department of Labor issued rules amending overtime regulations. He provided an overview of the rules and stated that staff are looking into the issue and the costs associated with the changes.

President Dunn asked Executive Director for Governmental and Public Affairs John Charles to provide an update on key legislation. Mr. Charles reported that a bill had passed that would allow the P-20 Council to review the issue of college affordability. In addition, the bills regarding executive compensation at universities were mentioned. Mr. Charles reported one bill moving forward provides professional development for members of boards of trustees, and a second bill sets forth requirements for the hiring and extension of executive contracts which SIU is already in compliance. He noted that the bill related to liquor sales on SIU campuses was awaiting the Governor's signature. Mr. Charles reported that the bill that would allow the University to sell surplus property and use the proceeds for student scholarships did not advance through the General Assembly. One of the bills discussed was one that would have taken away the potential to ask a student if they have a criminal background on admissions applications. Mr. Charles noted that he was working with the Governor's Office and the Office of Boards and Commissions for an appointment to hopefully be made soon of the voting student trustee for the University.

In conclusion, President Dunn reviewed the impact of the stopgap funding for each of the campuses.

Dr. Brad Colwell, Interim Chancellor, SIU Carbondale, provided his report for the Carbondale campus. Dr. Colwell spoke of his first 287 days and provided a visual representation of how his time had been spent. He spoke of recruitment and the need to get started quickly for fall 2017.

Chancellor Colwell discussed the budget and said he was grateful for the stopgap funding. He informed the Board of permanent budget cuts that were needed for

the current fiscal year. He spoke of positions that were eliminated, 155 vacant positions that would not be filled and 17 staff reductions. He also informed the Board that staff were watching grant funding and the slow pace the monies were being received. In addition, reductions were being considered through strategic consolidations of programs and academic units. He reported that committees were formed to look at academic as well as non-academic consolidation of programs.

The Chancellor discussed enrollment for the campus. He informed the Board that summer enrollment was 5.1 percent lower than summer 2015 which appeared to be a state trend. Off-campus enrollment continued to increase. He stated that fall enrollment would be down largely due to the perceptions associated with the state budget impasse. Dr. Colwell stated that they are following border states to see what their growth of students are and have spoken with other institutions and they have reported they are seeing a large influx of Illinois students. He thanked the Board for their decision to support MAP grant funding for the fall semester.

Chancellor Colwell spoke of diversity initiatives and the commitment to diversity and inclusivity on the campus. He distributed a 17-point memo in June introducing what he thought the University needed to undertake on the campus to build unity. He told the Board he is finalizing a Diversity Council with the assistance of different constituencies, and it should be final after the faculty return in August and provide their input.

The Chancellor informed the Board of eclipse planning. He spoke of the academic schedule in regard to the eclipse. Based on his review, he decided to cancel classes on the day of the eclipse to allow students to experience the eclipse and to

minimize traffic into campus. Offices will remain open to serve students. Dr. Colwell spoke about the move-in process and informed the Board there should not be problems as the students would be moved in prior to the start of eclipse traffic. He informed the Board that NASA will live stream the eclipse which will be an opportunity to showcase the University to millions of viewers.

Chancellor Colwell provided an update on the campus lake. He informed the Board that the campus lake will remain closed due to toxic algae. A plan was identified to improve the health of the lake by lowering the level of water in the entire lake, then isolating and removing the decaying organic materials. The total process should be completed in approximately 12 to 15 months for the lake to return to its current level. The cost should be between \$300,000 and \$350,000, and funds were identified through University Housing, the SIU Foundation and the Chancellor's Office to cover the cost.

The Chancellor spoke of student highlights. Gwen Berry and DeAnna Price, Saluki track and field alumni, earned spots on Team USA for the upcoming Olympic games. The Flying Salukis finished second at the 2016 national collegiate aviation championships at Ohio State University. George Nimmer was the top pilot in the competition, with teammate Davin Miles finishing second. For the 18th time, the campus will host 20 of central Asia's most promising undergraduate students for a month of studying the nation's political system and gaining a better understanding of southern Illinois. The students are from Turkey, Armenia, Azerbaijan and Georgia.

Chancellor Colwell provided highlights on various campus programs. An award was received from the Latin American Scholarship Program of American Universities for the assistance the campus provides to international students. The Dental

Hygiene Clinic is engaged in outreach through the community this summer by providing dental services for children in multiple southern Illinois communities. The sixth annual Give Adults a Smile Day, along with the inaugural Give Veterans a Smile Day was held. In addition, the campus received notification that it was ranked in the top 2.5 percent of 25,000 universities worldwide by the Center for World University Rankings.

Dr. Stephen Hansen, Interim Chancellor, SIU Edwardsville provided his report for the Edwardsville Campus. He reviewed accomplishments of the campus over the past year. His goal was to set the stage for the permanent Chancellor by stabilizing the budget, focusing on the university's priorities, and sustaining enrollment. The goal was to make sure SIUE did not lose any momentum while he was serving on an interim basis. He informed the Board that in the fall of 2015 the campus had the highest enrollment in history with over 14,000 students. It had a high retention rate for spring 2016 with a 1.3 percent growth over the previous spring. He noted the summer enrollment numbers were not in to date. He did indicate that at least 51 percent of the 6,100 students were online and off-campus students. The enrollment numbers for fall 2016 show an approximate 1 percent increase compared to last year's rate due to transfer students and the high retention rate. The Chancellor spoke of the 9 percent budget realignment implemented last November. He told the Board they had reduced the budget by \$12.5 million and managed a 22 percent reduction in state appropriations which had made them able to manage the cash flow and focus their resources on student scholarships and programs.

The Chancellor convened a congress made up of elected representatives, the faculty senate, the staff senate, student government and the direct reports to the Vice

Chancellors. The congress was designed to help make forward progress by looking at strategies for revenue growth, program prioritization, the budget process, and enrollment growth. The congress had met three times and has challenged itself to consider how the campus can think anew and act anew. He stated the group particularly wanted to make sure the campus was always improving the quality of its programs.

Chancellor Hansen discussed challenges the campus was facing. They are facing a challenge with retaining faculty and staff, academic prioritization and program prioritization. Ongoing issues are maintaining enrollment and recruiting new students, retaining faculty and staff, academic prioritization and program prioritization. A goal is to improve quality and increase program offerings for students, and the budget continues to be a challenge as the campus attempts to raise new revenue with uncertain state appropriations.

The Chancellor discussed ways SIUE sets itself apart from other institutions. He noted the campus has a distinctive balance between a strong liberal arts core surrounded by a constellation of professional programs in education, engineering, pharmacy, dental, nursing and the other programs. He spoke of the balance between faculty who are excellent teachers in the classroom as well as scholars. Edwardsville has a commitment to excellence, and there is a shared expectation among the faculty, staff, and students that the next level of excellence can be achieved.

Dr. Jerry Kruse, Dean and Provost, SIU School of Medicine, provided his report. The Dean discussed the unification of clinical functions so they are more equitable and efficient. He said the effort will strengthen ties with the community by bringing community expertise into the school and SIU healthcare and improving that outreach.

Dean Kruse discussed the five new clinical leaders at the SIU School of Medicine. He informed the Board there had been a turnover on the administrative side with three new senior leaders. Dean Kruse talked about the importance of outreach to the community. He informed the Board about the pending title change for the Associate Dean for Diversity. He spoke of how SIU, the Chamber of Commerce, and the Sangamon County Board had led an effort to pull together the city's medical groups and their leaders that were doing innovative work, as well as six of the major businesses in town to start a collaboration and interaction.

Dean Kruse discussed two major grants recently received: the National Institutes of Health's Precision Medicine Initiative cohort study; and the U.S. Department of Health and Human Service/Health Resources and Service Administration/Bureau of Health Professions' Primary Care Training and Enhancement grant in the Medical School's Department of Family and Community Medicine. The Dean gave a briefing of the Sangamon County Medical Innovators Awards. The SIU School of Medicine received nine awards. This was the largest number ever given and the only time that full-time SIU faculty members were the only awardees.

The Chairman reviewed the procedure for the Public Comments portion of the agenda.

Executive Secretary Whittington reported that no speakers had registered to speak.

Chair Thomas recognized constituency representatives in the audience.

Mr. Johnathan Flowers, Vice President for Academic Affairs of the Graduate and Professional Student Council, SIUC, expressed views of concern that students were

not able to provide adequate input regarding the diversity initiatives item under consideration.

Chair Thomas made a motion to recess the meeting for five minutes. Trustee Herrin duly seconded the motion. The motion passed unanimously via voice vote.

Trustee Herrin departed the meeting at 11:40 a.m.

Trustee Sambursky made a motion to reconvene the meeting. The motion was duly seconded by Trustee Sholar. The motion passed unanimously via voice vote.

Under the Application for Appeal item on the agenda, the Chair reported that this was the first meeting held ten days following the recommendation by President Dunn that the Application for Appeal be denied. According to Article 6, Section 2 of the Bylaws of the Board of Trustees, if no motion to grant the Application for Appeal is approved, the Board of Trustees Bylaws provide that the Application for Appeal would be deemed to be denied and the action which was the subject of the grievance would stand. Hearing none, the Chair stated that the Application for Appeal was denied and the action which was the subject of the grievance would stand.

Chair Thomas explained the procedure for the Board's omnibus motion, and the listing of items proposed for the omnibus motion was as follows:

REPORTS OF PURCHASE ORDERS AND CONTRACTS,  
APRIL AND MAY 2016, SIUC AND SIUE

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of April and May 2016, were mailed to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

APPROVAL OF NEW PROGRAMS,  
REASONABLE AND MODERATE EXTENSIONS,  
APPROVAL OF OFF-CAMPUS PROGRAM LOCATIONS, AND  
ACADEMIC PROGRAM ELIMINATIONS AND SUSPENSIONS  
JULY 1, 2015 THROUGH JUNE 30, 2016

**New Program Additions**

**SIUC**

Master of Health Administration, School of Allied Health, College of Applied Sciences and Arts, approved by the Illinois Board of Higher Education, December 1, 2015.

