

MINUTES OF THE SPECIAL MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
SEPTEMBER 13, 2017

Pursuant to notice, a special meeting of the Board of Trustees of Southern Illinois University convened at 2:01 p.m., Wednesday, September 13, 2017, in the Alumni Conference Center, Building 273, School of Dental Medicine, Alton, Illinois. The meeting was called to order by Chair Randal Thomas. The following members of the Board were physically present:

MG (Ret) Randal Thomas, Chair  
Hon. J. Phil Gilbert, Vice Chair  
Mr. Joel Sambursky, Secretary  
Mr. Sam Beard  
Mr. Luke Jansen  
Dr. Shirley Portwood  
Dr. Marsha Ryan  
Ms. Amy Sholar

Also present for the duration of the meeting were Dr. Randy J. Dunn, President; Mr. Lucas Crater, General Counsel; and Ms. Misty Whittington, Executive Secretary of the Board. Dr. Carlo Montemagno, Chancellor, SIUC; Dr. Randall Pembroke, Chancellor, SIUE; Dr. Jerry Kruse, Dean and Provost, SIU School of Medicine; Dr. Brad Colwell, Vice President for Academic Affairs; and Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs; attended a portion of the meeting.

Chair Thomas explained the procedures for the public comment and question portion of the Board's agenda.

Executive Secretary Whittington advised that no speakers had registered to speak.

The Chair reviewed that the Schedule of Meetings of the Board of Trustees for 2018 was on the agenda for approval at the next day's meeting. He asked for any questions or comments regarding the proposal, and none were made.

Executive Secretary Whittington reviewed portal software package options with the Board. Board members were in agreement to move forward to purchase the software.

Trustee Ryan discussed that she is sending acknowledgements to donors for gifts valued at \$50,000 or greater for SIUC on behalf of the Board. She suggested that similar acknowledgements be sent to SIUE and School of Medicine donors. Trustee Ryan agreed to be the contact for the School of Medicine donations also. Trustee Portwood will serve as the contact for SIUE donations.

Fiscal Year 2018 Operating Budgets were reviewed with the Board for each campus. Executive Director of Administration and Finance Judy Marshall reviewed tables for SIUC. Associate Provost for Finance and Administration Wendy Cox-Largent reviewed tables for the School of Medicine. Budget Director Bill Winter reviewed tables for SIUE. The group discussed the trend of shifting more pension costs to universities from the state. Capital funds are needed for deferred maintenance projects on the campuses. Ms. Marshall discussed the need to grow tuition revenue and for the SIUC campus to obtain more cash funded scholarships rather than providing tuition waivers for students.

Chancellor Pembroke and Mr. Winter discussed restructuring SIUE tuition and fees. The intent is to make tuition and fees more streamlined and clear for students and their parents. Trustee Jansen remarked that SIUE's Student Government supports

the proposal. The Chancellor discussed plans of presenting the proposal at the December Board meeting.

At approximately 3:23 p.m., Trustee Gilbert moved that the Board go into closed session to consider imminent and/or pending court proceedings against or on behalf of the Board; appointment, employment, compensation, discipline, performance, or dismissal of specific employees; collective negotiating matters and salary schedules for classes of employees; and review of minutes of meetings lawfully closed under the Open Meetings Act. The relevant sections of the Open Meetings Act Statute that allow for the closed session are 5 ILCS 120/2(c) (1), (2), (11), (21). The motion was duly seconded by Trustee Sholar. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Mr. Luke Jansen, Dr. Shirley Portwood, Dr. Marsha Ryan, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, none.

No action was taken in closed session.

Trustee Gilbert made a motion to adjourn the meeting. The motion was duly seconded by Trustee Sambursky, and it passed unanimously by voice vote.

The meeting adjourned at 5:50 p.m.

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Joel Sambursky, Secretary

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
SEPTEMBER 14, 2017

Pursuant to notice, a regular meeting of the Board of Trustees of Southern Illinois University convened on Thursday, September 14, 2017, at 10:26 a.m. in Roller Hall, Room 1511, Main Clinic Building, Building 263, School of Dental Medicine, Alton, Illinois. The meeting was called to order by Chair Randal Thomas. The following members of the Board were physically present:

MG (Ret) Randal Thomas, Chair  
Hon. J. Phil Gilbert, Vice Chair  
Mr. Joel Sambursky, Secretary  
Mr. Luke Jansen  
Dr. Shirley Portwood  
Dr. Marsha Ryan  
Ms. Amy Sholar

Member absent:

Mr. Sam Beard

Executive Officers present were:

Dr. Randy J. Dunn, President, SIU  
Dr. Carlo Montemagno, Chancellor, SIU Carbondale  
Dr. Randall Pembroke, Chancellor, SIU Edwardsville  
Dr. Jerry Kruse, Provost and Dean, SIU School of Medicine  
Dr. Brad Colwell, Vice President for Academic Affairs  
Dr. Duane Stucky, Senior Vice President for Financial and Administrative  
Affairs and Board Treasurer  
Mr. Lucas Crater, General Counsel

Also present was Ms. Misty Whittington, Executive Secretary of the Board.

NOTE: Copies of all background documents furnished to the Board in connection with the following matters have been placed on file in the Office of the Board of Trustees.

The Executive Secretary reported and Chair Thomas determined that a quorum was physically present.

Chair Thomas welcomed Chancellor Carlo Montemagno to his first Board meeting.

Trustee Sholar moved that the Minutes of the Meetings held July 12 and 13, 2017, be approved. Trustee Sambursky seconded the motion, and the minutes were approved unanimously by voice vote.

Under Trustee Reports, Trustee Portwood remarked about the pleasant visit to the Dental School. Further, she noted that the *Alton Telegraph* routinely publishes news articles related to community dentistry outreach efforts made by the Dental School's staff and students. Trustee Portwood also informed the Board that she had received a number of communications from various constituencies regarding the Africana Studies Program at SIUC.

Trustee Portwood provided a report to the Board regarding the SIUE Foundation which was prepared by Vice Chancellor of University Advancement Rachel Stack. She reported that the endowment continues to advance with a 2 percent gain this fiscal year in addition to a 12 percent increase in Fiscal Year 2017. Total giving is trending positive with a 17 percent increase in the first two months of the fiscal year. The Foundation reached high impact campaign goals on several significant projects to include the following: (1) Lovejoy Library's goal of \$100,000 for the enhancement of collection materials to support student and faculty communication, (2) School of Education, Health and Human Behavior's goal of \$50,000 for campus-based community outreach programs

and clinic, and (3) School of Nursing's goal of \$100,000 for scholarships and other ongoing and emerging needs.

Under Committee Reports, Chair Thomas reported that the Executive Committee had not met since his last report to the full Board.

Under Executive Officer Reports, President Dunn provided his report for the Southern Illinois University system. He noted that he would be providing information regarding the Strategic Plan, updates, and specific activities, through slides in the future. He provided the Board with a supplemental document with updates on strategic reporting and progress being made. Dr. Dunn reviewed with the Board the inaugural trustees lecture scheduled October 3 in Guyon Auditorium of Morris Library at SIUC.

The President reported on the economic growth objective with regard to external outreach. A Business Leaders Group 100 has been created which represents approximately 33 counties in the footprint of the SIU system. The business leaders will be convened two times per year for the purpose of receiving from the leader's advice and counsel to capitalize on SIU's strengths and advance the overall economic environment of southern Illinois as a whole. President Dunn spoke of increasing the level of shared services at a system level for possible cost savings. The process will begin with looking to a central service for equal opportunity, Title IX compliance, and other related areas. The President gave an update on the global relationships objective regarding the delegation that was to go from SIU to Cuba. Currently the travel is on hold due to uncertainty in the relationship between the United States and Cuba.

President Dunn noted that with the budget passage there were no current updates to provide. He informed the Board that the veto session would occur in the fall,

and he would provide updates at the December Board meeting. He shared some federal policy information regarding the School Year 2018 budget that was proposed by the Trump administration. It was believed that the Department of Education would have significant cuts to agencies that provide research funding; however, the proposal changed as it passed through the House and the Senate which has moderated the proposal. It appears it would leave the Department of Education pretty flat, rolling back some severe cuts on the agencies important to SIU for funding. He reported that President Trump recently came to an agreement for a three-month continuation which will provide more time to get the federal 2018 budget finalized.

The President gave an update on the Deferred Action for Childhood Arrivals (DACA) students and what discontinuing the program would mean to the SIU system. The system currently had approximately 68 students who would be impacted by the ending of the DACA program. He thanked the Chancellors and Dean Kruse on their clear message to the students who could be affected regarding support of those students during uncertain times. Dr. Dunn noted that he and staff would continue to advocate through multiple groups to ensure that Congress knows the importance to our students and the University as a whole. He reported that in regard to Title IX, Secretary DeVos indicated a desire to move away from an aggressive stance and a clear set of procedures to be followed, particularly in regard to handling campus sexual assault. He stated that SIU will not be rolling back its commitment to any student who feels they have been victimized. The University will look at its responsibility to address the complaints and provide the support and care needed for those students. President Dunn reported regarding the for-profit higher education providers, oversight by the Department of

Education has been rolled back. He stated that he would continue to advocate for heightened scrutiny and the other public and private presidents would be doing so as well.

The President discussed the joint salute to Illinois Scholars with the Carbondale and Edwardsville campuses, Eastern Illinois University, and University of Illinois. The institutions joined forces to recruit students along Interstate 70 and below. The goal is be proactive in keeping Illinois students in Illinois. He stated that Illinois Secretary of Education Beth Purvis tendered her resignation at the P-20 Council meeting in Chicago. The President noted that she will be missed for her leadership and coordination of all agencies in Illinois that are affiliated with education as well as her efforts in advocacy for higher education. Lastly, the President updated the Board on some staffing changes in the President's Office. Two of Vice President Stucky's staff, Tammy Carson and Tracey Jarrell, will move from a house on Chautauqua Street to the Stone Center. He spoke of an expansion of duties for Tracey Jarrell.

Dr. Randall Pembroke, Chancellor, SIU Edwardsville, provided his report for the Edwardsville campus. He reviewed attendance at the SIUE eclipse. He discussed enrollment and noted that fall enrollment was down 2.4 percent from last fall which was the highest enrollment in SIUE's history. The Chancellor reported that applications for next fall are up 42 percent, online offerings are up 16 percent, and the student body continues to become more diverse with Hispanic and Latino students growing 6 percent. He noted that the average ACT is tied for the second highest at 23.4 and retention for freshmen are up 1 percent from last year.



The Chancellor provided some highlights of recognition and achievements. He informed the Board that SIUE received the 2017 Higher Education Excellence in Diversity Award. The Chancellor noted that Kelly Gable was one of nine nationally to receive an appointment as a specialist member to the Board of Pharmacy Specialties Council on Psychiatric Pharmacy. Jessica Harris, Ph.D. was one of 68 invited to the 2017 Higher Education Resource Services Institute at Bryn Mawr College. Pharmacy students Kaylee Poole and James Reimer were appointed to serve on the Pharmacy Student Advisory Groups. The School of Nursing has increased corporate partnerships with the addition of Gateway Regional Medical Center. Megan Banks was recognized by the *St. Louis Business Journal* in the under thirty category among the region's next generation of high-level decision makers. The Solar Car Team finished in the top ten in the Formula Sun Grand Prix. Lastly, Professor Steve Hupp is awaiting word of his world record confirmation for book donations in a 24-hour period. To attempt the world record, 21,000 books were collected. Some of those books will be donated to the Head Start Program in East St. Louis, to Ghana, Africa, and to area veterans.

Chancellor Pembroke reported on other accomplishments for the campus. The University had a 12 percent increase in fundraising for the month of July. June 30 ended at \$22.4 million in SIUE's endowment as the highest amount ever. The Financial Literacy Program is working with Head Start philanthropists in the community to provide parents of Head Start students monetary awards to start checking and savings accounts. SIUE has started a new Houston-area Alumni Chapter. The Police Department and the School of Pharmacy collected over 24 pounds of prescription drugs in a drug take-back

program. SIUE has introduced a completely online degree completion program in Integrative Studies.

The Chancellor informed the Board on scholarship and grant activity for the campus. Jesse Dixon received more than \$1 million grant for Upward Bound from the U.S. Department of Education. Kim White received a \$416,000 grant for the School of Nursing for managed care in the East St. Louis area. Provost Denise Cobb received a \$243,000 grant for dual credits for people teaching in high school for students to receive college credit while finishing high school. Sharon Locke received a \$217,000 grant in the STEM area for the Mannie Jackson Center for the Humanities.

Dr. Pembroke reported on facilities projects for the campus. The Science Building renovation continues and is four months from the Capital Development Board being complete with its portion of the work. The Art and Design Building renovation is underway with glass panes being installed. The Engineering Student Design Center is complete which will be showcased on October 12 during Community Day. Lastly, the design of the Founders Hall renovation is complete.

Chancellor Pembroke spoke of students and athlete awards. SIUE had more than 760 volunteers to welcome residents to campus. They welcomed over 1,800 freshmen during SIUE Experience including a service day at seven sites across the Metro East. Students were doing a variety of tasks from weeding community vegetable gardens in Granite City, spreading mulch in the Nature Center in Edwardsville, to working with a special needs facility in Godfrey. In conclusion, the Chancellor announced that on October 14 there will be seven individuals and three teams inducted into the SIUE

Athletics Hall of Fame Class of 2017. In addition, Chancellor Emeritus Vaughn Vandegrift will be coming back to campus to be honored.

Dr. Carlo Montemagno, Chancellor, SIU Carbondale, provided his report for the Carbondale campus. He remarked on the experience and how well organized the eclipse celebration was conducted on the campus. The Chancellor informed the Board there were over 30,000 visitors to the region with over 14,000 people in attendance and over 2,000 staff and student volunteers at the eclipse. The eclipse generated a \$7 million economic impact for the region. Chancellor Montemagno reported that SIU Carbondale was named in the Top 100 Safest Colleges in America for 2017 out of 2,167 universities examined. He talked about enrollment challenges for the campus and that the University has had decreases steadily for some time. The Chancellor noted that ACT averages have increased for the last two years, off-campus enrollment has increased, and a steady rate of transfers are coming to SIU Carbondale. The University has engaged in a survey with over 3,000 participants from the faculty, staff, students, alumni and our community. Eighty percent of those surveyed reaffirmed the commitment to our mission statement. The Chancellor reviewed the mission statement and stated all participants want the University to be a comprehensive, nationally ranked, educational experience for students. They want our University to be a leader in academic quality and excellence, the community wants us to be a leader in knowledge creation and economic engagement and to be outward lookers who engages with our economy and enable societal prosperity while being at the forefront of discovery. Lastly, they want to have an institution that retains those firm roots of being a place of inclusion, diversity, and opportunity. He stated we need to keep

students at the core and engage them in subject matter and campus life while elevating the stature and rigor of the academic enterprise.

The Chancellor reviewed recruitment, retention and student success for SIU Carbondale. He noted that the campus needs to fix the recruitment process and get enrollment moving forward, and they are in the process of integrating all admissions requirements where significant data and analytics are being instilled. Retention efforts are being revitalized. Lastly, new ways should be found for student success after they graduate and provide a mechanism for them to move forward. He spoke of the idea to create synergistic scholar communities that enhance the environment for the students, faculty and staff. He invited the group to attend the State of the University Address on September 26 in Shryock Auditorium where he would unveil some features associated with the re-envisioning of the institution with the final detail being displayed at the leadership meeting later in October.

Under the Application for Appeal item on the agenda, the Chair reported that this was the first meeting held ten days following the recommendation by President Dunn that the Application for Appeal be denied. According to Article 6, Section 2 of the Bylaws of the Board of Trustees, if no motion to grant the Application for Appeal is approved, the Board of Trustees Bylaws provide that the Application for Appeal would be deemed to be denied and the action which was the subject of the grievance would stand. Hearing no such motion, the Chair stated that the Application for Appeal was denied and the decision action which was the subject of the grievance would stand.

The Chairman reviewed the procedure for the Public Comments portion of the agenda.

Dr. Ella Phillips Lacey, Racial Justice Coalition of Carbondale, made her presentation to the Board. Dr. Lacey reviewed her long affiliation with SIU Carbondale as an alumna, with the SIU School of Medicine as an emeritus faculty member, Alumni Association, and SIU Foundation. She reviewed that the Racial Justice Coalition was formed in 2013 for the purpose of bringing fairness and equity to areas of public decision making related to race. She expressed concern regarding the recommendation that the Bachelor of Arts Program in Africana Studies was among the programs recommended to be considered for closure. She spoke of the advantages the program provides for racial and cultural inclusion for the campus and the community at large. Dr. Lacey made recommendations for an assessment of Africana Studies to be performed, and she reviewed the mission statement for SIU Carbondale.

Dr. Linda Flowers, Carbondale Branch of the National Association for the Advancement of Colored People (NAACP), made her presentation to the Board. Dr. Flowers reviewed that she was a three-degree graduate from SIU Carbondale, a 44-year resident of Carbondale, and a life member of the SIU Alumni Association. She spoke of the group's opposition to the elimination of the Africana Studies Program. She spoke of positive experiences for students of color when there is diversity of staff, administrators and thoughts. Dr. Flowers noted that elimination of the program would not result in savings to the University as the faculty are tenured and mostly in other departments and programs. She distributed a letter to the Board members from the group.

Dr. Kathleen Chwalisz, SIU Carbondale Faculty Senate, made her presentation to the Board. She welcomed Chancellor Montemagno. She noted the Faculty Senate is discussing ways to contribute to various efforts as the Carbondale

campus is re-envisioned. She discussed recent activities of the group. The group looks forward to shared governance opportunities with the new administration. The Faculty Senate Governance Committee has been looking at peer institutions and what they are doing around shared governance. She noted there may be some opportunities that can be possible on the Carbondale campus in the coming months. On the academic front, the Faculty Senate has started working with some programs and departments that have reached out for assistance. Regarding SIUC's research mission, faculty will be working to identify and pursue cutting edge interdisciplinary research opportunities. She indicated the Faculty Senate is working on understanding factors that underlie decreasing enrollment, retention difficulties and increases in time to degree. Further, she noted that the group had been discussing ways to better address the needs of a diverse student body and ways that faculty can promote a greater sense of inclusion on the campus.

Mr. Scott Martin, Race Unity Group of Carbondale, made his presentation to the Board. Mr. Martin reviewed that his group consists of southern Illinois residents who meet weekly out of a commitment to confront racism and improve racial relations at SIU Carbondale and in southern Illinois. He reviewed activities of the group have included the discussion of personal issues, personal experiences, local concerns, and national events. The group has watched videos and movies that address issues of race and community. He reported that the group values any part of the University and community that educates people about the history and experiences of African Americans and that provides an opportunity for interracial relationships to form. He complimented each campus for receiving Excellence in Diversity Awards; however, he stated it is hard for the group to comprehend why SIU would consider eliminating the Africana Studies Program.

Mr. Martin spoke to the importance for undergraduate students to have available course work, university housing, or student-related organization experiences to build their cultural competency to live in today's multiethnic world. He spoke to the belief of the group that the Africana Studies Program should not be eliminated but instead enlarged as part of a commitment to fully prepare students to live in a diverse culture. Mr. Martin reported that he also presented his comments on the prior Monday to the Carbondale Human Relations Commission, and the Commission members voted to unanimously support the request for the continuation of the Africana Studies Program on the campus. In addition, Mr. Martin provided to the Board a petition of signatures from students and community members for the preservation of the Africana Studies Program at SIU Carbondale.

Mr. Wayne Mills made his presentation to the Board. He reviewed that he was an SIU Carbondale graduate, an SIU alumni life member, and a broadcasting engineer at SIU Edwardsville. He spoke to the positive atmosphere working on the SIUE campus. Mr. Mills added that he is a member of the Brotherhood of Electrical Workers Local 702 and a shop steward of the broadcasters on the SIUE campus. He spoke regarding the proposal for salary increase for certain SIUE employees. He expressed concern regarding the wording of the proposal which excludes represented groups of employees with open contracts and does not mention employees whose positions are grant funded. Mr. Mills spoke to the need for all SIUE employees to be treated fairly and equitably.

Dr. Kim Archer, SIUE Faculty Association, made her presentation to the Board. She spoke of Chancellor Pembroke's statement to employees that securing a long-overdue salary increase for employees was a priority. Dr. Archer reviewed an email

the Chancellor sent in July 2017 to the entire campus and expressed her sentiment regarding progress on negotiations between the University's and Faculty Association's bargaining teams. She expressed a commitment to work toward a positive resolution and recognized that salary increases must be bargained through the union representation. She requested that there be a fair and expeditious release of funds to all SIUE employees.

Chair Thomas explained the procedure for the Board's omnibus motion, and the listing of items proposed for the omnibus motion was as follows:

REPORTS OF PURCHASE ORDERS AND CONTRACTS,  
JUNE AND JULY, 2017, SIUC AND SIUE

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of June and July, 2017, were mailed to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUC

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| <u>Name</u>             | <u>Rank/Title</u>  | <u>Department</u>                   | <u>Effective Date</u> | <u>Percent Change</u> | <u>Salary</u>                      |
|-------------------------|--|-------------------------------------|-----------------------|-----------------------|------------------------------------|
| 1. Asrari, Arash        | Assistant Professor                                      | Electrical and Computer Engineering | 08/16/2017            |                       | \$ 9,874.00/mo<br>\$ 88,866.00/AY  |
| 2. Baughman, Matthew C. | Chief of Staff* (previously Assistant to the Chancellor) | Office of the Chancellor            | 08/17/2017            |                       | \$ 10,136.50/mo<br>\$121,638.00/FY |



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|-----|-----------------------|--|-------------------------------------|------------|--------|---|
| 3.  | Boulukos, Laura       | Research II**<br>(previously Researcher I)                             | SIU Foundation                      | 07/01/2017 | 47.08% | \$ 3,566.57/mo<br>\$ 42,798.84/FY<br>(Previous Salary –<br>\$ 2,425.00/mo<br>\$ 29,100.00/FY)   |
| 4.  | Bro, John K.          | Senior Lecturer***<br>(previously Assistant Instructor)                | ASA Aviation Management and Flight  | 08/16/2017 | 9.84%  | \$ 3,350.00/mo<br>\$ 30,150.00/AY<br>(Previous Salary –<br>\$ 3,050.00/mo<br>\$ 27,450.00/AY)   |
| 5.  | Carver, Andrew D.     | Director****<br>(previously Professor)                                 | Center for International Education  | 08/16/2017 | 18.01% | \$ 12,208.00/mo<br>\$146,496.00/FY<br>(Previous Salary –<br>\$ 10,345.00/mo<br>\$ 93,105.00/AY) |
| 6.  | File, Shelly A.       | Senior Lecturer***<br>(previously Assistant Instructor)                | ASA School of Allied Health         | 08/16/2017 | 7.35%  | \$ 4,380.00/mo<br>\$ 39,420.00/AY<br>(Previous Salary –<br>\$ 4,080.00/mo<br>\$ 36,720.00/AY)   |
| 7.  | Frankowski, Alfred    | Assistant Professor  | Philosophy                          | 08/16/2017 |        | \$ 7,056.00/mo<br>\$ 63,504.00/AY   |
| 8.  | Galloway, R. Dennis   | Senior Lecturer***<br>(previously Assistant Instructor)                | Radio, Television and Digital Media | 01/01/2018 | 4.88%  | \$ 6,450.00/mo<br>\$ 58,050.00/AY<br>(Previous Salary –<br>\$ 6,150.00/mo<br>\$ 55,350.00/AY)   |
| 9.  | Hayes, Dawn M.        | Senior Lecturer***<br>(previously Assistant Instructor)                | Curriculum and Instruction          | 08/16/2017 | 9.61%  | \$ 3,422.00/mo<br>\$ 30,798.00/AY<br>(Previous Salary –<br>\$ 3,122.00/mo<br>\$ 28,098.00/AY)   |
| 10. | Hoffeditz, Gregory A. | Senior Lecturer<br>(75%)*<br>(previously Visiting Assistant Professor) | Management                          | 08/16/2017 | 6.48%  | \$ 3,696.75/mo<br>\$ 33,270.75/AY<br>(Previous Salary –<br>\$ 3,471.75/mo<br>\$ 31,245.75/AY)   |
| 11. | Hoxha, Bardh          | Assistant Professor  | Computer Science                    | 08/16/2017 |        | \$ 9,445.00/mo<br>\$ 85,005.00/AY   |
| 12. | Huyck, Judy A.        | Senior Lecturer***<br>(previously Lecturer)                            | ASA School of Architecture          | 08/16/2017 | 7.35%  | \$ 4,380.00/mo<br>\$ 39,420.00/AY<br>(Previous Salary –<br>\$ 4,080.00/mo<br>\$ 36,720.00/AY)   |

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| 13. | Kim, Dal Hyung       | Assistant Professor   | Mechanical Engineering   | 08/16/2017 |        | \$ 9,000.00/mo<br>\$ 81,000.00/AY  |
| 14. | Knutson, Douglas R.  | Assistant Professor   | Psychology   | 08/16/2017 |        | \$ 7,778.00/mo<br>\$ 70,002.00/AY  |
| 15. | Lucas, Peter M.      | Assistant Director for Marketing and Advertising**<br>(previously Assistant Director of Transfer Relations) | University Communications and Marketing<br>(previously Undergraduate Admissions) | 06/19/2017 | 36.10% | \$ 4,750.00/mo<br>\$ 57,000.00/FY<br>(Previous Salary – \$ 3,490.00/mo<br>\$ 41,880.00/FY) |
| 16. | McCrocklin, Shannon  | Assistant Professor   | Linguistics  | 08/16/2017 |        | \$ 6,889.00/mo<br>\$ 62,001.00/AY  |
| 17. | Null, Dawn           | Assistant Professor****<br>(previously Coordinator)   | Animal Science Food and Nutrition<br>(previously Student Health Services)        | 08/16/2017 | 34.02% | \$ 7,900.00/mo<br>\$ 71,100.00/AY<br>(Previous Salary – \$ 4,421.00/mo<br>\$ 53,052.00/FY) |
| 18. | Rados, Robert C.     | Assistant Professor   | ASA School of Allied Health  | 08/16/2017 |        | \$ 7,000.00/mo<br>\$ 63,000.00/AY  |
| 19. | Redmond, Latasha     | Senior Lecturer***<br>(previously Lecturer)   | College of Science   | 08/16/2017 | 7.35%  | \$ 4,380.00/mo<br>\$ 39,420.00/AY<br>(Previous Salary – \$ 4,080.00/mo<br>\$ 36,720.00/AY) |
| 20. | Rekabdar, Banafsheh  | Assistant Professor   | Computer Science   | 08/16/2017 |        | \$ 9,445.00/mo<br>\$ 85,005.00/AY  |
| 21. | Schmidt, Kathleen E. | Assistant Professor   | Psychology   | 08/16/2017 |        | \$ 8,000.00/mo<br>\$ 72,000.00/AY  |
| 22. | Sykes, Jennifer L.   | Instructor***   | Curriculum and Instruction   | 08/16/2017 | 9.61%  | \$ 3,422.00/mo<br>\$ 30,798.00/AY<br>(Previous Salary – \$ 3,122.00/mo<br>\$ 28,098.00/AY) |
| 23. | Troue, Douglas R.    | Communications Coordinator**<br>(previously Communications Specialist)                                      | Undergraduate Admissions   | 08/04/2017 | 10.01% | \$ 3,329.00/mo<br>\$ 39,948.00/FY<br>(Previous Salary – \$ 3,026.00/mo<br>\$ 36,312.00/FY) |

|     |                 |   |                                    |            |       |   |
|-----|-----------------|---|------------------------------------|------------|-------|---|
| 24. | Weis, Andrew J. | Senior Lecturer***<br>(previously Assistant Instructor) | ASA-Aviation Management and Flight | 01/01/2018 | 8.57% | \$ 3,800.00/mo<br>\$ 34,200.00/AY<br>(Previous Salary –<br>\$ 3,500.00/mo<br>\$ 31,500.00/AY) |
| 25. | Zhou, Yan L.    | Senior Lecturer***<br>(previously Lecturer)             | Mathematics                        | 08/16/2017 | 6.84% | \$ 4,686.00/mo<br>\$ 42,174.00/AY<br>(Previous Salary –<br>\$ 4,386.00/mo<br>\$ 39,474.00/AY) |

\*Administrative Professional change in title

\*\*Promotion of Administrative Professional

\*\*\*Change from term to continuing

\*\*\*\*Promotion of continuing appointment

B. Leaves of Absence with Pay– None to be reported.

C. Awards of Tenure–

|    | <u>Name</u>       | <u>Title on Effective Date of Tenure</u> | <u>Department</u>                 | <u>Effective Date</u> |
|----|-------------------|--|-----------------------------------|-----------------------|
| 1. | Montemagno, Carlo | Professor                                | Electrical & Computer Engineering | August 15, 2017       |