Master of Health Informatics, School of Allied Health, College of Applied Sciences and Arts, approved by the Illinois Board of Higher Education, December 1, 2015.

Master of Arts in Pharmacology, Department of Pharmacology, School of Medicine, approved by the Illinois Board of Higher Education, January 21, 2016.

Bachelor of Science in Fermentation Science, Administration in Provost's Office, approved by the Illinois Board of Higher Education, March 1, 2016.

**SIUE**

Professional Development Sequence in Teaching with Technology to the MEd in Instructional Technology, Department of Instructional Technology, School of Education, Health, and Human Behavior, approved by the President, June 19, 2015.

Professional Development Sequence in Online Pedagogy to the MEd in Instructional Technology, School of Education, Health, and Human Behavior, approved by the President, June 19, 2015.

Bachelor of Science in Middle Level Education, Department of Curriculum and Instruction, School of Education, Health, and Human Behavior, approved by the Illinois State Board of Education, February 13, 2015 and approved by the Illinois Board of Higher Education, August 7, 2015.

Bachelor of Arts in International Studies, College of Arts and Sciences, approved by the Illinois Board of Higher Education, August 11, 2015.

Bachelor of Science in Mechatronics and Robotics Engineering, Department of Mechanical and Industrial Engineering, School of Engineering, approved by the Illinois Board of Higher Education, August 11, 2015.

Bachelor of Arts/Bachelor of Science in Environmental Sciences, College of Arts and Sciences, approved by the Illinois Board of Higher Education, December 1, 2015.

Master of Science in Pharmaceutical Sciences, School of Pharmacy, approved by the Illinois Board of Higher Education, December 1, 2015.

Professional Development Sequence in Emerging Technologies to the MEd in Instructional Technology, School of Education, Health, and Human Behavior, approved by the President, April 4, 2016.

Professional Development Sequence in Instructional Design to the MEd in Instructional Technology, School of Education, Health, and Human Behavior, approved by the President, April 4, 2016.

### **Program Modifications**

#### **SIUC**

Relocated curriculum from the Master of Arts/Master of Business Administration in Media Theory and Research to the Master of Science in Professional Media/Media Management to allow for concurrent degree with the Master of Business Administration, College of Mass Communication and Media Arts and the College of Business, approved by the Illinois Board of Higher Education, July 15, 2015.

Renamed the Bachelor of Science in Fire Service Management to Bachelor of Science in Public Safety Management, College of Applied Sciences and Arts, approved by the President, March 15, 2016.

Renamed the Master of Science in Fire Service and Homeland Security Management to Master of Science in Public Safety and Homeland Security Management, College of Applied Sciences and Arts, approved by the President, March 15, 2016.

Renamed the Master of Arts in Applied Linguistics to the Master of Arts in Linguistics, College of Liberal Arts, approved by the President, April 22, 2016.

Renamed the Bachelor of Science in Engineering Technology to Electrical Engineering Technology, College of Engineering, approved by the President, June 2, 2016.

Reassigned the CIP code for the Bachelor of Science in Electrical Engineering Technology from 15.0000 to 15.0303, College of Engineering, approved by IBHE, June 14, 2016.

## SIUE

Changed the requirements for Concurrent PharmD and Master of Business and Administration degrees, School of Pharmacy and School of Business, approved by SIUE Chancellor, July 11, 2012. *Note: The concurrent PharmD and Master of Business Administration Degrees were not submitted to IBHE in 2012 since the two programs remained autonomous and intact. The IBHE requested that the concurrent opportunity be reported in this year's additions and deletions report.*

Reassigned the CIP code for the Bachelor of Science/Master of Science in Computer Management and Information Systems degree from 52.1201 (Management Information Systems, General) to 11.0101 (Computer and information Sciences, General), approved by the Illinois Board of Higher Education, July 15, 2015.

Merged the Department of Curriculum and Instruction with the Department of Teaching and Learning, School of Education, Health, and Human Behavior, approved by the President, January 11, 2016.

Merged the Department of Kinesiology and Health Education with the Department of Applied Health, School of Education, Health, and Human Behavior, approved by the President, January 11, 2016.

Changed the requirements for Master's Degree in Business Administration (MBA), to allow for a concurrent Doctor of Nursing Practice (DNP), School of Business, approved by President, February 22, 2016.

Changed the requirements for post-master's Doctor of Nursing Practice (DNP) to allow for concurrent MBA with School of Business, approved by the President, February 24, 2016.

Renamed the Bachelor of Science in Health Education to Bachelor of Science in Public Health, approved by the President, March 25, 2016.

Reassigned the CIP code for the Bachelor of Science in Public Health from 13.1307 (Health Teacher Education) to 51.2207 (Public Health and Promotion), approved by the Illinois Board of Higher Education, March 28, 2016.

## **Specializations/Concentrations/Options/Minors Added**

### SIUC

Added an Agribusiness Economics Concentration to the online Master of Business Administration, College of Business, approved by the President, June 19, 2015.

Added an Industrial and Quality Engineering Concentration to the PhD in Engineering Services, College of Engineering, approved by the President, June 19, 2015.

Added a Professional Pilot Specialization to the Bachelor of Science degree in Aviation Management, College of Applied Sciences and Arts, approved by the President, July 1, 2015.

Added an Agricultural Education Minor to Agricultural Systems and Education, College of Agricultural Sciences, approved by the President, November 18, 2015.

Added an online Education and Management Specialization in Dental Hygiene, College of Applied Sciences and Arts, approved by the President, November 30, 2015.

Added a Pharmacology and Neuroscience Concentration in the Master of Arts in Pharmacology, School of Medicine, approved by the Illinois Board of Higher Education, January 21, 2016.

Added a Fire Service Management; Emergency Medical Services; and Emergency Management Administration Specializations in Public Safety Management, College of Applied Sciences and Arts, approved by the President, March 15, 2016.

Renamed the Army Military Science Minor to Leadership Military Science Minor, approved by the President, April 4, 2016.

Added a Neuroscience Minor in the Department of Psychology, College of Liberal Arts, approved by the President, April 4, 2016.

Added Construction Management and Operations Minor to the BS in Construction Management, College of Applied Sciences and Arts, approved by the President, June 2, 2016.

### SIUE

Added an Environmental Health Specialization in the Bachelor of Arts/Bachelor of Science in Environmental Sciences degree, College of Arts and Sciences, approved by the Illinois Board of Higher Education, December 1, 2015.

Added an Environmental Toxicology Specialization in the Bachelor of Arts/Bachelor of Science in Environmental Sciences degree, College of Arts and Sciences, approved by the Illinois Board of Higher Education, December 1, 2015.

Added an Environmental Management Specialization in the Bachelor of Arts/Bachelor of Science in Environmental Sciences degree, College of Arts and Sciences, approved by the Illinois Board of Higher Education, December 1, 2015.

Added a Distance Education Option in MEd in Instructional Technology, School of Education, Health, and Human Behavior, approved by the President, April 4, 2016.

Added a Minor in Mechatronics and Robotics Engineering in the Department of Mechanical and Industrial engineering, School of Engineering, approved by the President, February 15, 2016.

Added an Interdisciplinary Minor in Education Studies and Analysis, School of Education, Health, and Human Behavior, approved by the President, February 15, 2016.

Changed the Concentration in Project Management in the MS Computer Management and Information Systems to a specialization, approved by the President, July 9, 2015.

Changed the Concentration in Project Management in the Master in Business Administration to a specialization, approved by the President, July 9, 2015.

Added a Minor in Exercise and Sport Psychology in the Department of Applied Health, School of Education, approved by the President, February 22, 2016.

Created an interdisciplinary Minor in Digital Humanities and Social Sciences, approved by President, March 15, 2016.

Added a Combined Bachelor of Science and Master of Science (3+2) Option in Industrial Engineering, School of Engineering graduate program, approved by the President, April 29, 2016.

Added a Diversity and Social Justice Specialization to the Bachelor of Arts/Bachelor of Science in Sociology, College of Arts and Sciences, approved by the President, May 12, 2016.

Added an Applied Historical Methods Specialization to the Bachelor of Arts/Bachelor of Science in History, College of Arts and Sciences, approved by the President, May 12, 2016.

### **Academic Unit Changes/Additions**

None

**Organized Research and Service Centers****SIUC**

Eliminated the Pontikes Center, College of Business, approved by the President, July 1, 2015.

Eliminated the Center for Health Law and Policy, School of Law, approved by the President, April 27, 2016.

**SIUE**

None

**Administrative Units**

None

**Certificates****SIUC**

Renamed the Addiction Studies Post-Baccalaureate Certificate to Substance Use Disorders and Behavioral Addictions, Rehabilitation Institute, College of Education and Human Services, approved by President, January 14, 2016.

Added a College Teaching Post-Baccalaureate Certificate, College of Education and Human Services, approved by President, January 14, 2016.

**SIUE**

Eliminated the Post-Master's Certificate in Medical Surgical Nursing, School of Nursing, approved by President, November 2, 2015.

Eliminated the Post-Master's Certificate in Psychiatric Mental Health Nursing, School of Nursing, approved by President, November 2, 2015.

Eliminated the Post-Master's Certificate in Public Health Nursing, School of Nursing, approved by President, November 2, 2015.

Renamed the Post-Baccalaureate Certificate in American and English Literature to Literature, approved by President, March 15, 2016.

Added a Post-Baccalaureate Certificate in Classroom Technologies, School of Education, Health, and Human Behavior, approved by the President, April 4, 2016.