D. Promotions – None to be reported.

The following changes in faculty-administrative payroll at the School of Medicine/Springfield campus are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Dean and Provost. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

|    | <u>Name</u>   | <u>Rank/Title</u>             | <u>Department</u>                       | <u>Effective Date</u> | <u>Percent Change</u> | <u>Salary</u>                     |
|----|---------------|-------------------------------|---|-----------------------|-----------------------|-----------------------------------|
| 1. | Crumly, David | Clinical Research Specialist* | Office of Population Science and Policy | 07/01/2017            |                       | \$ 2,583.33/mo<br>\$ 30,999.96/FY |

|     |                      |   |  |            |        |   |
|-----|----------------------|---|--|------------|--------|---|
| 2.  | Damarin,<br>Gregory  | Director of<br>Public Safety**<br>(previously<br>Director of<br>Security) | Capital<br>Planning and<br>Service<br>Operations | 07/01/2017 | 11.84% | \$ 7,916.67/mo<br>\$ 95,000.04/FY<br>(Previous Salary –<br>\$ 7,078.43/mo<br>\$ 84,941.16/FY) |
| 3.  | Franklin,<br>Jeffrey | Rural Health<br>Project<br>Coordinator*                                   | Center for<br>Rural Health                       | 07/01/2017 |        | \$ 5,100.92/mo<br>\$ 61,211.04/FY   |
| 4.  | Gessner,<br>Heather  | Genetic<br>Specialist*  | Clinical Affairs                                 | 07/01/2017 |        | \$ 6,014.67/mo<br>\$ 72,176.04/FY   |
| 5.  | Heitkamp,<br>Mary    | Rural Health<br>Project<br>Coordinator<br>(80%)*                          | Center for<br>Rural Health                       | 07/01/2017 |        | \$ 4,811.74/mo<br>\$ 57,740.83/FY   |
| 6.  | Kim, Roger           | Associate<br>Professor  | Surgery  | 10/09/2017 |        | \$ 18,750.00/mo<br>\$225,000.00/FY  |
| 7.  | Meyer, Eric          | Institutional<br>Review Board<br>Specialist*                              | Center for<br>Clinical<br>Research               | 07/01/2017 |        | \$ 4,083.33/mo<br>\$ 48,999.96/FY   |
| 8.  | Presley,<br>Dennis   | Rural Health<br>Project<br>Coordinator*                                   | Center for<br>Rural Health                       | 07/01/2017 |        | \$ 5,205.09/mo<br>\$ 62,461.08/FY   |
| 9.  | Rose,<br>Jennifer    | Assistant<br>Professor of<br>Family and<br>Community<br>Medicine*         | Family and<br>Community<br>Medicine              | 07/01/2017 |        | \$ 9,083.34/mo<br>\$109,000.08/FY   |
| 10. | Saleh,<br>Ezzeldin   | Assistant<br>Professor of<br>Clinical<br>Pediatrics                       | Pediatrics                                       | 07/10/2017 |        | \$ 13,333.33/mo<br>\$159,999.96/FY  |
| 11. | Tadros,<br>Nicholas  | Assistant<br>Professor  | Surgery  | 10/09/2017 |        | \$ 14,583.33/mo<br>\$174,999.96/FY  |
| 12. | Trump, Audra         | Certified Nurse<br>Practitioner*  | Family and<br>Community<br>Medicine              | 07/01/2017 |        | \$ 7,154.16/mo<br>\$ 85,849.92/FY   |
| 13. | Van Meter,<br>Jodie  | Certified Nurse<br>Practitioner*  | Family and<br>Community<br>Medicine              | 07/01/2017 |        | \$ 9,562.50/mo<br>\$114,570.00/FY   |
| 14. | Walston,<br>Jennifer | Certified Nurse<br>Practitioner*  | Internal<br>Medicine                             | 07/01/2017 |        | \$ 7,500.00/mo<br>\$ 90,000.00/FY   |

|     |                   |   |            |            |                                   |
|-----|-------------------|---|------------|------------|-----------------------------------|
| 15. | Yockey,<br>Sandra | Assistant<br>Professor of<br>Clinical<br>Pediatrics | Pediatrics | 06/26/2017 | \$ 4,166.67/mo<br>\$ 50,000.04/FY |
|-----|-------------------|---|------------|------------|-----------------------------------|

\* Change from term to continuing

\*\*Promotion of Administrative Professional

B. Leaves of Absence with Pay –

|    | <u>Name</u>      | <u>Type of<br/>Leave</u> | <u>Department</u> | <u>% of<br/>Leave</u> | <u>Date</u>           |
|----|------------------|--------------------------|-------------------|-----------------------|-----------------------|
| 1. | Ellsworth, Buffy | Sabbatical               | Physiology        | 100%                  | 01/01/2018-06/01/2018 |

Purpose: Our studies to elucidate the mechanisms underlying pituitary organogenesis increasingly rely on bioinformatics, the science of analyzing complex biological data. While many companies will perform bioinformatics analyses, these services are expensive and can be unreliable. My goal during this sabbatical is to increase my understanding of bioinformatics analysis of large data sets. I will audit Dr. Matthew Geisler's courses on bioinformatics analysis, PLB471 and PLB524, and dedicate time to apply this knowledge to my research. I also plan to take a course from Cold Spring Harbor in June that teaches bioinformatics approaches for a Single Cell Analysis. Learning bioinformatics analysis will allow me to confidently analyze global data sets in order to better investigate the molecular mechanisms underlying pituitary organogenesis.

C. Awards of Tenure – None to be reported.

D. Promotions – None to be reported.

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUE

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

|    | <u>Name</u>       | <u>Rank/Title</u>      | <u>Department</u> | <u>Effective<br/>Date</u> | <u>Percent<br/>Change</u> | <u>Salary</u>                   |
|----|-------------------|------------------------|-------------------|---------------------------|---------------------------|---------------------------------|
| 1. | Conoyer,<br>Sarah | Assistant<br>Professor | Psychology        | 8/16/2017                 |                           | \$6,112.00/mo<br>\$55,008.00/AY |

|     |                      |                     |                        |           |                                   |
|-----|----------------------|---------------------|------------------------|-----------|-----------------------------------|
| 2.  | Cox, Diane           | Director            | Graduate School        | 6/26/2017 | \$6,866.68/mo<br>\$82,400.16/FY   |
| 3.  | Evans, Rosalind      | Assistant Professor | Social Work            | 8/16/2017 | \$6,000.00/mo<br>\$54,000.00/AY   |
| 4.  | Flight, Richard      | Associate Professor | Management & Marketing | 8/16/2017 | \$13,792.00/mo<br>\$124,128.00/AY |
| 5.  | Garrison, Carol      | Academic Advisor    | CAS Advisement         | 7/17/2017 | \$3,025.46/mo<br>\$36,305.52/FY   |
| 6.  | Kessler, Catherine   | Academic Advisor    | School of Education    | 6/20/2017 | \$3,025.46/mo<br>\$36,305.52/FY   |
| 7.  | Lennon, Olivia       | Hall Director       | Housing                | 5/16/2017 | \$2,486.76/mo<br>\$29,841.12/FY   |
| 8.  | Ma, Alice            | Assistant Professor | Public Health          | 8/16/2017 | \$6,112.00/mo<br>\$55,008.00/AY   |
| 9.  | Ortegren, Marc       | Assistant Professor | Accounting             | 8/16/2017 | \$15,000.00/mo<br>\$135,000.00/AY |
| 10. | Rachowicz, Nicholas  | Associate Director  | MUC Administration     | 7/17/2017 | \$5,500.00/mo<br>\$66,000.00/FY   |
| 11. | St. Louis, Christine | Hall Director       | Housing                | 5/31/2017 | \$2,486.76/mo<br>\$29,841.12/FY   |
| 12. | Stegall, Ashley      | Hall Director       | Housing                | 7/03/2017 | \$2,486.76/mo<br>\$29,841.12/FY   |
| 13. | Vithayathil, Joseph  | Assistant Professor | CMIS                   | 8/16/2017 | \$12,800.00/mo<br>\$115,200.00/AY |
| 14. | Wottrich, Ellen      | Hall Director       | Housing                | 7/3/2017  | \$2,486.76/mo<br>\$29,841.12/FY   |

B. Leaves of Absence with Pay – None to Report

- C. Awards of Tenure- None to Report
- D. Awards of Promotion- None to Report

CHANGE IN FACULTY-ADMINISTRATIVE PAYROLL-  
OFFICE OF THE PRESIDENT AND UNIVERSITY-WIDE SERVICES

The following change in faculty-administrative payroll is submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the President. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Term Appointment

|    | <u>Name</u>   | <u>Rank/Title</u>         | <u>Department</u>         | <u>Effective Date</u> | <u>Salary</u>               |
|----|---------------|---------------------------|---------------------------|-----------------------|-----------------------------|
| 1. | Pryor, Brenda | Associate General Counsel | Office of General Counsel | 10/02/2017            | \$9,250/mo;<br>\$111,000/FY |

EXECUTIVE SUMMARY: RESOURCE ALLOCATION AND MANAGEMENT  
PROGRAM (RAMP) BUDGET REQUEST, FISCAL YEAR 2019

Background

The SIU President and senior staff are scheduled to discuss IBHE's budget request for FY 2019 in October. To provide a basis for discussion, Board approval is sought to submit the proposed requests as attached in Table 1 which indicates SIU will continue to seek special appropriations for the School of Pharmacy, the Simmons Cancer Institute, and the National Corn to Ethanol Center.

Capital project requests are attached in Table 2. Regular Capital projects remain unchanged from recent years, except for inflationary adjustments. Capital renewal projects have been updated as needed.

Rationale for Adoption

The RAMP 2019 Planning, Operating, and Capital Budget Requests are required by the IBHE for communicating the University's planning and budget decisions and resource requirements for Fiscal Year 2019. Acceptance by the IBHE requires approval by the SIU Board of Trustees.

Considerations Against Adoption

None are known to exist.

Constituency Involvement

The attached RAMP planning matters benefitted from constituency involvement at the time they were initially proposed several years ago. The planning matters have simply been updated and reintroduced without further constituency participation.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled That: the RAMP Planning, Operations, and Capital Documents for Fiscal Year 2019 for Southern Illinois University Carbondale (including the School of Medicine), Southern Illinois University Edwardsville, and Southern Illinois University Administration, be and are hereby approved as presented to the Board this date.

BE IT FURTHER RESOLVED, That: the President shall take appropriate steps to accomplish filing of the materials approved therein with the Illinois Board of Higher Education in accordance with the policies of Southern Illinois University.



**Table 1**

| <b>Southern Illinois University</b>                        |                    |
|--|--------------------|
| <b>Fiscal Year 2019 Program Budget Requests</b>            |                    |
| <b>Carbondale</b>  |                    |
| Touch of Nature  | \$500,000          |
| University Farms   | \$250,000          |
| Broadcasting Service - WSIU                                | \$500,000          |
| Total Carbondale   | \$1,250,000        |
| <b>School of Medicine</b>                                  |                    |
| Simmons Cancer Institute at SIU                            | \$2,438,000        |
| Population Health Initiative                               | \$935,000          |
| Equity, Diversity, and Inclusion Center for Excellence     | \$825,000          |
| Total School of Medicine                                   | \$4,198,000        |
| <b>Edwardsville</b>  |                    |
| School of Pharmacy Operations                              | \$1,250,000        |
| National Corn to Ethanol Center                            | \$1,000,000        |
| RN to BS off-campus site in Effingham and additional sites | \$475,500          |
| Cybersecurity Online Program                               | \$350,000          |
| East St. Louis Degree Completion and Support Services      | \$850,000          |
| Total Edwardsville   | \$3,925,500        |
| <b>TOTAL FY 2018 PROGRAM REQUESTS</b>                      | <b>\$9,373,500</b> |

Table 2

**Summary of Fiscal Year 2019  
SIU Capital Requests**

| <u>Rank</u>                                     | <u>Classification/Project/Budget/Category</u>                            | <u>Type of Request</u> | <u>Request Estimated Cost</u> | <u>Total Est. Cost</u> |
|---|--|------------------------|-------------------------------|------------------------|
| <b>Regular Capital Projects</b>                 |  |                        |                               |                        |
| 1   | Communications Renovate/Addition - SIUC                                  | Construction           | 76,901,900                    | 81,157,300             |
| 2   | Health Sciences Building - SIUE  | Planning               | 6,045,650                     | 102,872,070            |
| 3   | Agricultural Sciences Renovation/Addition - SIUC                         | Planning               | 6,887,000                     | 88,797,000             |
| 4   | Alton Dental Consolidation - SIUE  | Planning               | 5,548,640                     | 87,946,210             |
| 5   | Neckers Renovation & Addition - SIUC                                     | Planning               | 7,438,800                     | 95,969,900             |
| 6   | Performing Arts Center-SIUE  | Planning               | 3,264,740                     | 43,771,020             |
| 7   | Interdisciplinary Research Laboratory - SIUC                             | Planning               | 2,410,300                     | 31,302,600             |
| 8   | Life Science II Renovation - SIUC  | Planning               | 8,093,700                     | 104,366,700            |
| 9   | Richard H. Moy renovations and additions - SIUC-SOM                      | Renovation             | 32,938,718                    | 32,938,718             |
| 10  | Medical Instruction Facility Renovations - SIUC-SOM                      | Renovation             | <u>13,736,669</u>             | <u>13,736,669</u>      |
| <b>Total All 10 Priorities</b>                  |  |                        | \$163,266,117                 | \$682,858,187          |
| <b>Capital Renewal Projects</b>                 |  |                        |                               |                        |
|   | Woody Hall Upgrades - Mechanical Electrical Plumbing- SIUC               |                        | \$4,190,000                   |                        |
|   | Electrical Feeder Replacement/Upgrade to 12kV - SIUC                     |                        | 1,875,000                     |                        |
|   | Roof Renovation - SIUC   |                        | 1,200,000                     |                        |
|   | Replace Fire Alarms - General Campus - SIUC                              |                        | 1,125,000                     |                        |
|   | Pulliam Industrial Education Electrical Upgrades-SIUC                    |                        | 740,000                       |                        |
|   | Chilled Water Line Replacement - SIUC                                    |                        | 875,000                       |                        |
|   | Campus Water Line Replacement - SIUC                                     |                        | 925,000                       |                        |
|   | Classroom/Lab Renovations - SIUC   |                        | 1,725,000                     |                        |
|   | Steam Tunnel Structural Repairs - SIUC                                   |                        | 925,000                       |                        |
|   | Engineering Complex HVAC - SIUC  |                        | 1,875,000                     |                        |
|   | Campus Sidewalk Repairs - SIUC   |                        | 925,000                       |                        |
|   | Coal Storage and Handling System - SIUC                                  |                        | 2,050,000                     |                        |
|   | Agriculture Teaching Greenhouse - SIUC                                   |                        | 4,000,000                     |                        |
|   | Plant Biology Greenhouse and Conservatory                                |                        | 1,750,000                     |                        |
|   | Neckers Sprinkler System - SIUC  |                        | 2,150,000                     |                        |
|   | Medical Instruction Facility - Air Handling/Supply Air Renovations - SOM |                        | <u>7,582,554</u>              |                        |
|   | Total Carbondale   |                        | \$33,912,554                  |                        |
|   | Sprinkler Upgrades to Rendleman Hall                                     |                        | 1,540,774                     |                        |
|   | Sprinkler Upgrades to Dunham Hall  |                        | 2,091,050                     |                        |
|   | Install Fire Sprinkler System, 200 University Park Drive                 |                        | 715,359                       |                        |
|   | Edwardsville Campus Water System Upgrade                                 |                        | 1,051,638                     |                        |
|   | Campus Sidewalk and Road Repairs   |                        | 907,956                       |                        |
|   | Re-Wire Peck Hall  |                        | 1,430,719                     |                        |
|   | Re-Wire Lovejoy Library  |                        | 1,430,719                     |                        |
|   | Re-Wire Vadalbene Center   |                        | 1,430,719                     |                        |
|   | Founders Hall Window Replacement   |                        | 3,316,736                     |                        |
|   | Alumni Hall Window Replacement   |                        | 3,755,085                     |                        |
|   | Science Greenhouse Repairs and Upgrade                                   |                        | 2,818,405                     |                        |
|   | Mechanical Upgrades to Dunham Hall                                       |                        | 1,881,835                     |                        |
|   | Mechanical Upgrades to Rendleman Hall                                    |                        | 1,405,185                     |                        |
|   | Mechanical Upgrades to Founders and Alumni Hall                          |                        | 4,197,288                     |                        |
|   | Sprinkler Upgrades to Peck Hall  |                        | 1,227,667                     |                        |
|   | Sprinkler Upgrades to Lovejoy Library                                    |                        | 1,615,062                     |                        |
|   | Sprinkler Upgrades to Founders and Alumni Hall                           |                        | <u>2,517,734</u>              |                        |
|   | Total Edwardsville   |                        | 33,333,931                    |                        |
| <b>Total Capital Renewal Projects</b>           |  |                        | \$67,246,485                  |                        |
| <b>Grand Total Capital Requests for FY 2019</b> |  |                        | <b>\$230,512,602</b>          |                        |

Source: FY 19 Capital RAMP

PROPOSED BOARD OF TRUSTEES DEBT POLICY  
[ADDITION OF 5 POLICIES OF THE BOARD SECTION P]

Summary

This matter seeks approval by the SIU Board of Trustees to incorporate the Debt Policy into Board Policy as 5 Policies of the Board P. The Debt Policy serves as a guide for the Board Treasurer with respect to all debt decisions.