### **Off-Campus Program Approvals**

#### **SIUC**

Bachelor of Science in Fire Service Management, School of Architecture for out-of-region locations in Chicago and South Metro regions, approved by Illinois Board of Higher Education, March 7, 2016.

#### **SIUE**

None

### **Off-Campus Program Eliminations**

None

### **Academic Program Suspensions/Eliminations**

#### **SIUC**

Eliminated the Master of Art/Master of Business Administration in Media Theory and Research (concurrent degrees), College of Mass Communication and Media Arts and College of Business, approved by the Illinois Board of Higher Education, July 15, 2015.

Eliminated the Bachelor of Science in Art, College of Education and Human Services, approved by the President, April 4, 2016.

Eliminated the Art Education Specialization in the Bachelor of Science in Art, College of Education and Human Services, approved by the President, April 4, 2016.

Eliminated the Piano Pedagogy Specialization in the Department of Music, College of Liberal Arts, approved by the President, April 4, 2016.

Eliminated the Health Law and Policy Concentration in the Master of Laws (LLM), School of Law, approved by the President, April 22, 2016.

Eliminated the Health Law and Policy Concentration in the Master of Legal Studies (MLS), School of Law, approved by the President, April 22, 2016.

Eliminated the Master of Legal Studies Degree (MLS), School of Law, approved by the President, April 22, 2016.

Eliminated the Master of Laws Degree, School of Law (LLM), approved by the President, April 22, 2016.

### SIUE

Eliminated the Master of Science in Medical Surgical Nursing, School of Nursing, approved by President, November 2, 2015.

Eliminated the Master of Science in Psychiatric Mental Health Nursing, School of Nursing, approved by President, November 2, 2015.

Eliminated the Master of Science in Public Health Nursing, School of Nursing, approved by President, November 2, 2015.

Eliminated the Mathematics Education Minor, Department of Mathematics and Statistics, College of Arts and Sciences, approved by the President, January 4, 2016.

Eliminated the Learning Behavior Specialist II Option from the Masters in Special Education, School of Education, Health, and Human Behavior, approved by President, February 22, 2016.

Eliminated the Library Information Specialist Option in MEd in Instructional Technology, School of Education, Health, and Human Behavior, approved by the President, April 4, 2016.

### CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUC

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Percent Change</u>	<u>Salary</u>
1.	Bancroft, Senetta F.	Assistant Professor (51%)/ Assistant Professor (49%)	Curriculum and Instruction/ Chemistry and Biochemistry	08/16/2016		\$ 7,900.00/mo \$ 71,100.00/AY
2.	Barnett, Deborah	Assistant Dean of Students* (previously Coordinator, Non-Traditional Students)	Dean of Students (previously Non-Traditional Students)	05/13/2016	49.27%	\$ 4,833.33/mo \$ 57,999.96/FY (Previous Salary – \$ 3,238.00/mo \$ 38,856.00/FY)
3.	Bilderback, Abigail R.	Assistant Director for Practicum Training* (previously Counseling Psychologist)	Student Health Services	04/20/2016	32.55%	\$ 6,855.58/mo \$ 82,266.96/FY (Previous Salary – \$ 5,172.00/mo \$ 62,064.00/FY)
4.	Black, Mary C.	Senior Lecturer** (previously Lecturer)	Center for English as a Second Language	08/16/2016	7.28%	\$ 4,421.00/mo \$ 39,789.00/AY (Previous Salary – \$ 4,121.00/mo \$ 37,089.00/AY)
5.	Brock, Leslie A.	Conference Coordinator	Student Center	05/15/2016		\$ 3,583.33/mo \$ 42,999.96/FY
6.	Chang, Sarah E.	Senior Lecturer** (previously Lecturer)	Center for English as a Second Language	08/16/2016	8.32%	\$ 3,906.00/mo \$ 35,154.00/AY (Previous Salary – \$ 3,606.00/mo \$ 32,454.00/AY)
7.	Dean, Robin	Senior Lecturer** (previously Lecturer)	Mathematics	01/01/2017	6.47%	\$ 4,936.00/mo \$ 44,424.00/AY (Previous Salary – \$ 4,636.00/mo \$ 41,724.00/AY)
8.	Dyer, Richard	Specialist, Rights and Responsibilities***	Associate Chancellor for Institutional Diversity	04/20/2016		\$ 4,041.67/mo \$ 48,500.04/FY
9.	Mousas, Christos	Assistant Professor	Computer Science	08/16/2016		\$ 9,445.00/mo \$ 85,005.00/AY

10.	Nagrodski, Ronald K.	Senior Lecturer** (previously Lecturer)	Mathematics	08/16/2016	6.07%	\$ 5,245.00/mo \$ 47,205.00/AY (Previous Salary – \$ 4,945.00/mo \$ 44,505.00/AY)
11.	Smith, Alison A.	Senior Lecturer** (previously Visiting Assistant Professor)	Cinema and Photography	08/16/2016	5.82%	\$ 5,451.00/mo \$ 49,059.00/AY (Previous Salary – \$ 5,151.00/mo \$ 46,359.00/AY)
12.	Stout, Kyle A.	Senior Lecturer** (previously Lecturer)	ASA Aviation Management and Flight	08/16/2016	11.65%	\$ 2,876.00/mo \$ 25,884.00/AY (Previous Salary – \$ 2,576.00/mo \$ 23,184.00/AY)
13.	Wells, Joanna	Clinical Assistant Professor** (Juvenile Justice)	School of Law Legal Clinic	07/01/2016	5.29%	\$ 5,967.00/mo \$ 71,604.00/FY (Previous Salary – \$ 5,667.00/mo \$ 68,004.00/FY)

\* Promotion of Administrative Professional

\*\* Change from term to continuing per IEA/NEA NTT Faculty Contract

\*\*\*Change from term to continuing

**B. Leaves of Absence with Pay–**

	<u>Name</u>	<u>Type of Leave</u>	<u>Department</u>	<u>% of Leave</u>	<u>Date</u>
1.	Karan, Kavita	Sabbatical	School of Journalism	100%	01/01/2017-05/15/2017 (This leave supersedes previous leave reported on 08/16/2016-12/31/2016 at 100%)

C. Awards of Tenure– None to be reported.

**D. Promotions –**

	<u>Name</u>	<u>Title</u>	<u>Department</u>	<u>Effective Date</u>
1.	Munson, Valerie J.	Clinical Associate Professor	School of Law	07/01/2016

The following changes in faculty-administrative payroll at the School of Medicine/Springfield campus are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Dean and Provost. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Percent Change</u>	<u>Salary</u>
1.	Caswell, Kaylie	Certified Nurse Practitioner*	General OB/GYN	05/04/2016		\$ 7,083.33/mo \$ 84,999.96/FY
2.	Chami, Youssef	Associate Professor of Clinical Internal Medicine	Internal Medicine/ Cardiology	08/01/2016		\$ 25,000.00/mo \$300,000.00/FY
3.	Crabtree, Traves	Professor of Clinical Surgery	Surgery/ Cardiothoracic Surgery	06/06/2016		\$ 31,250.00/mo \$375,000.00/FY
4.	Dial, Samantha	Assistant Professor of Clinical Neurology	Neurology/ Adult Neurology	08/01/2016		\$ 12,500.00/mo \$150,000.00/FY
5.	Findlater, Malcolm	Assistant Professor of Family and Community Medicine*	Family and Community Medicine/ Quincy	07/01/2016		\$ 15,687.50/mo \$188,250.00/FY
6.	Hasanadka, Ravishankar	Assistant Professor of Clinical Surgery	Surgery/ Vascular Surgery	08/29/2016		\$ 16,666.67/mo \$200,000.04/mo
7.	Hitt, Collin	Assistant Professor	Medical Education	06/06/2016		\$ 7,500.00/mo \$ 90,000.00/FY
8.	Kulkarni, Abhishek	Assistant Professor of Clinical Internal Medicine	Internal Medicine/ Cardiology	07/05/2016		\$ 16,666.67/mo \$200,000.04/FY
9.	Patel, Chinmay	Assistant Professor	Surgery	08/01/2016		\$ 15,090.17/mo \$181,082.04/FY

10.	Powell, Kimberly N.	Assistant Professor of Clinical Pediatrics	Pediatrics/ Critical Care	07/01/2016	\$ 20,833.33/mo \$249,999.96/FY
11.	Schlegl, Mary	Certified Nurse Practitioner*	Family and Community Medicine/ Quincy	07/01/2016	\$ 7,000.00/mo \$ 84,000.00/FY
12.	Thomas, Michael	Assistant Professor	Surgery/ Cardiothoracic Surgery	08/01/2016	\$ 6,250.00/mo \$ 75,000.00/FY
13.	Thomson, Jonglin	Assistant Professor of Clinical Obstetrics and Gynecology	General OB/GYN	08/29/2016	\$ 4,166.67/mo \$ 50,000.04/FY
14.	Whitehurst, Brandt	Assistant Professor of Clinical Surgery	Surgery/ Critical Care	08/29/2016	\$ 18,750.00/mo \$225,000.00/FY
15.	Wolf, Kari	Associate Professor of Clinical Psychiatry and Chair	Psychiatry	08/01/2016	\$ 37,916.67/mo \$455,000.04/FY

\*Change from term to continuing

- B. Leaves of Absence with Pay – None to be reported.
- C. Awards of Tenure – None to be reported.
- D. Promotions –None to be reported.

#### CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUE

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Percent Change</u>	<u>Salary</u>
1.	Dial, Kevin	Director	Graduate School	5/23/2016		\$6,919.00/mo \$83,028.00/FY
2.	Langendorf, Anthony	Director (previously: Assistant to the Director)	Parking Services	5/16/2016	15%	\$7,761.33/mo \$93,136.00/FY (previously: \$6,749.00/mo \$80,988.00/FY)
3.	Morgan, Angela	Specialist	MUC Food Service	5/16/2016		\$4,166.66/mo \$49,999.92/FY
4.	Raqib, Muhammad	Counselor	University Services to East St. Louis	3/14/2016		\$3,250.00/mo \$39,000.00/FY
5.	Taylor, Cathy	Director for Constituent Relations (previously: Director for Prospect Research)	Foundation	4/14/2016	13.5%	\$6,866.66/mo \$82,400.00/FY (previously: \$6049.33/mo \$72,592.00/FY)

**CHANGE IN FACULTY-ADMINISTRATIVE PAYROLL-  
OFFICE OF THE PRESIDENT AND UNIVERSITY-WIDE SERVICES**

The following change in faculty-administrative payroll is submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the President. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Percent Change</u>	<u>Salary</u>
1.	Hall, E. Ryan	Associate General Counsel (previously Director, Labor and Employee Relations, SIUC)	Office of General Counsel	07/18/16	8.5%	\$8,500.00/mo.; \$102,000.00/FY (Previous Salary – \$7,833.33/mo \$93,999.96/FY)

APPROVAL OF SALARY AND APPOINTMENT:  
DEAN OF THE COLLEGE OF BUSINESS, SIUC

Summary

This matter presents for approval the salary and appointment of Dr. Terry Clark for Dean of the College of Business, SIUC.

Rationale for Adoption

Policies of the Board of Trustees require the Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which four candidates were interviewed in accordance with the college's Operating Paper. The Dean of the College of Business serves as the college's chief academic and administrative officer and is responsible for the academic programs, recruitment and retention of students, fundraising, fiscal management, personnel, external relations, and other duties.

Constituency Involvement

A campus committee comprised of faculty, staff and students reviewed nominations and applications. Final candidates participated in on-campus meetings, interviews and open forums allowing ample access to and input from all stakeholders throughout the interview process.

Resolution

BE IT RESOLVED, By Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Dr. Terry Clark be appointed to the position of Dean of the College of Business, SIUC, with an annual salary of \$270,000, effective July 15, 2016.

(2) The F. Lynn and Susan F. McPheeters College of Business Leadership Chair endowment held at the SIU Foundation may be used by the dean, according to the fund's Administrative Provisions, as a source of salary supplement and for other purposes as allowed by the fund.

APPROVAL OF PURCHASE:  
FACTORY OVERHAULED AIRCRAFT ENGINES AND CORES, SIUC

Summary

This matter seeks approval for the purchase of factory overhauled engines and cores for flight training aircraft.

Rationale for Adoption

In setting the FY17 aviation flight course fee, the Department of Aviation Flight shared that up to 20 of the program's existing 36 aircraft could need engine replacement. This purchase request provides for such work on 14 of the program's aircraft. This purchase will ensure the program has fully functional, safe and reliable aircraft for its students.

In accordance with the State Procurement Code and SIU Board of Trustees Policies, an Invitation for Bid was issued. Five firms reviewed the request for proposal and two submissions were received. This matter seeks to award the contract to Omaha Airplane Supply Company of Carter Lake, IA. Funding will come from the Flight Services account using student flight course fees.

This matter is recommended for adoption by the Interim Chancellor, SIUC.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The purchase of factory overhauled engines and cores for flight training aircraft be and is hereby awarded to Omaha Airplane Supply Co., Carter Lake IA, in the amount of \$593,278.96.

(2) The Board of Trustees hereby authorizes the purchase of goods and services associated with this award.

(3) The purchase will be funded through the Flight Services account using student flight course fees.

(4) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.



RFQ #: K033016

<u>Bidder Name</u>	<u>City, State, Zip Code</u>	<u>Bid Amount</u>
Omaha Airplane Supply Co.	Carter Lake, IA 51510	\$593,278.96
Aviall Services Inc.	Dallas, TX 75261	\$596,973.00

APPROVAL FOR SOUTHERN ILLINOIS RESEARCH PARK BOARD APPOINTMENT,  
SIUC

Summary

This matter seeks to appoint one community director to the Southern Illinois Research Park Board.

Rationale for Adoption

The Board of Trustees approved the Articles of Incorporation for the Southern Illinois Research Park at its meeting on December 14, 2000. The Southern Illinois Research Park, Inc. by-laws stipulate there will be eight community directors to be elected every two years.

We seek approval to fill the vacancy of a community director position on the Southern Illinois Research Park (SIRP) Board with Gary Williams, the city manager for Carbondale. A native of Benton, Ill., and an SIU Carbondale alumnus, he previously served as the city's economic development director and had a 15-year career in real estate development and construction. Mr. Williams will serve out the remainder of the term, ending in September 2017.

Considerations Against Adoption

University officers are aware of none.

Constituency Involvement

The Southern Illinois Research Park Board, which has representation from the community and campus, forwarded this recommendation to the Chancellor.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

Mr. Gary Williams be appointed to complete the two-year term as a Community Director of the Southern Illinois Research Park.

### APPROVAL OF PURCHASE: MEDICAL OFFICE BUILDING LEASE SCHOOL OF MEDICINE CAMPUS, SIUC

#### Summary

This matter seeks approval for the purchasing contract for leased space of approximately 42,000 square feet. The purchase order is based on the annual lease rate plus operating expenses for a maximum value of the first year contract of \$1,100,000.

#### Rationale for Adoption

SIU HealthCare continues to expand upon a longstanding partnership with St. John's Hospital and the HSHS hospital system, most recently through the signing of a 10 year partnership related to women and children's services. The construction of a dedicated women and children's medical office building is a key element of this partnership and will provide the patients of both organizations the access to state of the art facilities and position the partnership for long term success in attracting and caring for patients, and also in financial performance.

Current women and children's services of SIU HealthCare are provided in multiple locations across the St. John's campus. Geographic separation of services and the outdated design of the existing facilities are not conducive to efficient patient care. Currently, duplication of staff is required to provide basic functions at each separate location such as a receptionist, nurse manager, and patient representatives. The new facility will allow consolidation of services across the various clinics as most services would be consolidated to this building.

As the Springfield healthcare market becomes increasingly competitive, the existing outdated facilities of SIU HealthCare are placing the organization at a considerable market disadvantage. Local competitors continue to build new facilities to attract staff and patients while SIU HealthCare has mostly remained in facilities originally developed in the 1970s. As a result, patient satisfaction surveys demonstrate that patients' perceptions of SIU HealthCare facilities are in the lower quartile relative to national peers. Notably, as Medicare payments to SIU HealthCare will be increased or

decreased based on patient satisfaction scores, it is essential that the organization begin now to provide patients with market competitive, modern facilities.

Further, such updated facilities will improve the school's ability to recruit and retain high quality staff and have a positive influence on the work environment. These are also critical to achieving high patient satisfaction scores. As the primary tenant of the new building, exterior signage dedicated to SIU HealthCare will represent a significant improvement in marketing and branding.

The incremental expense of about \$300,000 annually for this facility will be recovered through staff efficiencies, patient retention, and staff retention.

This lease includes a 2.0 % annual escalation of rent costs.

This matter is recommended for adoption by the Dean and Provost of the School of Medicine.

#### Considerations Against Adoption

University officers are aware of none.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The purchase order for leased space, School of Medicine Campus, SIUC, be and is hereby awarded to St. John's Hospital, Springfield, IL, for a five-year term with one option to renew for an additional five years.

(2) The Board of Trustees hereby authorizes the purchase of goods and services associated with this award.

(3) The purchase will be funded by School of Medicine funds derived from patient revenue sources.

(4) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL OF SALARY AND APPOINTMENT: INTERIM DEAN OF THE SCHOOL  
OF BUSINESS, SIUE

Summary

This matter presents for approval the salary and appointment of the Interim Dean of the School of Business at the Edwardsville campus.

Rationale for Adoption

Policies of the Board of Trustees require Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows an in-depth comparative study of similar positions at peer institutions and is a good faith effort to remain competitive in the market. SIUE is fortunate to have a highly qualified and respected incumbent who has successfully served the University in the past as the Acting Dean of the School of Business, Chair of the UPBC, and Chair of the University Congress - and who is willing to serve in this interim position in order to preserve continuity within the School and to accommodate other leadership changes within Academic Affairs and the University during the next two years. Dr. Timothy Schoenecker possesses the range of experience in education, research, service and administration to guide the School of Business at the Edwardsville campus during the next two years as it continues to excel, making this request a high priority recruitment and retention goal.

Constituency Involvement

All appropriate University and System administrators were involved in this decision. Comments and recommendations were solicited from the School of Business faculty and were considered and weighed in making this recommendation.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: Dr. Timothy Schoenecker be appointed to the position of Interim Dean of the School of Business with an annual salary of \$177,814, effective July 16, 2016, through June 30, 2018.

## APPROVAL OF PURCHASE: DIGITAL MEDIA BUYING SERVICES, SIUE

### Summary

This matter seeks approval to award a contract for professional services for management of digital media buys and optimization for online video, display, retargeting, search engine marketing, streaming radio and other forms of digital advertising such as social media, mobile marketing, and emerging digital media.

The estimated two-year cost for these services is \$880,000. The actual amount of the contract will be based on the annual need for the services. The contract will be funded by departmental advertising and marketing funds.

### Rationale for Adoption

Through a Request for Proposal process, the University recommends ReelEyez Media LLC (St. Peters, MO) to provide professional services for digital media buy management for the Edwardsville, Alton, and East St. Louis campuses. The vendor will be responsible for providing strategic counsel in media planning by analyzing various digital media opportunities for the University that are consistent with the recruitment strategy to improve student enrollment.

The estimated cost of the service for the initial one-year contract (September 1, 2016, through June 30, 2017) is \$440,000, with a one-year renewal option with an estimated cost of \$440,000, and will be funded by departmental advertising and marketing funds. The actual amount of the contract will be based on the annual need for the services. The total value of the two-year contract is approximately \$880,000. The Interim Chancellor, SIUE, has recommended this matter to the President.

### Considerations Against Adoption

Funding digital media buys diverts funds away from what has been considered traditional advertising. Without the management of digital media buys, the University would greatly diminish its online presence.

### Constituency Involvement

A Selection Committee comprised of members of SIUE Marketing & Communications set the RFP criteria and reviewed the submitted proposals.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contract for digital media buy management for the Edwardsville, Alton and East St. Louis campuses be and is hereby awarded to ReelEyez Media LLC, St. Peters, MO.

(2) The contract will be funded by departmental advertising and marketing funds.

(3) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL OF PURCHASE: TRADITIONAL MEDIA BUYING SERVICES, SIUE

Summary

This matter seeks approval to award a contract for professional services for oversight and management of traditional media planning and placement for TV, radio, print, outdoor and sponsorship opportunities, as well as media consultation for SIUE Athletics and WSIE radio station.

The estimated two-year cost for these services is \$1,140,000. The actual amount of the contract will be based on the annual need for the services. The contract will be funded by departmental advertising and marketing funds.

Rationale for Adoption

Through a Request for Proposal process, the University recommends Burns & Associates Management, LLC (Edwardsville, IL) to provide professional services to provide traditional media buy management and consultation for the Edwardsville, Alton, and East St. Louis campuses. The vendor will be responsible for providing strategic counsel in media planning by analyzing ratings and demographic data for various traditional media opportunities for the University.

Specific areas of focus for the media plan include increasing student enrollment, increasing awareness of the University among key market segments, increasing exposure of SIUE NCAA Division I athletics, and increasing ticket sales. The chosen vendor will also provide consultation for WSIE. SIUE requires assistance in programming the station to successfully monetize it and to assess the market value of WSIE's license and facilities.

The estimated cost of the service for the initial one-year contract (September 1, 2016, through June 30, 2017) is \$570,000, with a one-year renewal option with an estimated cost of \$570,000, and will be funded by departmental advertising and marketing funds. The actual amount of the contract will be based on the annual need for the services. The total value of the two-year contract is approximately \$1,140,000. The Interim Chancellor, SIUE, has recommended this matter to the President.

#### Considerations Against Adoption

Funding traditional media buys diverts funds away from digital advertising.

#### Constituency Involvement

A Selection Committee comprised of members of SIUE Marketing & Communications, Athletics, and the Chancellor's Office set the RFP criteria and reviewed the submitted proposals.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contract for traditional media buy management for SIU Edwardsville, Alton and East St. Louis campuses be and is hereby awarded to Burns & Associates Management, LLC, Edwardsville, IL.

(2) The contract will be funded by departmental advertising and marketing funds.

(3) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

## APPROVAL OF PURCHASE: GRANT MANAGEMENT SYSTEM, SIUE

### Summary

This matter seeks approval to purchase grant management software for the Edwardsville campus. The purchase price for the grant management system totals \$577,450 for a nine-year period.

### Rationale for Adoption

The grants management system is currently a manual process utilizing multiple databases and is often cumbersome and inefficient when tracking grants or seeking multiple signatures for approval. Implementation of the software grant management system would improve the department's ability to submit quality grant proposals by converting from a hard-copy manual system to a digital on-line system.

Through a Request For Proposal process, the campus sought proposals to implement software that would integrate users and authentication with the existing campus system, the human resources and Student Information Systems, and the AIS finance system. The software will also provide training and comprehensive reports to functional users.

The Department of Research and Projects and the SIUE IT personnel reviewed the scope of services and cost of the single proposal received and recommend awarding the contract. The initial three-year contract is \$314,296 and has options for two, three-year renewal contracts. Each three-year renewal will cost \$131,577. The total cost of the nine-year contract will be \$577,450. Funds for this purchase will come from Research and Projects operating funds. The Interim Chancellor and the Interim Provost and Vice Chancellor for Academic Affairs, SIUE, have recommended this matter to the President.

### Considerations Against Adoption

Converting to an on-line system will make the process dependent on technology. IT backup systems lessen the potential impact for a failure in the technology system.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The purchase of grant management system for SIUE be and is hereby awarded to Kualu, Inc., of Lehi, Utah, in the amount of \$577,450 for a nine-year contract.

(2) The purchase will be funded by SIUE Research and Projects operating funds.

(3) The President of Southern Illinois University is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

### AWARD OF CONTRACT: ART & DESIGN EAST WINDOW REPLACEMENT, SIUE

#### Summary

This matter seeks award of a contract in the amount of \$2,658,000 to replace the windows in the Art & Design East building on the Edwardsville campus.

#### Rationale for Adoption

On March 19, 2015, the SIU Board of Trustees approved the project and budget for the capital project, Art & Design East Window Replacement, SIUE, and subsequently on May 5, 2016, the board approved a revised project budget. The approved budget for the project is \$4,500,000 and will be funded from Facilities Fee revenues.

The project will replace the original glass block windows, many of which are cracked, damaged, and/or leaking. The project will use a combination of windows, metal panels, and limestone to replace the window systems and will be similar in appearance to the new Art & Design West building. The result will improve the building's energy efficiency, quality of lighting, and comfort.

The bids received for the project are favorable and award of the contract is requested. A summary of bids received is attached for review. The Interim Chancellor and the Interim Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

#### Considerations Against Adoption

The work included in this project will cause a temporary inconvenience to the building occupants. Interior construction walls will be erected to reduce dust, noise, and temperature fluctuations.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contract to perform construction work required for the Art & Design East Window Replacement, SIUE, be and is hereby awarded to the following:

R&W Builder's Inc.	\$2,658,000.00
109 Sir Lawrence Drive	
Belleville, IL 62221	

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

PLANNING APPROVAL: WATER DISTRIBUTION SYSTEM VALVE AND HYDRANT REPLACEMENT, SIUE

Summary

This matter seeks approval to develop plans and cost estimates to replace valves and fire hydrants in the Edwardsville campus exterior water distribution system. The cost of the work will be finalized once a comprehensive cost estimate is determined. Final project and budget approval and award of contracts will require further Board consideration.

Rationale for Adoption

Much of the Edwardsville campus exterior water distribution system dates from the original construction of the campus. While the distribution lines remain in generally good condition, valves used to control the system and fire hydrants have been failing. As a result, ever larger portions of the system must be shut down to accomplish maintenance and repairs, requiring greater disruption of University operations and campus activities. The replacement of over 300 valves and hydrants is envisioned over multiple phases of the project. The design and construction will be funded from University utility maintenance and plant funds.

A Quality Based Selection process selected Oates Associates, Inc. to help determine the extent of the work and the estimated cost of the work. Final project and budget approval will require further Board action.

### Constituency Involvement

The Interim Chancellor and the Interim Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

### Considerations Against Adoption

Replacement of valves and hydrants will require water be turned off to campus buildings, the impacts of which will be mitigated by appropriate project phasing and scheduling.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Development of plans and cost estimates for Edwardsville Campus Water Distribution System Valve and Hydrant Replacement be and is hereby approved.

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

### PLANNING APPROVAL: RESURFACE/REBUILD SCHOOL OF DENTAL MEDICINE PARKING LOTS, SIUE

### Summary

This matter seeks approval to develop plans and cost estimates to resurface/rebuild the parking lots at the SIUE School of Dental Medicine. It is anticipated that the project would be funded from external and/or internal financing as determined by the Board Treasurer. The debt service payment will be repaid with parking fees.

### Rationale for Adoption

Plans will look at designs and costs to resurface/rebuild lots A1, A2, A3, A4, A6, A7, and A8 at the Alton campus. The project will include expansion and reconfiguration of three lots to increase accessibility and safety. The project will include new pavement markings, curbs, replacing accessible curb ramps, sections of new sidewalk, and new energy efficient LED lighting. The project will be designed with concrete pavement.

SIUE will use its on-call engineering firm to determine the extent and cost of the work proposed. Final project and budget approval will require further Board action.

The nature of this project and its source of funds cause it to be defined as a non-instructional capital improvement financed with local funds. Therefore, approval of the Illinois Board of Higher Education will be required prior to the commitment of funds.

#### Constituency Involvement

The Interim Chancellor and the Interim Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

#### Considerations Against Adoption

The work included in this project will cause a temporary inconvenience to drivers. Work will be scheduled during the summer to lessen the inconvenience.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Development of plans and cost estimates for resurfacing/rebuilding School of Dental Medicine parking lots, SIUE, be and is hereby approved.

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

#### APPROVAL OF SALARY OF THE GENERAL COUNSEL FOR THE SOUTHERN ILLINOIS UNIVERSITY SYSTEM

#### Summary

The Board of Trustees previously approved the appointment of Lucas D. Crater to the position of General Counsel for the Southern Illinois University System and deferred action on an appropriate salary adjustment to a subsequent meeting. This matter establishes that salary adjustment.

#### Rationale for Adoption:

The General Counsel is appointed by and shall serve at the pleasure of the Board of Trustees. 2 Bylaws 5C state that the General Counsel is the principal legal adviser to the Board of Trustees, the President, Board-appointed officers and Chancellors. The General Counsel shall direct counsel at SIU's campuses and ensure that timely and effective legal representation is provided to Southern Illinois University.