Rationale for Adoption

The University first adopted a Debt Policy in 2010. The Debt Policy was approved by the Finance Committee and incorporated into University Guidelines. Due to the importance of the Debt Policy in establishing the Board's direction for the debt of the University, it is recommended at this time that the Debt Policy be approved by the full Board and be made a part of Board Policy. The Debt Policy serves as the Board's directive to the Board Treasurer with respect to the University's debt strategy. It provides guidance to govern debt issuance, provide a framework for debt procedures and internal controls, and debt portfolio management activities.

In addition, this matter will update the Debt Policy to incorporate changes to the State University Certificates of Participation Act, update debt ratios to align with rating agency revised calculations, clarify governance roles and responsibilities related to the debt issuance function and to provide other clarification as needed.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) 5 Policies of the Board is hereby amended with the addition of the attached Debt Policy as Section P.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution.



# Debt Policy

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## **I. Policy Statement**

The University's use of debt plays a critical role in ensuring adequate and cost-effective funding for the acquisition, construction and renovation of capital assets. Since debt is a limited resource it must be managed strategically in order to best support the University's priorities. The following Debt Policy sets forth the guiding principles for the issuance of debt and provides a framework by which decisions will be made regarding the use and management of debt.

The University's debt management goals are to:

- Use debt as means to fund mission-critical projects.
- Strategically manage debt in order to maintain continued access to capital markets and maintain an acceptable credit rating.
- Limit risk within the University's debt portfolio.
- Achieve lowest cost of capital possible consistent with the first three goals.

The objectives of this policy are to:

- Outline the legal and regulatory authority by which the University can issue debt.
- Establish a framework for prioritizing projects and approving debt issuance.
- Establish guidance for debt capacity parameters.
- Document debt compliance and reporting requirements.
- Provide a framework for the University's debt management strategies.

## **II. Legal & Regulatory Authority**

All debt issued by the Southern Illinois University System will be issued under the provisions of the legal and regulatory authority described below.

### **1. Constitutional Authority**

Article IX, Section 9 of the Constitution of the State of Illinois permits State colleges and universities to issue bonds or other evidences of indebtedness for such purposes and in such amounts as may be authorized by law, but such debt may not be secured by the full faith and credit or tax revenue of the State and cannot be repaid, directly or indirectly, from tax revenue.

## 2. Revenue Bonds

The Board is authorized to issue revenue bonds pursuant to the Southern Illinois University Revenue Bond Act (110 ILCS 525/1 et seq.) (the “Revenue Bond Act”) for the purpose of acquiring “projects” as defined in Section 525/2(5) and to refund bonds as provided in Section 525/4 (B) and (C). The sources of payment of such revenue bonds, as provided by the Revenue Bond Act and as authorized by resolution of the Board of Trustees, are net revenues of the project or any group of projects. The Revenue Bond Act authorizes the pledge of tuition and fees as additional security for revenue bonds.

In general, revenue bonds may be utilized for the purpose of acquiring, constructing, and equipping revenue-generating projects or for refunding previously issued revenue bonds.

## 3. Certificates of Participation

Between June 2009 and December 2014, the University issued certificates of participation pursuant to the authority granted in the State University Certificates of Participation Act (110 ILCS 73) (the “COPS Act”). Following the expiration of the COPS Act on December 31, 2014, authority to issue certificates of participation defaulted to authority granted by the Southern Illinois University Management Act (110 ILCS 520/0.01 et seq.) (the “Management Act”). Under the provisions of the Management Act, the Board may enter into installment contracts providing for the acquisition of various improvements by the Board paid for from the proceeds of certificates of participation representing interests in the payments to be made over time by the Board as consideration for such improvements. The certificates of participation are issued by a trustee under an indenture, which provides security for the certificates of participation.

In general, certificates of participation are used for acquiring, constructing, and equipping non-revenue producing projects, such as infrastructure, administrative or academic projects.

## 4. Public University Energy Conservation Financing

The Public University Energy Conservation Act (110 ILCS 62/1 et seq.) (the “Energy Conservation Act”) authorizes the Board to enter into a “guaranteed energy savings contract” with a “qualified provider” to provide “energy conservation measures” (as such terms are defined in the Energy Conservation Act). The Energy Conservation Act contains the methods for obtaining and evaluating proposals and awarding the contract. Under the guaranteed contract, the provider guarantees the University that the savings under the contract will meet or exceed the costs of the conservation measures within twenty years of the date of final installation of the measures.

Section 110 ILCS 62/25 provides that the University may issue debt certificates to finance an installment payment contract or lease purchase agreement for the purchase and installation of the energy conservation measures.

5. *Illinois Procurement Code*

The Illinois Procurement Code (30 ILCS 500) contains limitation provisions which apply both to the issuance of revenue bonds and to the issuance of installment contract certificates of participation. Service providers instrumental in the issuance of debt must be approved through a competitive request for proposal (RFP) process. Certain participants may be exempt from the procurement process. In addition, certificates of participation installment contracts are limited to a maximum duration of 10 years per the Illinois Procurement Code.

6. *Illinois Board of Higher Education*

The Board of Higher Education Act (110 ILCS 205/0.01 et seq.) requires that the University submit its plan for capital improvement of non-instructional facilities to the Illinois Board of Higher Education (the “IBHE”) for approval before final commitments are made. Non-instructional facilities include, but are not limited to, residence halls, stadiums, student centers, recreational facilities, and parking lots. The IBHE shall determine whether or not any project submitted for approval is consistent with the master plan for higher education and with instructional buildings that are provided for therein.

7. *Legislative Audit Commission Guidelines*

The Legislative Audit Guidelines limit the purpose for which revenue bonds may be issued, the uses of funds, the sources of payment and the accumulation of excess reserves.

**III. *Establish Framework for Prioritizing Projects and Approving Debt Issuance***

The University seeks to optimize its debt capacity by prioritizing projects and financing those projects that are critical to the mission and advance the strategic objectives of the University. Listed below are the processes established for prioritizing projects and approving the use of debt:

1. *Identify & Prioritize Projects*

Debt financed projects will be identified as early as possible. All potential debt financed projects under consideration for the next several years are discussed as to their budget, timeline, and priority. Projects should be prioritized based on their relationship to the University’s mission and strategic objectives.

2. *Internal Approval Process*

Project details are reviewed by key administrative staff to assure there is demand for the project, a reliable source of revenue is identified for debt repayment and that the financial analysis assumptions are reasonable. Projects are reviewed and approved by the Chancellor and brought forward to the President on a campus-by-

campus and programmatic basis. The President recommends project financing and brings forward to the Board of Trustees for project financing approval.

3. *Authorization to Issue Debt*

Bonds and Certificates of Participation are authorized by resolution of the Board of Trustees prior to issuance. The Board has delegated to the Board Treasurer the responsibility for overseeing the issuance of revenue bonds and other forms of external financing.

**IV. *Establish Guidance for Debt Capacity Parameters***

Debt capacity is generally measured through ratio analysis. Ratios provide a consistent measure of the debt level carried by an institution in relation to its balance sheet, revenues and expenses. Ratio analysis provides insight into the debt capacity from two perspectives: by monitoring trends over time and in comparison to benchmarks. It is the intent of the university to maintain a strong financial position that will support a favorable ratio analysis measured against national standards, peer and in-state comparisons, and credit rating agency medians.

Below are four key quantitative ratios used by the University to evaluate its level of debt affordability and debt capacity.

- *Spendable Cash & Investments to Total Debt*– Measures the ability of the University to repay bondholders from wealth that can be accessed over time or for a specific purpose.
- *Total Debt to Cash Flow*– Measures the ability of the University to repay its debt from the profitability of its current operations, as opposed to financial reserves, and is a measure of debt affordability.
- *Operating Cash Flow Margin*– Compares net income before non-cash expenses relative to operating revenue to indicate the amount of cash the University generates to support its strategic and capital investments.
- *Debt Service Coverage*– Measures the margin of protection for annual debt service payments from annual operations.

The University recognizes that its strategy and mission must be the primary drivers of its capital investment and use of debt. External credit ratings provide a view on debt capacity and affordability, and will be used to help maintain a strong financial profile.

**V. *Debt Compliance and Reporting Requirements***

In order to have continued access to the capital financing markets, the University must comply with Securities and Exchange Commission laws, various Internal Revenue Service rules and regulations, and bond covenant requirements. The Board Treasurer is responsible for ensuring these compliance and reporting requirements are maintained.

The Board Treasurer has established a Debt Compliance Policy, which includes, but is not limited to, the following procedures and processes used to assist in monitoring these compliance and reporting requirements.

- Responsibility for Maintaining Compliance
- Private Business Use
- Arbitrage Rebate
- Investment of Debt Proceeds
- Expenditure of Debt Proceeds
- Record Retention
- Continuing Disclosure

## **VI. Debt Management Strategies**

When selecting a debt management strategy, all options will be evaluated within the context of balancing the goals of limiting risk and achieving the lowest cost of capital possible. In order to limit risk of the University's debt portfolio, the University will manage debt on a portfolio, rather than a transactional or project specific basis.

Described below are some of the key debt management strategies and tools that can be utilized to achieve the University's goals:

### **1. Methods of Sale**

The University will consider various methods of sale. Negotiated, competitive, private placement, and bank qualified sales will be considered on an individual transaction basis. Issue size and complexity will be factors in determining which method of sale to pursue.

### **2. Fixed versus Variable Rate Allocation**

Variable rate debt can provide a lower cost of capital, but introduces additional risks. The University will carefully examine the risks and rewards of variable rate exposure. Variable rate debt should only be used as an integral part of a long term strategy and should not exceed twenty percent of the total debt portfolio or fifty percent of total operating cash.

### **3. Purchase of Insurance or Credit Enhancement**

The University will evaluate insurance and credit enhancement opportunities and utilize them if they are deemed cost effective.

### **4. Call Features**

The University may use call provisions when issuing debt. Call features should be structured to provide maximum flexibility relative to cost.



5. Refunding Opportunities

The University will monitor its debt portfolio for refunding and/or restructuring opportunities. For a stand-alone refunding for savings, the University will generally enter into a transaction that produces at least a 3% present value savings (based upon the amount of callable bonds or certificates). The University may also seek to refinance debt for legal reasons, such as to ensure compliance with IRS regulations or to address any bond document related issues, including eliminating restrictive covenants, payment obligations, reserve and/or security requirements or other obligations, or from consolidation into larger, more cost-effective transactions. Advance refunding transactions must weigh the current opportunity against possible future refunding opportunities.

6. Selection of Underwriters and Participants on the Selling Team

The members of the selling team, including the senior and co-managing underwriters, bond counsel, financial advisor and other service providers, will be selected in compliance with the Illinois Procurement Code. The selling team members assembled should complement the specific needs of the particular transaction. The University will reserve the right to utilize a competitive process for any single debt issue.

7. Public-Private Partnerships

Third parties may provide other types of funding for capital investments and other needs. When considering public-private partnerships, the University will assess the direct or indirect impact on the University's debt position or overall credit profile.

8. Taxable Debt

The University will manage its debt portfolio to minimize its taxable component in order to keep its cost of borrowing as low as possible. The University may utilize taxable debt for projects ineligible for tax-exempt financing. Taxable debt would be issued pursuant to the same legal and regulatory authority as tax-exempt debt.

Attribution

This policy was developed, in part, or incorporates language, based upon the debt policies of the University of Illinois, University of North Carolina and Oregon State University. Because legal structure and debt issuance are governed by state and federal laws and statutes, this policy may look very similar to other institutions.

NAMING OF THE PATIO AT THE RALPH BECKER PAVILION on CAMPUS LAKE,  
CARBONDALE CAMPUS, SIUC

Summary

This matter seeks to name the patio next to the Ralph E. Becker Pavilion on Campus Lake as the Charles Hines Patio.

Rationale for Adoption

A \$1 million gift by SIU Carbondale alumnus Ralph E. Becker has been received by the SIU Foundation to fully fund the renovation and expansion of the boat house and pavilion on Campus Lake. The Board of Trustees approved the project in December 2016 and approved the awarding of the contract for the renovations at its July 2017 meeting. Mr. Becker's donor agreement with the SIU Foundation included the ability to recommend the naming of the patio.

Mr. Becker has asked the University to name the boat house patio after Charles C. Hines. Mr. Hines, a southern Illinois native and cousin to Mr. Becker, graduated from SIU in 1954 with a bachelor's degree in political science. He played two years of baseball for the Salukis under Coach Abe Martin. He was a member of the Student Council and the Air Force ROTC. He served as an active Air Force pilot for three years following his graduation and later earned his law degree at the University of Illinois.

He joined the Carbondale law firm of Feirich and Feirich in 1960. In 1963, he co-founded his own law firm with George Twomey and practiced until 2008. His clients included the Carbondale Park District, his hometown of Grand Tower, and Carbondale Community High School. Mr. Hines was elected to the Carbondale Elementary School District 95 Board from 1966-1975 and served as its chairman.

He was a founding member of the Chancellor's Council of the SIU Foundation, a member of the Paul & Virginia Society and life member of the SIU Alumni Association. A generous alumnus, his lifetime giving to SIU placed him in the Pulliam Society level for donors whose cumulative giving ranged between \$100,000-\$249,000.

The Chancellor and Vice Chancellor for Development and Alumni Relations, SIUC, recommended this item to the President.

Constituency Involvement

The Naming University Facilities Committee, SIUC, met to consider this request and recommended it for approval.

### Considerations Against Adoption

There are countless persons who could be worthy of such a naming opportunity. However, Mr. Becker thoughtfully considered many options and, in bringing forward Charles Hines' name, has requested a person who is deserving of such an honor.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The patio next to the Ralph E. Becker Pavilion at the boat house on Campus Lake be named for Charles C. Hines.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

### APPROVAL OF PURCHASE: MEDICAL OFFICE BUILDING LEASE SCHOOL OF MEDICINE CAMPUS, SIUC

### Summary

This matter seeks approval for the purchasing contract for leased space. The purchase order for the lease is based on the annual lease rate maximum value of the first year contract of \$644,355.36. The total square footage to be leased is approximately 38,538 square feet.

### Rationale for Adoption

SIU Medicine, Departments of Internal Medicine and Surgery, is currently leasing space within the Memorial Medical Center facility for educational and administrative functions for faculty, staff and residents.

The space is proximally located to the other medical facilities on the School of Medicine Campus and medical services within the Memorial Medical Center. Continuing to lease this space within the Medical Center for faculty, students and residents will allow SIU to further the patient care and physician training programs, both clinically and academically. The adjacencies will also provide an integrated interdisciplinary experience, benefiting the patients and also improving the providers and trainees hands on experience. As SIU Medicine is currently occupying this space, a continuation of the lease is more economical than developing new space.

The lease is a three (3) year lease and rental costs will increase 3.0% annually each year for the term of the lease.