Lucas D. Crater has served as General Counsel since March 19, 2015, and the Board now desires to establish his salary adjustment.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Lucas D. Crater was appointed to the position of General Counsel for the Southern Illinois University System effective March 19, 2015. His annual salary, effective July 1, 2016, shall be \$182,000.04.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

Trustee Sambursky moved the approval of the receipt of Reports of Purchase Orders and Contracts, April and May 2016, SIUC and SIUE, and Approval of New Programs, Reasonable and Moderate Extensions, Approval of Off-Campus Program Locations, and Academic Program Eliminations and Suspensions, July 1, 2015, through June 30, 2016; the ratification of Changes in Faculty-Administrative Payroll for SIUC, SIUE, and Office of the President and University-Wide Services; Approval of Salary and Appointment: Dean of the College of Business, SIUC; Approval of Purchase: Factory Overhauled Aircraft Engines and Cores, SIUC; Approval for Southern Illinois Research Park Board Appointment, SIUC; Approval of Purchase: Medical Office Building Lease, School of Medicine Campus, SIUC; Approval of Salary and Appointment: Interim Dean of the School of Business, SIUE; Approval of Purchase: Digital Media Buying Services, SIUE; Approval of Purchase: Traditional Media Buying Services, SIUE; Approval of Purchase: Grant Management System, SIUE; Award of Contract: Art and Design East

Window Replacement, SIUE; Planning Approval: Water Distribution System Valve and Hydrant Replacement, SIUE; Planning Approval: Resurface/Rebuild School of Dental Medicine Parking Lots, SIUE; and Approval of Salary of the General Counsel for the Southern Illinois University System. The motion was duly seconded by Trustee Gilbert. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Donna Manering, Dr. Shirley Portwood, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, none.

The following item was presented:

APPROVAL OF AMENDED SYSTEM-WIDE DIVERSITY AND INCLUSION  
INITIATIVES

Summary

This matter requests approval to amend the planning document initially approved at the May 5, 2016, meeting of the Board of Trustees for a diversity initiatives project through final adoption of the “Framework for System-Wide Diversity and Inclusion Initiatives.”

Background

During the work session held on December 9, 2015, the Board of Trustees expressed a desire to move forward and develop a series of actions across the SIU System to demonstrate its strong commitment to tangible outcomes which would foster awareness-building and organizational capacity supporting increased diversity and a more inclusive culture throughout SIU.

Toward this end, a set of initiatives was drafted on a system-wide basis and presented for initial Board review and comment at its work session on March 23, 2016. A draft document was reviewed at that time with a panel of officers from SIU’s underrepresented faculty and staff organizations, including their written analysis of the document, and suggested a range of specific activities and events across the dimensions of Awareness-Building Activities, Student-Focused Initiatives, Human Resources/Personnel Development, and Organizational Development. That draft document was subsequently approved by the Board on May 5.

### Rationale for Adoption

Considering later additional input received subsequent to the May 5 meeting, as communicated by those individuals serving in the role of chief diversity officer for the SIUC, SIUE, and School of Medicine campuses, a modified list of initiatives is now recommended in the form of a “framework” that demonstrates Trustees’ desire for both symbolic and tangible actions advancing diversity and inclusion throughout SIU.

It is expected that upon final adoption of these initiatives by the Board, work will commence in FY17 to implement as many of the projects as practicable and as budgetary constraints permit. It is further anticipated that the Board will review progress toward implementation on a routine basis, and no less than annually.

### Considerations Against Adoption

Bringing together a requisite number of individuals from the various constituent groups to assist in planning and carrying out specific initiatives listed under the Framework will take time and resources that could be made available for other purposes. Certain of the initiatives will have direct and indirect costs that must be budgeted for, subject to regular Board approvals.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: upon recommendation of the President,

(1) The Framework for System-Wide Diversity and Inclusion Initiatives be adopted with the goal of full implementation taking place during the 2017 fiscal year.

(2) SIU’s chief diversity officers and their respective named campus diversity councils, working with and involving underrepresented faculty and staff groups, student organizations, recognized constituency organizations, and any others are charged to create various work and advisory groups to support implementation of the Framework.

(3) Future operating budgets of the Office of the President authorize and account for any expenditures as a University-Wide Services function due to implementation costs related to the Framework.

(4) The position of Title IX Director for the SIU System is hereby created, reporting to the President, and authority is further granted to organize Title IX administration and compliance as a University-Wide Service.

(5) The President of Southern Illinois University is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.



Southern Illinois University System

## FRAMEWORK FOR SYSTEM-WIDE DIVERSITY AND INCLUSION INITIATIVES

*In support of the Board of Trustees' strong belief that every Southern Illinois University campus and location reflect a culture which values diversity and inclusivity in all its forms, the Board of Trustees is committed to putting in place a series of system-wide initiatives. The intent of these initiatives is neither to simply "check a box" on the issue of diversity in higher education, nor to replace comprehensive diversity plans developed at the campus level--but instead to advance a set of tangible and achievable outcomes to ensure awareness-building and cultural competency for the deep organizational change which must take place across the SIU System.*

### Awareness-Building Activities

- Establish a signature Trustees' lecture focusing exclusively on diversity and inclusion to be rotated among the three campuses on an annual basis
- Establish a system-wide diversity or inclusivity excellence award (individual or organizational) to highlight a model of excellence created within an academic or academic support unit
- Create a prominent link to the SIU System webpage to spotlight diversity efforts across all campuses and locations

### Student-Focused Initiatives

- Partner with social service agencies, schools, community development groups, and similar organizations (e.g., El Valor, Chicago; Community Builders Network, St. Louis) to support opportunities and events for students around issues of diversity and inclusion
- Sponsor an annual system-wide student conference/summit on race, diversity, and inclusion—building on the success of SIUE's *Black Lives Matter* conference

## Human Resources/Personnel Development

- Following a thorough review in light of recent legal decisions, expand resource support for campus-based strategic hiring programs with the goal of increasing diversity in tenure-track faculty and professional staff hires
- Provide resource support to bolster campus initiatives to host networking, meetings, professional development, mentoring, and related events for faculty and staff—and consider at least one similar event held at the system level
- Provide for a dedicated “chief diversity officer” position at the three campuses that is consistent in overall practice and scope with respect to titles, tasks, duties, initiatives, and placement on each campus’s organizational chart, but without the added responsibilities for administrative management of equal opportunity and Title IX functions
- Develop a resource or provider pool for utilization system-wide to provide cultural competency training applicable to all organizational units or groups, incorporating customized professional development sessions, workshops, coaching, and other programs

## Organizational Development

- Establish a system-wide President’s Advisory Council comprised of the three SIU CDOs as well as representatives from impacted campus constituencies that would meet quarterly and provide advice and counsel on issues of diversity and inclusion (which group would also meet with the BOT on an annual basis)
- Build-out the SIUE *Diversity and Inclusion Online Learning Community* to provide a system-wide clearinghouse for diversity resources and tools
- Undertake a metric and benchmarking process on a system-wide basis to better evaluate ongoing progress toward diversity and inclusion indicators utilizing a scorecard, dashboard, or some other measure

Trustee Gilbert moved approval of the item. The motion was duly seconded by Trustee Sambursky. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Donna Manering, Dr. Shirley Portwood, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, none.

The following item was presented:

### APPOINTMENT OF THE CHANCELLOR, SIUE

#### Summary

The matter presents for approval the appointment of the Chancellor for Southern Illinois University Edwardsville.

#### Rationale for Adoption

This request would approve the appointment of Dr. Randall G. Pembroke as the 9<sup>th</sup> Chancellor in SIUE's history. Dr. Pembroke is an SIUE alumnus with extensive background and experience in higher education.

Dr. Pembroke has served as the vice president for academic affairs at Washburn University in Topeka, Kansas since January 2011. Before coming to Washburn University, Dr. Pembroke served at Baker University for four years in several capacities, including executive vice president and provost, interim vice president for enrollment management, acting dean of the School of Professional and Graduate Studies, and special assistant to the president. Before joining Baker University in 2007, Dr. Pembroke was dean at the Conservatory of Music and Dance at the University of Missouri-Kansas City, where he also served as associate dean of the Conservatory, chair of the Music Education/Music Therapy Division, and Conservatory faculty chair as well as chair of a four-campus faculty committee reporting to the University of Missouri system president. Dr. Pembroke earned an associate's degree from Lewis and Clark Community College before achieving both a bachelor's and master's in music education/piano performance from SIUE in 1978 and '80, respectively. He earned a doctorate in music education from Florida State University in 1984.

#### Constituency Involvement

A 21-person committee participated in a nine-month national search that began with 65 candidates. The committee was comprised of faculty, staff, students and alumni. All appropriate University and System administrators were also involved in this decision. Recommendations from several University leaders and constituents were

received by the President and were considered and weighed in making this recommendation.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: upon recommendation of the President, Dr. Randall G. Pembroke is appointed to the position of Chancellor, SIUE, with an annual salary of \$300,000 with a start date variable between August 1 and August 8 as mutually convenient, but in no case later than August 8, 2016, to serve at the pleasure of the President and the Board of Trustees.

Trustee Sholar moved approval of the item. The motion was duly seconded by Trustee Portwood. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Donna Manering, Dr. Shirley Portwood, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, none.

Chair Thomas stated that the Board had a Current and Pending item regarding the approval of Board legislation, Intellectual Property Policy.

Trustee Sambursky made a motion that the Board consider the matter. The motion was duly seconded by Trustee Sholar. The motion to consider the matter passed unanimously via voice vote.

The following current and pending item was presented:

APPROVAL OF BOARD LEGISLATION, INTELLECTUAL PROPERTY POLICY  
[ADDITION OF 2 POLICIES OF THE BOARD H]

Summary

This matter seeks approval of the “Intellectual Property Policy” for the Southern Illinois University – System. Southern Illinois University presently employs four different intellectual property policies that are inconsistent in their terms which makes the intellectual property policies difficult to administer. Furthermore, new intellectual property laws and Supreme Court holdings impose upon the Southern Illinois University – System to update its intellectual property policies to make the policies consistent with the new laws. The newly proposed Intellectual Property Policy attempts to resolve these outstanding issues. Furthermore, the newly proposed Intellectual Property Policy also enables the university to more fully engage third party industry partners and collaborators to more effectively leverage the research assets that the Southern Illinois University – System retains.

Rationale for Adoption

Southern Illinois University is actively engaging in more interdisciplinary research across the campuses that result in intellectual property assets being developed by faculty, staff or students at the varying campuses. The present intellectual property policies govern the financial benefits that might be enjoyed from successful licensure. At universities, it is a common benefit to inventors or creators of intellectual property assets that get commercialized to enjoy a share of the profit that the university is able to secure. Having a well-defined flat rate across the Southern Illinois University – System helps ensure an even-handed approach to all inventors and creators and helps eliminate interpretation issues presented by some of the present intellectual property policies. Additional funds from profits resulting from innovations will be made available to the colleges and departments that support the inventors or creators to encourage support of these efforts internally.

Furthermore, with the adoption of the America Invents Act and Supreme Court holdings (most specifically referring to the Stanford v. Roche case), it is imperative that the university is able to warrant that it has title to inventions and discoveries created by our faculty and employees. Industry sponsored research grants and clinical trial contracts require that Southern Illinois University make representations that are consistent with the legal precedents set forth by these actions. The newly proposed Intellectual Property Policy contains language that attempts to comply with those effects by adopting language that has widely been accepted at other universities and research institutions.

A working group was employed to ensure adequate representation on the three SIU campuses to develop an Intellectual Property Policy that addressed the concerns by the faculty and administrators on the SIU campuses. The working group comprised of the director of technology transfer, senior research administrators and faculty representatives that have experience with the technology transfer process. Several draft iterations were filtered by interested faculty bodies, grant administrators, and the Office of General Counsel. The final version of the newly proposed Intellectual Property Policy has broad support, and it is encouraged that this version be adopted immediately to supersede all campus intellectual property policies. It is understood that once this newly proposed Intellectual Property Policy is adopted, the SIUC Faculty Association, IEA-NEA will reference the Intellectual Property Policy in an implementation letter.

#### Considerations Against Adoption

Some concerns have been raised about the copyright exemption clause. Specifically, it has been suggested that the copyright exemption clause does not go far enough to protect the academic freedom of the faculty and that a separate Copyright Policy should be adopted. It is the belief of the working group that the newly proposed Intellectual Property Policy is adequate in that there are instances where the university may be subject to an industry sponsored research grant where the university needs to warrant the transfer of intellectual property assets, including copyrights. The safeguard that the research administrators have the authority to accept or reject the ownership interests of these assets was deemed acceptable. If this causes an unforeseen issue, amendments to the newly proposed Intellectual Property Policy may be adopted to address those concerns.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That the Intellectual Property Policy as attached shall be hereby approved and become 2 Policies of the Board H.

BE IT FURTHER RESOLVED, That the President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

# Intellectual Property Policy Southern Illinois University - System

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The purpose of this Intellectual Property Policy is to delineate the obligations of the persons that are governed by this SIU-S Intellectual Property Policy and those obligations of the Southern Illinois University – System (SIU-S). This Intellectual Property Policy does not apply to logos, identifiers or other branding materials related to SIU-S and/or its campuses. The Board encourages its faculty, staff and students employed or enrolled at Southern Illinois University Carbondale (SIUC), Southern Illinois University Edwardsville (SIUE), the Southern Illinois University School of Medicine (SIU-SOM) and the Office of the President (each of which shall be individually referred to as SIU Entity and shall encompass their respective satellite sites) to undertake research and other scholarly and creative endeavors. Basic policies of the Board support freedom of research and unrestricted dissemination of information. Research and other scholarly activities that produce and disseminate new knowledge are an important part of the work of a comprehensive academic institution. The philosophy of the Board is that such activities should always maintain an intrinsic relationship to the mission of the institution.

As a state institution, the Board of Trustees is responsible to itself and to the public for the kinds of research and other scholarly and creative activities that the Board supports and for obtaining the greatest public benefit from such research and activities. The Board therefore encourages faculty and staff to seek intellectual property protection when warranted. Such protection promotes investment that advances the development and availability of discoveries to maximize the public benefit achieved via faculty and staff research. All faculty and staff members are required by the conditions of their employment to abide by this Intellectual Property Policy unless they are governed by a separate intellectual property policy negotiated by a collective bargaining unit.

Each SIU Entity may have its own intellectual property committee to assist in advising on the disposition of disclosures presented to the SIU System's Office of Technology Transfer (OTT). The SIUC University Intellectual Property Committee's composition and authority is dictated by Addendum C collective bargaining agreement between the Board of Trustees of Southern Illinois University and the SIUC Faculty Association, IEA-NEA. Addendum C of that Agreement applies to tenured and tenure-track Faculty employees represented by the SIUC Faculty Association. For all other employees and students, the use of the University Intellectual Property Committee shall be at the discretion of the Vice Chancellor for Research. A School of Medicine Intellectual Property Committee, appointed by the Dean and Provost of the School of Medicine, exists to review and make recommendations for the disposition of intellectual property developed at the School of Medicine. The Associate Provost of Research at Southern Illinois University Edwardsville may convene an ad hoc intellectual property advisory committee based on his/her discretion for recommendations. The OTT shall govern this policy as it applies to the faculty, employees, administrators, staff, students and other persons under the auspices of the Board and shall advise each SIU Entity on matters related to the disposition of intellectual property assets that benefit the university and the community it serves as a whole.

The Intellectual Property Policy concerning new technologies, discoveries, inventions or potential inventions (including products, processes, or any improvements thereof) (hereinafter collectively referred to as "innovations"), and copyrightable materials that accrue from research or scholarly and creative activities conducted by faculty, employees, administrators, staff, students and other persons (hereinafter referred to as creator(s)/author(s)) is as follows:

#### I. Intellectual Property Covered by this Policy

This Intellectual Property Policy supersedes all previous policies that cover intellectual property matters at the individual SIU Entities and shall apply to all intellectual property (innovations and copyrightable materials as defined below) except those created by employees that are covered by a collective bargaining unit that have negotiated a separate intellectual property policy. Furthermore, as a condition of their employment with the Board, all employees will assign and hereby do assign their ownership rights in intellectual property covered by this Intellectual Property Policy to the Board of Trustees of Southern Illinois University, pursuant to the details below.

##### A. Innovations:

Any innovation, including discovery, records (such as laboratory notebooks), data (including databases, tables and spreadsheets), trade secrets and know-how, developed by an employee, student, or other user of facilities or resources of the Board that meets the criteria detailed in this section belongs to the Board and shall be used and controlled according to the discretion of SIUC, SIUE, and SIU-SOM in ways that will produce the greatest benefit to the university and to the public. All employees are required to report any such innovation through their respective SIU

Entity where the employee resides and the SIU Entity will determine the disposition thereof, in the manner set forth below.

Innovations include anything: 1) developed within the scope of an employee's duties; 2) developed in whole or in part by the use of University facilities or resources; 3) developed as the result of efforts carried on by, or under the direction of, any employee, student, or other user of University facilities or resources; or 4) developed in part or in full from University funds or from funds under the control of or administered by the Board.

**B. Copyrightable Material:**

The Board shall not place any claim or restriction on any copyrightable material resulting from scholarly activity. Copyrightable materials include but are not limited to: 1) textbooks, workbooks, articles, online content and other forms of literary matter; 2) dramatic works and materials; 3) educational television/radio programs and works in such other media as films, videotapes, and recordings; 4) musical compositions and visual art; 5) tests and other measurement devices; and 6) computer software. Scholarly activity shall be defined as academic work done by the independent initiative of the copyright creator(s). These copyrightable materials shall be owned by the copyright creator. Examples of works that may be deemed scholarly activity include, but are not limited to:

- Classroom materials, including syllabi, notes, handouts, tests and other academic assessment devices;
- Educational courseware, including web-based and other electronic based materials, used on campus or in distance learning;
- Theses and dissertations;
- Articles, manuscripts and book chapters;
- Books, including textbooks, workbooks, scholarly monographs and anthologies;
- Works of non-fiction, fiction, and poetry;
- Educational television/radio programs and other works in audio-visual media;
- Musical compositions and performances;
- Dramatic works, including any accompanying music, pantomimes, and choreographic works;
- Pictorial, graphic, or sculptural works;
- Technologically based works such as blogs, tweets, and other forms of social media; and
- Other works of art that are not created as an institutional initiative.

Copyrightable material produced under specific written contract with the Board or copyrightable material that has a commercial application outside of the academic field belongs to the Board and shall be used and controlled according to the discretion of SIUC, SIUE, and SIU-SOM in ways that will produce the greatest benefit to the university and to the public. Copyrightable material produced under specific written contract means any copyrightable material which (1) a faculty or staff member prepares under the scope of his or her employment responsibilities with the Board and (2) falls under one of the following scenarios: (a) results in a commercially viable application, (b) is governed by a third party sponsored research or work-for-hire contract, or (c) is commissioned by an SIU Entity or the Board.

## II. Innovations Developed Independent of SIU-S

If an innovation is developed by an employee or student of the Board outside the criteria as defined in Section I(A), it belongs to the employee or student. This would allow the creator/author to pursue his or her own intellectual property rights or to pursue joint intellectual property rights with the Board. In either case, detailed documentation should be kept by the creator/author about when, where, and by whom the innovation occurred. Such documentation may be needed to establish the rights of the creator/author to the innovation, as well as to pursue the pertinent intellectual property rights, in the event that the resources used to create or discover the innovation are not obvious.