This matter is recommended for adoption by the Dean and Provost of the School of Medicine.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in a regular meeting assembled, That:

(1) The purchase order for leased space, School of Medicine Campus, SIUC, be and is hereby awarded to Memorial Health Systems, Springfield, IL, for a three (3) year term with two successive two (2) year options to renew for a total of seven (7) years.

(2) The Board of Trustees hereby authorizes the purchase of goods and services associated with this award.

(3) The purchase will be funded by SIU Medicine funds and patient revenue sources.

(4) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL OF PURCHASE:  
THIRD PARTY ADMINISTRATOR FOR PHARMACUTICAL PROGRAM  
SCHOOL OF MEDICINE CAMPUS, SIUC

Summary

This matter seeks approval for the purchasing contract for a Third Party Administrator (TPA) for the 340B Pharmaceutical Program, provided by the Center for Family Medicine. The total cost of the three-year award is \$861,891.84 which is funded by the revenue generated through this program.

### Rationale for Adoption

The Center for Family Medicine, the federally qualified health center for the SIU School of Medicine, participates in the federal 340B Pharmaceutical Program. This program provides patients the ability to purchase outpatient drugs at significantly reduced costs. The program is regulated by the Federal Office of Pharmaceutical Affairs (OPA) and the program oversight is managed by Health Resources and Services Administration (HRSA).

The complexity of managing this program requires the use of an external pharmacy and outside vendor called a third party administrator (TPA). This TPA is responsible for complying with all federal regulations related to this program including determining eligibility, claims adjudication, formulary management, management of copays, inventory of medications and reporting. Because of the regulation and complexity, most large volume pharmacies will only contract with a TPA to manage these programs not with individual clinics.

The Center for Family Medicine was contracting with a local pharmacy in Springfield as well as 19 Walgreen locations in Springfield, Quincy and Jacksonville. Implementing a TPA will allow for the Center for Family Medicine to contract with the existing locations plus another 54 pharmacy locations. This creates greater availability and convenience to patients, especially given the expansion of these clinics into more service areas. We have completed a comprehensive RFP to select a vendor to perform the following services:

- 340 B Program Coordination
- Third Party Claims Adjudication Coordination
- Contract Pharmacy Coordination

The initial contract period is for three years with two options to renew for up to additional 6 years.

### Considerations Against Adoption

The SIU School of Medicine could be its own third party administrator for this program. After careful review and consideration, taking into account the external federal reporting requirements and contract negotiations, the Center for Family Medicine determined this program could be managed more cost efficiently by a vendor with the expertise and the needed technology in place.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in a regular meeting assembled, That:

(1) The purchase order for TPA services, School of Medicine Campus, SIUC, be and is hereby awarded to RxStrategies, Boca Raton, FL, for a three (3) year term with two successive three (3) year options to renew for a total of nine (9) years.

(2) The Board of Trustees hereby authorizes the purchase of goods and services associated with this award.

(3) The purchase will be funded by non-appropriated SIU Center for Family Medicine funds and patient revenue sources.

(4) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

### APPROVAL OF THE ERTC ADVISORY COMMITTEE MEMBERSHIP, SIUE

#### Summary

This matter would approve the membership of the Environmental Resources Training Center (ERTC) Advisory Committee. This approval is requested in order to comply with the Sewage and Water System Training Institute Act.

#### Rationale for Adoption

In accordance with the Sewage and Water System Training Institute Act (110 ILCS 530/0.01, PA 86-1324) members of a Sewage Treatment Operators Training Institute Committee and a Public Water Supply Operators Training Institute Committee shall be appointed by the SIU Board of Trustees. The Committees shall develop the curriculum for the ERTC located on the Edwardsville campus. Further, the Act allows for combining the two Committees into one Committee as long as the combined Committee is comprised of six members designated by the Governor and three members designated by the SIU Board of Trustees.

University Officers recommend combining the two Committees into one ERTC Advisory Committee.

### Constituency Involvement

This matter is recommended for adoption by the Chancellor and the Provost and Vice Chancellor for Academic Affairs, SIUE.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The following list of prospective members of the ERTC Advisory Committee is approved:

#### Nominations of SIUE Members by the Board of Trustees:

1. Jim Zhou  
Professor of Civil Engineering
2. Dr. Zhi-Qing Lin  
Professor of Environmental Science, SIUE
3. Edward Matecki  
Assistant Director for Utilities, Facilities Management, SIUE

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

### AWARD OF CONTRACT: TURF REPLACEMENT PROJECT, OUTDOOR RECREATION COMPLEX, SIUE

### Summary

This matter seeks to award a contract in the amount of \$1,113,000 to replace the natural turf at the Outdoor Recreation Complex with synthetic turf on the Edwardsville campus.

### Rationale for Adoption

On April 6, 2017, the SIU Board of Trustees provided project and budget approval in the amount of \$1,500,000 for Turf Replacement Project, Outdoor Recreation Complex, SIUE. The project entails replacing the natural grass surface on the soccer/football and softball fields at the Outdoor Recreation Complex with synthetic turf. The project intent is to extend the playing and practice seasons for club sports teams and

intramural play and provide playing surfaces that are more durable and rain tolerant. The work will be funded from University student recreation operations and reserve funds. Bids received on June 2, 2017, were favorable and awarding the contract is requested. A summary of bids received is attached for review. The Chancellor, Vice Chancellor for Student Affairs, and the Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

### Considerations Against Adoption


The student recreation fields will be closed during construction, the impact of which will be mitigated by construction scheduling and use of alternative fields if necessary.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contract to perform the turf replacement at the outdoor Recreation complex, SIUE, be and hereby is awarded to Byrne & Jones construction, St. Louis, MO, in the amount of \$1,113,000.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

|  |                 | Add Alt. #1<br>8 Year<br>Annual<br>Maintenance | Add Alt. #2<br>14-mm Thick<br>Polypropylene | Add Alt. #3<br>SIUE Logo #1<br>Multi-Purpose | Add Alt. #4<br>SIUE Logo<br>Softball | Add Alt. #5<br>SIUE Logo #2<br>Multi-Purpose |
|---|-----------------|--|---|--|--------------------------------------|--|
| Contractors   | Base Bid        |  |   |  |                                      |  |
| Byrne & Jones Construction<br>13940 St. Charles Rock Road<br>St. Louis, MO 63044    | \$ 958,000.00   | \$ 12,000.00                                   | \$130,000.00                                | \$ 5,000.00                                  | \$ 3,000.00                          | \$ 5,000.00                                  |
| ATG Ram Industries LLC<br>317 East Commerce Street<br>Andover, KS 67002             | \$ 1,075,000.00 | Included in<br>Base Bid                        | \$160,000.00                                | \$ 6,600.00                                  | \$ 2,400.00                          | \$ 6,600.00                                  |



## PROJECT AND BUDGET APPROVAL: FOUNDERS HALL RENOVATION, SIUE

### Summary

This matter seeks project and budget approval to renovate Founders Hall as part of the 21<sup>st</sup> Century Buildings Plan. The estimated cost of the project is \$34,100,000 and will be funded from the Facilities Fee. The award of contracts will require further Board consideration.

### Rationale for Adoption

On December 11, 2014, the SIU Board of Trustees provided planning approval for the project, Founders Hall Renovation, SIUE. The core campus buildings on the Edwardsville campus were constructed in the 1960s and 1970s. While the buildings have been adequately maintained, the primary electrical, lighting, plumbing, window, and mechanical systems are original and the buildings were not designed to current life safety, energy, and seismic codes. When SIUE students passed a Facilities Fee referendum in 2008, they mandated that campus buildings and infrastructure be updated and maintained. Since 2008, much has been accomplished, but during the next ten to fifteen years SIUE will see an unprecedented increase in major building repairs. Under the Illinois Board of Higher Education capital model, university buildings should have a major renovation every 50 years. Based on what we are seeing in the campus buildings built in the 1960's and 70's that model is too optimistic. Major systems of that era are reaching the end of their economic lives in 30 - 40 years. Experience has shown that once buildings go past their economic life, the operating and maintenance cost rise rapidly and the ability to meet the user's needs and to adapt to changing user demands decreases. Some of these impacts are already readily visible on campus.

The 21<sup>st</sup> Century Buildings Plan is a program that will renovate six core campus buildings in sequential order: Founders Hall, Alumni Hall, Rendleman Hall, Dunham Hall, Peck Hall and the Lovejoy Library. Construction will be phased based on available swing space and the faculty and staff that can be relocated from each building into the available swing space. Founders Hall will be renovated in two phases, defined by the (1) Lower Level and 1<sup>st</sup> Floor and (2) the 2<sup>nd</sup> and 3<sup>rd</sup> Floors. The building is approximately 140,000 gross square feet and is connected to Alumni Hall by an underground finished corridor at the Lower Level and a skywalk at the 3<sup>rd</sup> Floor. The vacating, construction, outfitting, and re-occupancy of each phase will be based on the University academic semester schedule.

The scope for each building includes replacement of the building's electrical, telecommunications, plumbing, window, and mechanical systems. Fire alarm systems will also be replaced and upgraded to include emergency notification, and fire protection systems replaced and expanded. The building structural system will be upgraded to the Life Safety Performance Level, and storm shelters will be developed in

the lower level of each building. Interior finishes will be renewed, particularly in classrooms, but significant interior space alterations are not planned. Classrooms will be updated as required with standard University technology packages. The building information technology network, including WIFI coverage and bandwidth, will be maintained or expanded. The buildings are known to have asbestos containing materials such as floor tile and mastic, pipe insulation, exterior window soffits, and fire-proofing that will be abated. The University is also experiencing what appears to be microbial influenced corrosion in some plumbing systems.

The renovation will be funded from the Facilities Fee. The 21<sup>st</sup> Century Buildings Plan was structured to be a “pay as you go” plan so no loan is anticipated for this project. The Chancellor and the Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

#### Considerations Against Adoption

Offices and classrooms will be temporarily located in other facilities on campus during the renovation work and will cause a short-term inconvenience to employees and students.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The project and budget approval for Founders Hall Renovation, SIUE, in the amount of \$34,100,000 be and is hereby approved.

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

#### PROJECT AND BUDGET APPROVAL: MORRIS UNIVERSITY CENTER SKYLIGHT REPLACEMENT, SIUE

#### Summary

This matter seeks project and budget approval to replace the existing skylight system in the Morris University Center on the Edwardsville Campus. The estimated cost for the work is \$501,000. The award of contracts will require further Board consideration. Funding for this project will come from Morris University Center Repair, Replacement and Reserve (RRR) funds.

### Rationale for Adoption

Due to the deterioration and resultant leaking of the original skylight system in the Morris University Center, the skylight system requires replacement. This project will replace the existing skylight with a similar system consisting of 36 acrylic panels, insulation between the skylight panels and modifications to the roof system. Failure to replace the leaking skylight will cause further deterioration of the system and continued leaking. Funding for this project will come from Morris University Center RRR Funds.

The award of contracts will require further Board action. The Chancellor, the Vice Chancellor for Student Affairs, and the Vice Chancellor for Administration, SIUE, have recommended this matter to the President.

### Considerations Against Adoption

Funds used for this project would not be available for use on other projects.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The project and budget approval for Morris University Center Skylight Replacement, SIUE, in the amount of \$501,000 be and is hereby approved.

(2) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

### APPROVAL OF PURCHASE: TARGETED RECRUITMENT AND OUTREACH FOR FRESHMEN, SIUE

### Summary

This matter seeks approval to purchase professional services to expand freshmen recruitment through better targeted efforts in markets opened to SIUE by the recent introduction of a universal “in-state” tuition rate for all domestic undergraduates.

The contract would be for an initial nine month period beginning October 1, 2017. The University reserves the option to renew the contract for up to five additional years. The estimated cost of the six year contract is \$3,000,000. The contract will be funded by Enrollment Management Operating funds.

### Rationale for Adoption

Through a Request for Proposal (RFP) process, proposals were solicited and received on August 4, 2017. University officials recommend the award of contract to Royall & Company, to provide professional services for specialized student searches and recruitment communication services in order to enhance the growth of the SIUE freshman student population.

The estimated cost for the initial service contract (October 1, 2017, through June 30, 2018) is \$500,000 with an option to renew for five consecutive, one-year periods with an approximate cost of \$500,000 per year, and will be funded by Enrollment Management Operating funds.

The Chancellor and the Provost and Vice Chancellor for Academic Affairs, SIUE have recommended this matter to the President.

### Constituency Involvement

A Selection Committee comprised of members of Enrollment Management, Undergraduate Admission, University Marketing & Communication and the Chancellor's Office set the RFP criteria and reviewed the submitted proposals.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The professional services contract for targeted recruitment and outreach for freshmen is hereby awarded to Royall & Company.

(2) The contract will be funded by Enrollment Management Operating funds.

(3) The President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL: ESTABLISHMENT OF THE SIU DENTAL ASSOCIATES, INC.,  
UNIVERSITY RELATED ORGANIZATION, SIUE

Summary

This matter seeks board approval for the establishment of a university related organization (URO) in the form of a not-for-profit corporation to serve as the University's dental clinical faculty practice plan of the Southern Illinois University School of Dental Medicine, known as SIU Dental Associates (SIUDA), and through which the University would carry out its statutory responsibility for administration of the plan for the performance of professional services by members of the dental clinical faculty of the School of Dental Medicine.

Articles of Incorporation for SIUDA (filed April 6, 2017, with the Illinois Secretary of State) are appended as Attachment A. A Master Agreement between the University and SIUDA will be executed by the President, on the University's behalf after and if approval is granted to recognize SIUDA as a URO. The Corporation is in the process of filing to become a not-for-profit corporation organized and existing under and by virtue of the General Not for Profit Corporation Act of 1986, as amended, to become exempt from federal income taxes under the Internal Revenue Code. SIUDA would be operated as a URO pursuant to and in compliance with the Legislative Audit Commission University Guidelines 1982 as amended (LAC Guidelines). SIUDA is organized exclusively for charitable, educational and scientific purposes, and to benefit and support the teaching, research and service mission of the SIU School of Dental Medicine.

Rationale for Adoption

The Southern Illinois University Management Act, at 110 ILCS 520/8(11), directs and empowers the Board of Trustees to administer a plan established by the clinical faculty of the School of Dental Medicine for the billing, collection, and disbursement of charges made by faculty members for professional services rendered in the course of or in support of their academic responsibilities, provided that such plan has been approved by Board action.

The Corporation would be managed by a Board of Directors composed of five (5) members possessing the authority to regulate the internal affairs of the Corporation's policies.

School of Dental Medicine clinical faculty who elect to participate in the SIUDA's clinical services by performing the duties related to clinical patient care and service to the community will jointly and concurrently serve as members of SIUDA. The University will provide the SIUDA such facilities, infrastructure and support services, and other support as may be necessary and appropriate to operate and maintain the clinical activities of SIUDA, pursuant to the Master Agreement and in accordance with the LAC

Guidelines. SIUDA will reimburse the University an amount assessed per the specifications to be established in the Master Agreement.

The Chancellor and the Dean of the School of Dental Medicine, SIUE, have recommended this matter to the President.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The establishment of the not-for-profit corporation, SIU Dental Associates, Inc., as a University Related Organization (URO,) SIUE, in accordance with its Articles of Incorporation as presented in Attachment A hereto, is hereby approved.

(2) The President of Southern Illinois University be and is hereby authorized to execute, on behalf of the University, a Master Agreement between the University and SIU Dental Associates, Inc.