If an innovation is developed by a non-paid student of the Board independent of his or her interaction with the Board, then the innovation is presumed to reside with the student. Intellectual property created as part of a course will belong to the Board when some or all of the course work involved is governed by a third party agreement obligating the Board to assign or transfer intellectual property rights to the sponsoring entity or the student uses significant Board funding or resources. All paid students are considered employees of the Board and the innovation that occurred as a result of their employment shall be governed by Section I.A. If the non-paid student uses substantial funding or resources of the university such as lab equipment, materials, or faculty or staff mentorship, innovations developed by the use of this funding or resources shall be owned by the Board. Board affiliates, such as medical residents, unpaid adjunct professors, and students may petition the Board to support innovation that would otherwise be owned by the affiliate. In the event that the Board agrees to support the innovation, then the Board will support the intellectual property assets that may be secured as a result of their innovation in accordance with this policy. In the event of such support, the Board affiliate or student shall enjoy the income distribution as defined in Section VI.

### III. Procedure for Disclosure and Approval

Innovations covered by this policy must be disclosed by the creator(s)/author(s) in writing to the Office of Technology Transfer (OTT). If the innovation is the subject of a contract, grant or sponsored research agreement, the OTT will dispose of the intellectual property asset consistent with the terms of the governing contract, grant or sponsored research agreement. When a request to evaluate an innovation is received, the OTT will then evaluate the innovation based on its protectability and likelihood of market success to develop a recommended course of disposition. The OTT will present their opinion related to the disposition of the innovation to the creator(s)/author(s). To ensure maximum protection and preservation of intellectual property rights, disclosure of an innovation to the OTT should occur prior to any publication or other public disclosure of the innovation. After review, the creator(s)/author(s) may elect to advance the disclosure for institutional approval through the appropriate advising committee, should one apply. In the event that the OTT believes the innovation has commercial merit and the creator(s)/author(s) elect not to advance the innovation for institutional approval, the OTT may advocate for the support and ownership of the innovation by SIU-S in place of the creator(s)/author(s).

The individuals authorized to approve institutional support for innovation protection and development shall be the Vice Chancellor for Research for SIUC (excluding SIU-SOM employees and students), the Dean and Provost of the School of Medicine for the SIU-SOM, and the Associate Provost for Research for SIUE. Collectively and individually, these administrators shall be referred to as "Senior Research Administrators" and "Senior Research Administrator" and their duties as outlined within this Intellectual Property Policy may be delegated. In the event that innovations are developed by personnel at more than one SIU Entity, the OTT shall have the discretion to decide which Senior Research Administrator to solicit for institutional support. The Senior Research Administrator shall have the discretionary right to use their institutional resources to support the protection and development of the intellectual property asset on the condition that ownership rights to those assets are assigned to the Board of Trustees of Southern Illinois University.

### IV. Options for Disposition of Innovations

The Senior Research Administrators will determine the disposition of the innovation. In the event that an innovation has contributing work from more than one SIU Entity, a consensus on the appropriate disposition shall be sought from all Senior Research Administrators of which the innovation has a contributor. Such disposition may include, but is not limited to, the following options: A) release to the creator(s)/author(s) of a defined scope of intellectual property interest subject to the repayment clause at the end of this section; B) retention by the university for development, licensing, etc.; or C) release to the individual or agency sponsoring the project in the course of which the innovation was made. The release will only be limited to the innovation that was disclosed to the OTT. Upon request by the creator(s)/author(s), any disposition decision for an innovation may be reconsidered by the procedure outlined in Section

III based on further developments that occur after the initial disposition decision is made.

The OTT will provide the creator(s)/author(s) an evaluation within three (3) months from the date of the received evaluation request form. Should the creator(s)/author(s) elect to have the appropriate SIU Entity pursue the innovation, the creator(s)/author(s) shall be notified of the disposition decision within three (3) months of that election. Should the OTT or the SIU Entity fail to adhere to either of these three month time periods, the creator(s)/author(s) may petition OTT to relinquish all rights or portion thereof in any intellectual property interests that have been established. Often, the OTT works with the creator(s)/author(s) to work out a plan to enhance the likelihood of institutional support. Under this scenario, the time periods discussed above may take longer than three months and the OTT may elect not to support the relinquishment of the rights of the university's intellectual property interest. At any time, the Senior Research Administrator on the respective SIU Entity shall have the ultimate decision making authority to relinquish the university's rights in the innovation. Should any intellectual property be returned to the creator(s)/author(s), the creator(s)/author(s) shall be required to repay the appropriate SIU Entity for its sunk intellectual property costs subject to the creator(s)/author(s) profiting from said intellectual property. The recovery of these sunk intellectual property costs, including those internal costs as defined and accounted for in the published fee schedule, may be recovered in a manner or waived altogether as deemed appropriate by the Senior Administrator.

#### V. Impact of Grants and Contracts on Intellectual Property Rights

Grants/contracts between the Board and any other agency shall, whenever feasible, state clearly the obligations and rights of the Board and of the cooperating agency, and the procedure to be followed should the activity result in innovations. Grants and contracts from various agencies frequently require the agency's prior approval of the terms and conditions of intellectual property agreements dealing with the development and dissemination of products resulting from activities performed under the grant/contract. In such cases, the OTT shall assist as applicable in negotiating with the sponsoring agency about future intellectual property rights and licensing agreements. Situations not specified in the agreement with the sponsoring agency will be subject to this Intellectual Property Policy.

If innovations are created with federal funding, the federal government will retain various rights in intellectual property created with the support of that federal funding. If an innovation is retained by the Board, then the Board will be responsible for compliance with all federal regulations. If an innovation is released to the creator(s)/author(s), then the creator(s)/author(s) will be responsible for compliance with all federal regulations.

#### VI. Income Distribution

If revenue is received by the Board from any intellectual property rights (patents, copyrights, technology products, trade secrets, trademarks, trade dress, etc.) associated with an innovation or commercial application outside of the academic field, all costs of procuring, developing, and administering such intellectual property rights, as well as costs for license agreement(s) and consulting services associated with those rights, shall first be paid from such revenue. Such costs may further include substantial undertakings by the OTT such as drafting patent applications and are subject to a fee schedule as outlined in Section VII. All income in excess of such expenses shall be distributed amongst the creator(s)/author(s), the SIU Entity from which the innovation was generated and creator/author's college/school and department. The creator(s)/author(s) shall receive 45%, the SIU Entity from which the innovation was generated shall receive 45%, the creator/author's college/school shall receive 5% and the creator/author's department shall receive 5% of net income received. If the creator/author does not report to a department (i.e. such as an administrative employee), then the unit to which the creator/author resides shall receive 5% of the income received, the SIU Entity from which the innovation was generated shall receive the other 50% and the creator/author shall receive 45%. If the creator/author is a Board affiliate, then the SIU Entity shall receive 55% and the Board affiliate shall receive 45% of income received.

Multiple creators/authors sharing in income shall decide among themselves how their share is to be divided, with the stipulation that the creators/authors shall divide one share of the 45% interest defined above. The allocation of the share among multiple creators/authors should be reported to the OTT at the time the creators/authors elect to proceed with seeking institutional support for their innovation. If the allocation of the share is not reported, the share will be allocated equally among all creators/authors. Income allocation for each distinct innovation is a one-time, irrevocable decision.

#### VII. Services Performed by the OTT

As warranted, the OTT may submit and prosecute patent applications with the United States Patent and Trademark Office. Those services constitute an internal cost for effort and shall be subjected to a fee schedule as published by the OTT after approval by the Senior Research Administrators. Those costs shall be recovered by the SIU Entity from which the innovation originated before a distribution is made as outlined in Section VI.

#### VIII. Responsibility of Creator(s)/Author(s) Regarding Intellectual Property Rights

If the Board elects to retain ownership in an innovation, the creator(s)/author(s) shall supply on a timely basis all information and execute all papers necessary for: A) preparing and/or pursuing intellectual property rights; B) pursuing and/or executing licensing agreements; and C) executing formal assignment documents for such innovations covered under this policy.

IX. Resolution of Controversy between SIU-S and Creator(s)/Author(s)

Any controversy or claim arising out of this statement of policy, or an agreement between the creator(s)/author(s) and the Board delineating individual and Board rights, claims, and responsibilities, or the breach thereof, shall follow the internal grievance procedures of the SIU Entity to which the creator(s)/author(s) report. If no agreement results from internal grievance procedures, arbitration shall be sought in accordance with the rules of the American Arbitration Association.

X. Amending this Policy

Any amendment to this policy shall be subject to consultation by an IP Policy Advisory Group that shall comprise of the Director of Office of Technology Transfer and the Senior Research Administrators and two faculty representatives from each SIU Entity as appointed by the Senior Research Administrators. The Board shall have the ultimate authority to approve the amendment as presented by IP Policy Advisory Group. The faculty representatives shall have at least one invention disclosure on file with the OTT and have generated copyrightable material.

Trustee Portwood expressed concern about the short period of time the members had to review the item and felt more time was needed to gather feedback from campus employees who would be impacted by the policy.

President Dunn reviewed the process that Mr. Rob Patino, system office of technology transfer director, followed with a group of representation including research administrators across the campuses. President Dunn supported Mr. Patino's recommendation as presented.

Trustee Gilbert moved approval of the item. The motion was duly seconded by Trustee Sambursky. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Donna Manering, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, Dr. Shirley Portwood.

Chair Thomas announced that the next regularly scheduled meeting of the Board would be held September 8, 2016, at SIU Edwardsville. He explained that there would be a news conference held at the Board table immediately following the meeting.

Trustee Sambursky made a motion to adjourn the meeting. Vice Chair Manering seconded the motion. The motion passed unanimously via voice vote, and the meeting adjourned at 12:16 p.m.

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Misty D. Whittington, Executive Secretary