(3) The President of Southern Illinois University be and is hereby authorized to take all other action required in the execution of this resolution in accordance with established policies and procedures.



## OFFICE OF THE SECRETARY OF STATE

---

JESSE WHITE • Secretary of State

APRIL 6, 2017

7108-637-2

SORLING NORTHRUP  
ONE N OLD STATE CAPITOL 200  
SPRINGFIELD, IL 62701

RE SIU DENTAL ASSOCIATES

DEAR SIR OR MADAM:

ENCLOSED YOU WILL FIND THE ARTICLES OF INCORPORATION OF THE ABOVE NAMED CORPORATION. THE CORPORATION IS REQUIRED TO FILE AN ANNUAL REPORT EACH YEAR. BLANK FORMS WILL BE MAILED BY THIS OFFICE TO THE REGISTERED AGENT AS SHOWN BY OUR FILES APPROXIMATELY 60 DAYS PRIOR TO ITS ANNIVERSARY MONTH. (ORIGINAL DATE OF INCORPORATION).

THE REQUIRED FEE OF \$50.00 IN THIS CONNECTION HAS BEEN RECEIVED AND PLACED TO YOUR CREDIT.

CERTAIN NOT FOR PROFIT CORPORATIONS ORGANIZED AS A CHARITABLE CORPORATION ARE REQUIRED TO REGISTER WITH THE OFFICE OF THE ATTORNEY GENERAL. UPON RECEIPT OF THE ENCLOSED ARTICLES OF INCORPORATION, YOU MUST CONTACT THE CHARITABLE TRUST DIVISION, OFFICE OF THE ATTORNEY GENERAL, 100 W. RANDOLPH, 11TH FLOOR, CHICAGO, ILLINOIS 60601 TELEPHONE (312) 814-2595.

THE ISSUANCE OF THE ARTICLES OF INCORPORATION DOES NOT ENTITLE THE CORPORATION TO A PROPERTY TAX EXEMPTION. YOU MUST APPLY FOR THAT EXEMPTION THROUGH THE BOARD OF REVIEW IN THE COUNTY WHERE THE REAL ESTATE IS LOCATED.

SINCERELY,

JESSE WHITE  
SECRETARY OF STATE  
DEPARTMENT OF BUSINESS SERVICES  
CORPORATION DIVISION  
TELEPHONE (217) 782-6961

FORM **NFP 102.10** (rev. Dec. 2003)  
**ARTICLES OF INCORPORATION**  
General Not For Profit Corporation Act

**FILED**

APR - 6 2017

JESSE WHITE  
SECRETARY OF STATE

Secretary of State  
Department of Business Services  
501 S. Second St., Rm. 350  
Springfield, IL 62756  
217-782-9522  
www.cyberdriveillinois.com

Remit payment in the form of a  
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money order or Illinois attorney's  
or C.P.A.'s check payable  
to Secretary of State.

7108-6372

File # \_\_\_\_\_ Filing Fee: \$50 Approved: \_\_\_\_\_

----- Submit in duplicate ----- Type or Print clearly in black ink ----- Do not write above this line -----

**Article 1.**

Corporate Name: SIU DENTAL ASSOCIATES

**Article 2.**

Name and Address of Registered Agent and Registered Office in Illinois:

|                    |                        |               |  |
|--------------------|------------------------|---------------|--|
| Registered Agent:  | <u>LUKE</u>            | <u>CRATER</u> |  |
|                    | First Name             | Middle Name   | Last Name                                |
| Registered Office: | <u>801 N. RUTLEDGE</u> |               |  |
|                    | Number                 | Street        | Suite # (P.O. Box alone is unacceptable) |
|                    | <u>SPRINGFIELD</u>     | <u>IL</u>     | <u>62702</u>                             |
|                    | City                   | ZIP Code      | County                                   |
|                    |                        |               | <u>SANGAMON</u>                          |

**Article 3.**

The first Board of Directors shall be three (3) in number, their Names and Addresses being as follows  
Not less than three

| Director Name      | Street Address      | City  | State    | ZIP Code |
|--------------------|---------------------|-------|----------|----------|
| Bruce E. Rotter    | 2800 College Avenue | Alton | Illinois | 62002    |
| Kenneth W. Holbert | 2800 College Avenue | Alton | Illinois | 62002    |
| Kenneth G. Rawson  | 2800 College Avenue | Alton | Illinois | 62002    |

**Article 4.**

Purpose(s) for which the Corporation are organized are described in the attached "Exhibit A."

(continued on back)



**Article 4.(continued)**

Is this Corporation a Condominium Association as established under the Condominium Property Act? (check one)

☐ Yes ☒ No

Is this Corporation a Cooperative Housing Corporation as defined in Section 216 of the Internal Revenue Code of 1954? (check one)

☐ Yes ☒ No

Is this Corporation a Homeowner's Association, which administers a common-interest community as defined in subsection (c) of Section 9-102 of the code of Civil Procedure? (check one)

☐ Yes ☒ No

**Article 5.**


Other provisions (For more space, attach additional sheets of this size.):

**Article 6.**

**Names & Addresses of Incorporators**

The undersigned incorporator(s) hereby declare(s), under penalties of perjury, that the statements made in the foregoing Articles of Incorporation are true.

Dated April 6, 2017  
Month Day Year

| Signatures and Names |   |
|----------------------|---|
| 1.                   | <u></u><br>Signature |
|                      | <u>James D. Broadway</u><br>Name (print)  |
| 2.                   | <u></u><br>Signature  |
|                      | <u></u><br>Name (print)   |
| 3.                   | <u></u><br>Signature  |
|                      | <u></u><br>Name (print)   |

| Post Office Address |   |
|---------------------|---|
| 1.                  | <u>1 North Old State Capitol Plaza, Suite 200</u><br>Street |
|                     | <u>Springfield, Illinois 62701</u><br>City, State, ZIP      |
| 2.                  | <u></u><br>Street   |
|                     | <u></u><br>City, State, ZIP                                 |
| 3.                  | <u></u><br>Street   |
|                     | <u></u><br>City, State, ZIP                                 |

**Signatures must be in BLACK INK on the original document.**

**Carbon copies, photocopies or rubber stamped signatures may only be used on the duplicate copy.**

- If a corporation acts as incorporator, the name of the corporation and the state of incorporation shall be shown and the execution shall be by a duly authorized corporate officer. Please print name and title beneath the officer's signature.
- The registered agent cannot be the corporation itself.
- The registered agent may be an individual, resident in Illinois, or a domestic or foreign corporation, authorized to act as a registered agent.
- The registered office may be, but need not be, the same as its principal office.
- A corporation that is to function as a club, as defined in Section 1-3.24 of the "Liquor Control Act" of 1934, must insert in its purpose clause a statement that **it will comply with the State and local laws and ordinances relating to alcoholic liquors.**

**Return to:**

Sorling Northrup  
Firm Name  
1 North Old State Capitol Plaza, Suite 200  
Mailing address

James D. Broadway  
Attention  
Springfield, Illinois 62701  
City, State, ZIP

“Exhibit A”  
Statement of Corporate Purposes

The corporation is organized and will be operated exclusively in connection with the pursuit of charitable, scientific and educational purposes, including:

- (i) aiding in the education and the professional training of students who are enrolled in the School of Dental Medicine of Southern Illinois University in order to promote the delivery of quality and cost-effective dental services to patients and to conduct medical and scientific investigations related to the comprehensive provision of such dental services;
- (ii) integrating the independent professional activities of the clinical faculty of the Southern Illinois University School of Dental Medicine through common planning and administration in a manner which is responsive to an evolving health care delivery system as related to the provision of dental services in order to enhance the general public's access to quality dental services;
- (iii) supporting, through the School of Dental Medicine, the educational, research and public service missions of Southern Illinois University; and
- (iv) providing, through members of the faculty of the School of Dental Medicine and other individuals who are duly licensed or certified to deliver dental health care services in the State of Illinois, a reasonable and proportionate share of charitable dental care to persons in the communities being serviced by the School of Dental Medicine of Southern Illinois University.

The corporation may engage directly in the preceding activities or the corporation, if the Board of Directors of the corporation so elects, may distribute property and assets, and the earnings which are derived from the property and assets owned by the corporation, to organizations which are described in Section 501(c)(3) of the Code and which are exempt from taxation under Section 501(a) of the Code, to be utilized by such organizations in support of similar activities.

The corporation may not engage, directly or indirectly, in any activity not permitted by a corporation exempt from taxation under Section 501(a) of the Code as an organization described in Section 501(c)(3) of the Code, or as a corporation where contributions received by the corporation are deductible under Section 170(c)(2) of the Code.

No part of the net earnings of the corporation may inure to the benefit of, or be distributable to any director, officer or individual; however, the corporation, notwithstanding the preceding limitation, may pay reasonable compensation in connection with services rendered by individuals in furtherance of the purposes of the corporation.

No substantial part of the activities of the corporation may be devoted to carrying on propaganda, or otherwise attempting to influence legislation, and the corporation may not participate or intervene in, in any manner, including the publishing or distribution of statements, any political campaign on behalf of any candidate seeking public office.

Upon the dissolution of the corporation, the property and assets of the corporation, after all debts, liabilities and obligations of the corporation are paid, will be distributed in pursuance of exempt purposes, as defined in Section 501(c)(3) of the Code, or the comparable section of any statute which is subsequently enacted in replacement of the Code, or will be distributed to the government of the United States of America, or to a state or local government, to be utilized in connection with a public purpose. Any property or assets not so disposed of will be distributed by a court of competent jurisdiction located in Madison County, Illinois, in pursuance of such exempt purposes or to organizations, as selected by that court, organized and operated exclusively in furtherance of appropriate exempt purposes.

## APPROVAL OF FISCAL YEAR 2018 OPERATING BUDGET

### Summary

This matter submits for approval the annual operating budget for Southern Illinois University for Fiscal Year 2018. The budget document includes all operating funds, both appropriated and non-appropriated.

The Fiscal Year 2018 operating budget totals approximately \$ 860 million and reflects the final actions of the Governor and the Illinois General Assembly on the Fiscal Year 2018 State general funds budget.

Resources have been directed to support the University's highest priorities and to maintain ongoing operations.

Budget tables provide totals for all current funds by line items and major fund groups (Table A). Supporting schedules provide detail budgets of projected revenues for each major fund group (Table 1) and details of general operating expenditures for major organizational units (Table 2).

### Rationale for Adoption

The annual budget is a management tool for planning, funding priorities, communicating direction, and monitoring revenue and expenditures.

### Constituency Involvement

Development and implementation of the University budget involves constituencies and many individuals at all levels within the University.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: the Southern Illinois University FY 2018 Operating Budget as presented in the budget document is hereby approved.

# FY 2018 Operating Budget

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# Southern Illinois University

## FY 2018 Operating Budget

### by Line Items and Major Fund Groups

|   | Appropriated<br>Funds (1) | Income<br>Funds      | Grants and<br>Contracts (2)   | Indirect Cost<br>Recovery | Revenue Bond<br>Operations | Self-Supporting<br>Activities | All Funds<br>2018 Totals |
|---|---------------------------|----------------------|-------------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| <b>Revenue</b>                            |                           |                      |                               |                           |                            |                               |                          |
| General Revenue (Tax Dollars)             | \$182,190,800             | \$0                  | \$0                           | \$0                       | \$0                        | \$0                           | \$182,190,800            |
| Tuition                                   | 0                         | 197,647,400          | 0                             | 0                         | 26,737,952                 | 49,783,528                    | 274,168,880              |
| Interest Income                           | 0                         | 210,500              | 127,700                       | 170,000                   | 161,461                    | 888,265                       | 1,557,926                |
| Sales & Services / Other Income           | 0                         | 5,043,005            | 126,720,446                   | 16,481,026                | 113,450,489                | 139,400,208                   | 401,095,174              |
| <b>Total Revenue</b>                      | <b>\$182,190,800</b>      | <b>\$202,900,905</b> | <b>\$126,848,146</b>          | <b>\$16,651,026</b>       | <b>\$140,349,902</b>       | <b>\$190,072,001</b>          | <b>\$859,012,780</b>     |
| <b>Expenditures</b>                       |                           |                      |                               |                           |                            |                               |                          |
| Personal Services                         | \$163,583,500             | \$107,965,461        | \$29,262,029                  | \$14,283,012              | \$54,210,768               | \$97,146,584                  | \$466,451,354            |
| Travel                                    | 32,200                    | 1,444,512            | 1,193,269                     | 683,152                   | 182,657                    | 4,029,660                     | 7,565,450                |
| Equipment                                 | 885,200                   | 5,056,844            | 1,440,281                     | 1,064,030                 | 1,131,823                  | 6,854,352                     | 16,432,530               |
| Commodities                               | 794,300                   | 7,046,373            | 3,187,302                     | 1,005,234                 | 6,450,322                  | 7,442,326                     | 25,925,857               |
| Contractual Services                      | 7,183,000                 | 36,839,489           | 15,257,806                    | 8,446,259                 | 43,716,833                 | 49,503,856                    | 160,947,243              |
| Group Insurance                           | 2,692,100                 | 1,623,200            | 0                             | 228,500                   | 1,233,485                  | 806,221                       | 6,583,506                |
| Operation of Automotive Equip             | 506,000                   | 471,354              | 447,096                       | 72,200                    | 104,715                    | 447,850                       | 2,049,215                |
| Telecommunication                         | 1,150,100                 | 1,008,061            | 270,820                       | 222,061                   | 1,434,020                  | 1,454,348                     | 5,539,410                |
| Social Security/Medicare                  | 2,031,700                 | 2,721,839            | 0                             | 54,900                    | 524,910                    | 962,863                       | 6,296,212                |
| Permanent Improvements                    | 0                         | 794,909              | 51,178                        | 0                         | 0                          | 6,566,168                     | 7,412,255                |
| Other Expenses                            | 3,307,700                 | 235,000              | 15,056,659                    | 597,350                   | 8,452,905                  | 12,581,967                    | 40,231,581               |
| Awards & Grants                           | 25,000                    | 23,718,263           | 60,681,706                    | 826,000                   | 8,700                      | 6,531,560                     | 91,791,229               |
| Transfers to Debt Service & Reserves      | 0                         | 0                    | 0                             | 0                         | 29,105,287                 | 761,930                       | 29,867,217               |
| <b>Total Expenditures &amp; Transfers</b> | <b>\$182,190,800</b>      | <b>\$188,925,305</b> | <b>\$126,848,146</b>          | <b>\$27,482,698</b>       | <b>\$146,556,425</b>       | <b>\$195,089,685</b>          | <b>\$867,093,059</b>     |
| Planned Operating Results (+/-)           | 0                         | 13,975,600           | 0                             | (10,831,672)              | (6,206,523)                | (5,017,684)                   | (8,080,279)              |
|   |                           |                      | <b>Fund Balance Available</b> |                           | <b>\$10,862,477</b>        | <b>\$2,629,316</b>            |                          |

## Notes:

(1) Direct payments by the State of Illinois for the University employee health insurance and pension costs totaled about \$386 million in fiscal year 2017.

(2) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the planned operating result is reflected as zero.

# Southern Illinois University Carbondale

## FY 2018 Operating Budget

### by Line Items and Major Fund Groups

|   | Appropriated<br>Funds (1) | Income<br>Funds     | Grants and<br>Contracts (2)   | Indirect Cost<br>Recovery | Revenue Bond<br>Operations | Self-Supporting<br>Activities | All Funds<br>2018 Totals |
|---|---------------------------|---------------------|-------------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| <b>Revenue</b>                            |                           |                     |                               |                           |                            |                               |                          |
| General Revenue (Tax Dollars)             | \$91,374,000              | \$0                 | \$0                           | \$0                       | \$0                        | \$0                           | \$91,374,000             |
| Tuition                                   | 0                         | 84,446,000          | 0                             | 0                         | 18,817,400                 | 27,714,400                    | 130,977,800              |
| Interest Income                           | 0                         | 0                   | 127,700                       | 20,000                    | 81,000                     | 265,700                       | 494,400                  |
| Sales & Services / Other Income           | 0                         | 2,713,000           | 71,072,300                    | 3,200,000                 | 32,086,800                 | 35,488,700                    | 144,560,800              |
| <b>Total Revenue</b>                      | <b>\$91,374,000</b>       | <b>\$87,159,000</b> | <b>\$71,200,000</b>           | <b>\$3,220,000</b>        | <b>\$50,985,200</b>        | <b>\$63,468,800</b>           | <b>\$367,407,000</b>     |
| <b>Expenditures</b>                       |                           |                     |                               |                           |                            |                               |                          |
| Personal Services                         | \$83,718,900              | \$47,353,900        | \$14,729,900                  | \$613,300                 | \$16,422,200               | \$19,559,300                  | \$182,397,500            |
| Travel                                    | 0                         | 650,500             | 875,000                       | 187,800                   | 13,700                     | 2,855,600                     | 4,582,600                |
| Equipment                                 | 871,100                   | 1,989,500           | 725,800                       | 492,800                   | 234,400                    | 1,905,800                     | 6,219,400                |
| Commodities                               | 683,300                   | 4,430,700           | 1,367,200                     | 119,900                   | 4,611,900                  | 2,896,800                     | 14,109,800               |
| Contractual Services                      | 2,115,100                 | 17,784,200          | 5,991,300                     | 1,383,800                 | 21,623,200                 | 26,901,200                    | 75,798,800               |
| Group Insurance                           | 1,826,400                 | 362,700             | 0                             | 45,800                    | 458,400                    | 113,500                       | 2,806,800                |
| Operation of Automotive Equip             | 398,900                   | 145,500             | 360,200                       | 11,700                    | 39,100                     | 308,000                       | 1,263,400                |
| Telecommunication                         | 575,600                   | 313,300             | 144,200                       | 8,700                     | 169,300                    | 624,400                       | 1,835,500                |
| Social Security/Medicare                  | 1,159,700                 | 939,900             | 0                             | 0                         | 129,800                    | 168,000                       | 2,397,400                |
| Permanent Improvements                    | 0                         | 0                   | 0                             | 0                         | 0                          | 0                             | 0                        |
| Other Expenses                            | 0                         | 235,000             | 8,723,500                     | 340,500                   | 200,400                    | 5,563,800                     | 15,063,200               |
| Awards & Grants                           | 25,000                    | 9,753,800           | 38,282,900                    | 15,700                    | 8,700                      | 4,976,500                     | 53,062,600               |
| Transfers to Debt Service & Reserves      | 0                         | 0                   | 0                             | 0                         | 12,173,700                 | 500,000                       | 12,673,700               |
| <b>Total Expenditures &amp; Transfers</b> | <b>\$91,374,000</b>       | <b>\$83,959,000</b> | <b>\$71,200,000</b>           | <b>\$3,220,000</b>        | <b>\$56,084,800</b>        | <b>\$66,372,900</b>           | <b>\$372,210,700</b>     |
| Planned Operating Results (+/-)           | 0                         | 3,200,000           | 0                             | 0                         | (5,099,600)                | (2,904,100)                   | (4,803,700)              |
|   |                           |                     | <b>Fund Balance Available</b> |                           | <b>\$11,969,400</b>        | <b>\$4,742,900</b>            |                          |

## Notes:

- (1) Direct payments by the State of Illinois for Carbondale campus, including School of Medicine, employee health insurance and pension costs totaled \$264 million in fiscal year 2017.
- (2) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the planned operating result is reflected as zero.

# Southern Illinois University - School of Medicine

## FY 2018 Operating Budget

### by Line Items and Major Fund Groups

|   | Appropriated<br>Funds (1) | Income<br>Funds     | Grants and<br>Contracts (2) | Indirect Cost<br>Recovery     | Revenue Bond<br>Operations | Self-Supporting<br>Activities | All Funds<br>2018 Totals |
|---|---------------------------|---------------------|-----------------------------|-------------------------------|----------------------------|-------------------------------|--------------------------|
| <b>Revenue</b>                            |                           |                     |                             |                               |                            |                               |                          |
| General Revenue (Tax Dollars)             | \$33,620,400              | \$0                 | \$0                         | \$0                           | \$0                        | \$0                           | \$33,620,400             |
| Tuition                                   | 0                         | 12,595,700          | 0                           | 0                             | 0                          | 0                             | 12,595,700               |
| Interest Income                           | 0                         | 10,500              | 0                           | 150,000                       | 15,000                     | 68,500                        | 244,000                  |
| Sales & Services / Other Income           | 0                         | 38,100              | 13,000,000                  | 9,918,000                     | 44,811,400                 | 83,035,000                    | 150,802,500              |
| <b>Total Revenue</b>                      | <b>\$33,620,400</b>       | <b>\$12,644,300</b> | <b>\$13,000,000</b>         | <b>\$10,068,000</b>           | <b>\$44,826,400</b>        | <b>\$83,103,500</b>           | <b>\$197,262,600</b>     |
| <b>Expenditures</b>                       |                           |                     |                             |                               |                            |                               |                          |
| Personal Services                         | \$29,568,100              | \$0                 | \$4,314,000                 | \$12,945,700                  | \$26,175,300               | \$63,487,300                  | \$136,490,400            |
| Travel                                    | 0                         | 36,500              | 169,000                     | 238,400                       | 103,300                    | 262,200                       | 809,400                  |
| Equipment                                 | 0                         | 473,000             | 288,000                     | 183,100                       | 493,000                    | 2,688,100                     | 4,125,200                |
| Commodities                               | 0                         | 274,000             | 1,287,000                   | 686,400                       | 944,200                    | 2,266,800                     | 5,458,400                |
| Contractual Services                      | 1,865,700                 | 1,080,200           | 4,980,000                   | 5,820,500                     | 13,826,800                 | 12,541,400                    | 40,114,600               |
| Group Insurance                           | 395,900                   | 0                   | 0                           | 180,100                       | 583,200                    | 502,100                       | 1,661,300                |
| Operation of Automotive Equip             | 7,800                     | 0                   | 1,600                       | 57,000                        | 0                          | 4,200                         | 70,600                   |
| Telecommunication                         | 282,100                   | 3,500               | 20,000                      | 211,000                       | 449,000                    | 408,600                       | 1,374,200                |
| Social Security/Medicare                  | 445,100                   | 0                   | 0                           | 54,900                        | 393,400                    | 728,700                       | 1,622,100                |
| Permanent Improvements                    | 0                         | 1,500               | 0                           | 0                             | 0                          | 87,000                        | 88,500                   |
| Other Expenses                            | 1,055,700                 | 0                   | 1,940,400                   | 110,500                       | 0                          | 2,400                         | 3,109,000                |
| Awards & Grants                           | 0                         | 0                   | 0                           | 808,800                       | 0                          | 141,400                       | 950,200                  |
| Transfers to Debt Service & Reserves      | 0                         | 0                   | 0                           | 0                             | 1,858,200                  | 0                             | 1,858,200                |
| <b>Total Expenditures &amp; Transfers</b> | <b>\$33,620,400</b>       | <b>\$1,868,700</b>  | <b>\$13,000,000</b>         | <b>\$21,296,400</b>           | <b>\$44,826,400</b>        | <b>\$83,120,200</b>           | <b>\$197,732,100</b>     |
| Planned Operating Results (+/-)           | 0                         | 10,775,600          | 0                           | (11,228,400)                  | 0                          | (16,700)                      | (469,500)                |
|   |                           |                     |                             | <b>Fund Balance Available</b> | <b>2,698,500</b>           | <b>11,968,200</b>             |                          |

## Notes:

(1) Direct payments by the State of Illinois for Carbondale campus, including School of Medicine, employee health insurance and pension costs totaled \$264 million in fiscal year 2017.

(2) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.



# Southern Illinois University Edwardsville

## FY 2018 Operating Budget

### by Line Items and Major Fund Groups

|   | Appropriated<br>Funds (1) | Income<br>Funds      | Grants and<br>Contracts (2)   | Indirect Cost<br>Recovery | Revenue Bond<br>Operations | Self-Supporting<br>Activities | All Funds<br>2018 Totals |
|---|---------------------------|----------------------|-------------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| <b>Revenue</b>                            |                           |                      |                               |                           |                            |                               |                          |
| General Revenue (Tax Dollars)             | \$53,817,000              | \$0                  | \$0                           | \$0                       | \$0                        | \$0                           | \$53,817,000             |
| Tuition                                   | 0                         | 100,605,700          | 0                             | 0                         | 7,920,552                  | 22,069,128                    | 130,595,380              |
| Interest Income                           | 0                         | 200,000              | 0                             | 0                         | 65,461                     | 301,209                       | 566,670                  |
| Sales & Services / Other Income           | 0                         | 2,291,905            | 42,648,146                    | 3,293,026                 | 36,552,289                 | 19,521,303                    | 104,306,669              |
| <b>Total Revenue</b>                      | <b>\$53,817,000</b>       | <b>\$103,097,605</b> | <b>\$42,648,146</b>           | <b>\$3,293,026</b>        | <b>\$44,538,302</b>        | <b>\$41,891,640</b>           | <b>\$289,285,719</b>     |
| <b>Expenditures</b>                       |                           |                      |                               |                           |                            |                               |                          |
| Personal Services                         | \$47,355,500              | \$60,611,561         | \$10,218,129                  | \$724,012                 | \$11,613,268               | \$13,294,934                  | \$143,817,404            |
| Travel                                    | 0                         | 757,512              | 149,269                       | 255,952                   | 65,657                     | 896,360                       | 2,124,750                |
| Equipment                                 | 0                         | 2,594,344            | 426,481                       | 387,130                   | 404,423                    | 2,243,652                     | 6,056,030                |
| Commodities                               | 87,900                    | 2,341,673            | 533,102                       | 197,934                   | 894,222                    | 2,271,926                     | 6,326,757                |
| Contractual Services                      | 2,948,100                 | 17,975,089           | 4,286,506                     | 1,177,559                 | 8,266,833                  | 9,461,287                     | 44,115,374               |
| Group Insurance                           | 439,500                   | 1,260,500            | 0                             | 0                         | 191,885                    | 183,604                       | 2,075,489                |
| Operation of Automotive Equip             | 87,900                    | 325,854              | 85,296                        | 3,500                     | 65,615                     | 134,725                       | 702,890                  |
| Telecommunication                         | 263,700                   | 691,261              | 106,620                       | 2,361                     | 815,720                    | 415,348                       | 2,295,010                |
| Social Security/Medicare                  | 382,400                   | 1,781,939            | 0                             | 0                         | 1,710                      | 66,163                        | 2,232,212                |
| Permanent Improvements                    | 0                         | 793,409              | 51,178                        | 0                         | 0                          | 6,479,168                     | 7,323,755                |
| Other Expenses                            | 2,252,000                 | 0                    | 4,392,759                     | 146,350                   | 8,252,505                  | 6,865,767                     | 21,909,381               |
| Awards & Grants                           | 0                         | 13,964,463           | 22,398,806                    | 1,500                     | 0                          | 1,413,660                     | 37,778,429               |
| Transfers to Debt Service & Reserves      | 0                         | 0                    | 0                             | 0                         | 15,073,387                 | 261,930                       | 15,335,317               |
| <b>Total Expenditures &amp; Transfers</b> | <b>\$53,817,000</b>       | <b>\$103,097,605</b> | <b>\$42,648,146</b>           | <b>\$2,896,298</b>        | <b>\$45,645,225</b>        | <b>\$43,988,524</b>           | <b>\$292,092,798</b>     |
| Planned Operating Results (+/-)           | 0                         | 0                    | 0                             | 396,728                   | (1,106,923) *              | (2,096,884) **                | (2,807,079)              |
|   |                           |                      | <b>Fund Balance Available</b> |                           | <b>\$9,611,460</b>         | <b>\$46,318,013</b>           |                          |

## Notes:

(1) Direct payments by the State of Illinois for Edwardsville campus for employee health insurance and pension costs totals about \$122 million per year.

(2) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.

\* The negative Planned Operating Result for Revenue Bond Operations includes \$1,652,000 in Board approved non-mandatory transfers to RRR.

\*\* The negative Planned Operating Result for Self-Supporting Activities is due to a planned draw down of prior year cash reserves for various deferred maintenance projects at SIUE which are paid for from the Facilities Fee. Projects were delayed in FY17 due to the budget impasse.

# Southern Illinois University System Offices

## FY 2018 Operating Budget

### by Line Items and Major Fund Groups

| Revenue                                   |                    |                      |                        |                         |                            |                       |
|---|--------------------|----------------------|------------------------|-------------------------|----------------------------|-----------------------|
| Appropriated Funds                        | Income Funds       | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2018 Totals |
| General Revenue (Tax Dollars)             |                    |                      |                        |                         |                            |                       |
| Tuition                                   | \$3,379,400        | \$0                  | \$0                    | \$0                     | \$0                        | \$3,379,400           |
|   | 0                  | 0                    | 0                      | 0                       | 0                          | 0                     |
| Interest Income                           | 0                  | 0                    | 0                      | 0                       | 252,856                    | 252,856               |
| Sales & Services / Other Income           | 0                  | 0                    | 70,000                 | 0                       | 1,355,205                  | 1,425,205             |
| <b>Total Revenue</b>                      | <b>\$3,379,400</b> | <b>\$0</b>           | <b>\$70,000</b>        | <b>\$0</b>              | <b>\$1,608,061</b>         | <b>\$5,057,461</b>    |
| <b>Expenditures</b>                       |                    |                      |                        |                         |                            |                       |
| Personal Services                         | \$2,941,000        | \$0                  | \$0                    | \$0                     | \$805,050                  | \$3,746,050           |
| Travel                                    | 32,200             | 0                    | 1,000                  | 0                       | 15,500                     | 48,700                |
| Equipment                                 | 14,100             | 0                    | 1,000                  | 0                       | 16,800                     | 31,900                |
| Commodities                               | 23,100             | 0                    | 1,000                  | 0                       | 6,800                      | 30,900                |
| Contractual Services                      | 254,100            | 0                    | 64,400                 | 0                       | 599,969                    | 918,469               |
| Group Insurance                           | 30,300             | 0                    | 2,600                  | 0                       | 7,017                      | 39,917                |
| Operation of Automotive Equip             | 11,400             | 0                    | 0                      | 0                       | 925                        | 12,325                |
| Telecommunication                         | 28,700             | 0                    | 0                      | 0                       | 6,000                      | 34,700                |
| Social Security/Medicare                  | 44,500             | 0                    | 0                      | 0                       | 0                          | 44,500                |
| Permanent Improvements                    | 0                  | 0                    | 0                      | 0                       | 0                          | 0                     |
| Other Expenses                            | 0                  | 0                    | 0                      | 0                       | 150,000                    | 150,000               |
| Awards & Grants                           | 0                  | 0                    | 0                      | 0                       | 0                          | 0                     |
| Transfers to Debt Service & Reserves      | 0                  | 0                    | 0                      | 0                       | 0                          | 0                     |
| <b>Total Expenditures &amp; Transfers</b> | <b>\$3,379,400</b> | <b>\$0</b>           | <b>\$70,000</b>        | <b>\$0</b>              | <b>\$1,608,061</b>         | <b>\$5,057,461</b>    |
| Planned Operating Results (+/-)           | 0                  | 0                    | 0                      | 0                       | 0                          | 0                     |
| Fund Balance Available                    |                    |                      |                        | \$491,177               |                            |                       |
| Total Available                           |                    |                      |                        | \$0                     |                            |                       |

# Southern Illinois University

## FY 2018 Income by Source

### Final Budget FY15 and Proposed Budget FY18

(in \$ Thousands)

|                                   | 2015                | 2018                | % Change      |
|-----------------------------------|---------------------|---------------------|---------------|
| <b>General Operating Budget</b>   |                     |                     |               |
| State Appropriations              | \$204,879.3         | \$182,190.8         | -11.1%        |
| Income Fund                       |                     |                     |               |
| Tuition - On-Campus               | 181,535.6           | 174,026.4           | -4.1%         |
| Tuition - Off-Campus              | 16,608.0            | 23,621.0            | 42.2%         |
| Interest Income                   | 760.9               | 210.5               | -72.3%        |
| Miscellaneous/Student Fees        | 5,712.3             | 5,043.0             | -11.7%        |
| <b>Total General Operating</b>    | <b>\$409,496.1</b>  | <b>\$385,091.7</b>  | <b>-6.0%</b>  |
| <b>Self-Supporting Activities</b> | <b>\$173,102.4</b>  | <b>\$190,072.1</b>  | <b>9.8%</b>   |
| <b>Revenue Bond Operations</b>    | <b>\$163,456.3</b>  | <b>\$140,349.9</b>  | <b>-14.1%</b> |
| <b>Grants &amp; Contracts</b>     | <b>\$127,008.9</b>  | <b>\$126,848.1</b>  | <b>-0.1%</b>  |
| <b>Indirect Cost Recovery</b>     | <b>\$17,703.8</b>   | <b>\$16,651.0</b>   | <b>-5.9%</b>  |
| <b>CAMPUS TOTAL INCOME</b>        | <b>\$ 890,767.5</b> | <b>\$ 859,012.8</b> | <b>-3.6%</b>  |

# Southern Illinois University Carbondale

## FY 2018 Income by Source

### Final Budget FY15 and Proposed Budget FY18

(in \$ Thousands)

|  | 2015                | 2018                | % Change      |
|--|---------------------|---------------------|---------------|
| <b>General Operating Budget</b>                            |                     |                     |               |
| State Appropriations                                       | \$103,948.9         | \$91,374.0          | -12.1%        |
| Income Fund  |                     |                     |               |
| Tuition - On-Campus  | 87,103.4            | 68,994.0            | -20.8%        |
| Tuition - Extended Campus                                  | 13,427.2            | 15,452.0            | 15.1%         |
| Interest Income  | 541.4               | 0.0                 | -100.0%       |
| Miscellaneous/Student Fees                                 | 3,155.0             | 2,713.0             | -14.0%        |
| <b>Total General Operating</b>                             | <b>\$208,175.9</b>  | <b>\$178,533.0</b>  | <b>-14.2%</b> |
| <b>Self-Supporting Activities*</b>                         |                     |                     |               |
| Academic Services  | \$22,931.9          | \$23,982.0          | 4.6%          |
| Administrative Services                                    | 11,205.4            | 9,449.1             | -15.7%        |
| Student Services   | 31,469.7            | 30,037.7            | -4.6%         |
| <b>Total Self-Supporting Activities</b>                    | <b>\$65,607.0</b>   | <b>\$63,468.8</b>   | <b>-3.3%</b>  |
| <b>Revenue Bond Operations</b>                             |                     |                     |               |
| University Housing   | \$41,987.4          | \$25,647.9          | -38.9%        |
| Student Center   | 8,146.6             | 6,555.6             | -19.5%        |
| Student Recreation Center                                  | 4,808.8             | 4,089.7             | -15.0%        |
| Northwest Annex  | 115.5               | 125.7               | 8.8%          |
| Athletic Facilities  | 4,579.5             | 3,745.5             | -18.2%        |
| Student Health Facility                                    | 8,657.1             | 6,856.1             | -20.8%        |
| Child Care Center  | 1,084.7             | 1,009.7             | -6.9%         |
| Student Information System                                 | 1,101.9             | 0.0                 | -100.0%       |
| Student Services Building                                  | 3,157.5             | 2,955.0             | -6.4%         |
| <b>Total Revenue Bond Operations</b>                       | <b>\$73,639.0</b>   | <b>\$50,985.2</b>   | <b>-30.8%</b> |
| <b>Grants &amp; Contracts/Indirect Cost Recovery</b>       |                     |                     |               |
| Grants   |                     |                     |               |
| Federal  | \$38,680.0          | \$33,742.0          | -12.8%        |
| State  | 19,250.0            | 18,348.0            | -4.7%         |
| Private  | 14,760.0            | 12,781.0            | -13.4%        |
| Local & Other  | 6,116.0             | 6,329.0             | 3.5%          |
| Indirect Cost Recovery                                     | 4,624.0             | 3,220.0             | -30.4%        |
| <b>Total Grants &amp; Contracts/Indirect Cost Recovery</b> | <b>\$83,430.0</b>   | <b>\$74,420.0</b>   | <b>-10.8%</b> |
| <b>CAMPUS TOTAL INCOME</b>                                 | <b>\$ 430,851.9</b> | <b>\$ 367,407.0</b> | <b>-14.7%</b> |

**\*Description of Activities:** **Academic Services:** Activity accounts used for extra-curricular, scholarly, and service activities generally supportive of Research and Instruction. *Includes University Press, McLeod Theater, Experimental Farms, Broadcasting Service, Information Technology Fee, Distance Learning, Fisheries, and Cooperative Wildlife Center;* **Administrative Services:** *Includes Facilities Maintenance Fee, Green Fee, Foundation, Chancellor's Development Fund, and Economic Development;* **Student Services** *includes Student Medical Benefit, Sports Clubs, Student Activity Fees, Mass Transit Fees, and Athletics Activities and Facilities not funded by revenue bond operations*

# Southern Illinois University

## School of Medicine

### FY 2018 Income by Source

### Final Budget FY15 and Proposed Budget FY18

(in \$ Thousands)

|  | 2015                | 2018                | % Change     |
|--|---------------------|---------------------|--------------|
| <b>General Operating Budget</b>                        |                     |                     |              |
| State Appropriations                                   | \$37,387.6          | \$33,620.4          | -10.1%       |
| Income Fund  |                     |                     |              |
| Tuition - On-Campus                                    | 10,999.7            | 12,595.7            | 14.5%        |
| Tuition - Off-Campus                                   | 0.0                 | 0.0                 | -            |
| Interest Income  | 19.5                | 10.5                | -46.2%       |
| Miscellaneous/Student Fees                             | 45.9                | 38.1                | -17.0%       |
| <b>Total General Operating</b>                         | <b>\$48,452.7</b>   | <b>\$46,264.7</b>   | <b>-4.5%</b> |
| <b>Self-Supporting Activities*</b>                     |                     |                     |              |
| Auxiliary & Student Services                           | \$103.6             | \$116.4             | 12.4%        |
| SOM Clinical Support                                   | 68,692.4 (1)        | 82,987.1            | 20.8%        |
| <b>Total Self-Supporting Activities</b>                | <b>\$68,796.0</b>   | <b>\$83,103.5</b>   | <b>20.8%</b> |
| <b>Revenue Bond Operations</b>                         |                     |                     |              |
| SOM Clinical Operation                                 | 44,431.6 (1)        | 44,826.4            | 0.9%         |
| <b>Total Revenue Bond Operations</b>                   | <b>\$44,431.6</b>   | <b>\$44,826.4</b>   | <b>0.9%</b>  |
| <b>Grants &amp; Contracts/Indirect Cost Recovery</b>   |                     |                     |              |
| Grants   |                     |                     |              |
| Federal  | \$6,102.7           | \$7,247.0           | 18.8%        |
| State  | 2,193.7             | 1,862.0             | -15.1%       |
| Private  | 2,610.3             | 2,863.0             | 9.7%         |
| Local & Other  | 593.3               | 1,028.0             | 73.3%        |
| Indirect Cost Recovery & Clinical Practice Overhead    | 10,204.5            | 10,068.0            | -1.3%        |
| <b>Total Grants &amp; Contracts/Indirect Cost Rec.</b> | <b>\$21,704.5</b>   | <b>\$23,068.0</b>   | <b>6.3%</b>  |
| <b>CAMPUS TOTAL INCOME</b>                             | <b>\$ 183,384.8</b> | <b>\$ 197,262.6</b> | <b>7.6%</b>  |

**\*Description of Activities: SOM Clinical Support:** Activity accounts used for hospital/other clinical-affiliated scholarly and service activities generally supportive of Instruction and Research. *Includes regional affiliated clinical sites, SIU Federally-Qualified Healthcare Centers (FQHC) and Telehealth Activities;* **Auxiliary & Student Services** includes Student Medical Benefit, Student Activity Fees, and Student Disability Insurance.

(1) Adjustment to move \$3,441.1 FQHC revenue originally included in Revenue Bond Operations. FQHC now included in Self-Supporting Activities.

# Southern Illinois University Edwardsville

## FY 2018 Income by Source

### Final Budget FY15 and Proposed Budget FY18

(in \$ Thousands)

|  | 2015                | 2018                | % Change     |
|--|---------------------|---------------------|--------------|
| <b>General Operating Budget</b>                                      |                     |                     |              |
| State Appropriations   | \$59,872.0          | \$53,817.0          | -10.1%       |
| Income Fund  |                     |                     |              |
| Tuition - On-Campus  | 83,432.5            | 92,436.7            | 10.8%        |
| Tuition - Off-Campus   | 3,180.8             | 8,169.0             | 156.8%       |
| Interest Income  | 200.0               | 200.0               | 0.0%         |
| Miscellaneous/Student Fees   | 2,511.4             | 2,291.9             | -8.7%        |
| <b>Total General Operating</b>                                       | <b>\$149,196.7</b>  | <b>\$156,914.6</b>  | <b>5.2%</b>  |
| <b>Self-Supporting Activities*</b>                                   |                     |                     |              |
| Academic Services (Online/Off-Campus Programs, Career Development Ce | \$7,329.4           | \$9,995.0           | 36.4%        |
| Administrative Services (Facilities Fee, Information Technology Fee) | 12,125.0            | 12,690.1            | 4.7%         |
| Student Services (Student Activity Fees, Textbook Services)          | 14,054.6            | 15,106.6            | 7.5%         |
| Clinical Services (School of Dental Medicine Clinic Operations)      | 3,600.0             | 4,100.0             | 13.9%        |
| <b>Total Self-Supporting Activities</b>                              | <b>\$37,109.0</b>   | <b>\$41,891.7</b>   | <b>12.9%</b> |
| <b>Revenue Bond Operations</b>                                       |                     |                     |              |
| University Housing   | \$19,760.3          | \$19,971.7          | 1.1%         |
| Student Center   | 20,258.6            | 19,500.2            | -3.7%        |
| Student Fitness/Rec.Ctr.   | 2,546.0             | 2,327.0             | -8.6%        |
| Traffic and Parking  | 2,820.8             | 2,739.4             | -2.9%        |
| <b>Total Revenue Bond Operations</b>                                 | <b>\$45,385.7</b>   | <b>\$44,538.3</b>   | <b>-1.9%</b> |
| <b>Grants &amp; Contracts/Indirect Cost Recovery</b>                 |                     |                     |              |
| Grants   |                     |                     |              |
| Federal  | \$32,553.7          | \$31,362.4          | -3.7%        |
| State  | 1,881.7             | 8,553.4             | ** 354.6%    |
| Private  | 1,881.7             | 1,900.7             | 1.0%         |
| Local & Other  | 385.8               | 831.6               | 115.6%       |
| Indirect Cost Recovery   | 2,805.3             | 3,293.0             | 17.4%        |
| <b>Total Grants &amp; Contracts/Indirect Cost Rec.</b>               | <b>\$39,508.2</b>   | <b>\$45,941.1</b>   | <b>16.3%</b> |
| <b>CAMPUS TOTAL INCOME</b>   | <b>\$ 271,199.6</b> | <b>\$ 289,285.7</b> | <b>6.7%</b>  |

**\*Description of Activities:** **Academic Services:** Activity accounts used for extra-curricular, scholarly, and service activities generally supportive of Research and Instruction. Includes Career Development Center, East St. Louis Charter School, New Student Programming, ERTC Training Courses, Corporate Partnership Programs, On-Line/Off-Campus Programs; **Administrative:** Includes Early Childhood Center, Vadalabene Center Operations, Facilities Fee, Information Technology Fee, Bursar Operations, WSIE Radio, ID Card System Activities; **Student Services:** Includes Student Medical Benefit, Campus Housing Activity Fee, Textbook Services, Intercollegiate Athletics, Alestle, Sports Clubs, and all other Student Activity Fees; **Clinical Services:** Includes School of Dental Medicine Clinic Operations

\*\*Increase shown in FY2018 budget is a result of MAP Grants being reflected in Grants & Contracts budget for the first time beginning in FY2016 in order to be consistent with how Pell Grants are reported in budget documents.

# Southern Illinois University System Offices

## FY 2018 Income by Source

### Final Budget FY15 and Proposed Budget FY18

(in \$ Thousands)

|  | 2015              | 2018              | % Change     |
|--|-------------------|-------------------|--------------|
| <b>General Operating Budget</b>                        |                   |                   |              |
| State Appropriations                                   | \$3,670.8         | \$3,379.4         | -7.9%        |
| Income Fund  |                   |                   |              |
| Tuition - On-Campus                                    | 0.0               | 0.0               | 0.0%         |
| Tuition - Off-Campus                                   | 0.0               | 0.0               | 0.0%         |
| Interest Income  | 0.0               | 0.0               | 0.0%         |
| Miscellaneous/Student Fees                             | 0.0               | 0.0               | 0.0%         |
| <b>Total General Operating</b>                         | <b>\$3,670.8</b>  | <b>\$3,379.4</b>  | <b>-7.9%</b> |
| <b>Self-Supporting Activities*</b>                     |                   |                   |              |
| University Initiatives                                 | \$350.0           | \$350.0           | 0.0%         |
| Other Activities                                       | 1,240.4           | 1,258.1           | 1.4%         |
| <b>Total Self-Supporting Activities</b>                | <b>\$1,590.4</b>  | <b>\$1,608.1</b>  | <b>1.1%</b>  |
| <b>Revenue Bond Operations</b>                         | <b>\$0.0</b>      | <b>\$0.0</b>      | <b>0.0%</b>  |
| <b>Grants &amp; Contracts/Indirect Cost Recovery</b>   |                   |                   |              |
| Grants   | \$0.0             | \$0.0             | 0.0%         |
| Indirect Cost Recovery                                 | 70.0              | 70.0              | 0.0%         |
| <b>Total Grants &amp; Contracts/Indirect Cost Rec.</b> | <b>\$70.0</b>     | <b>\$70.0</b>     | <b>0.0%</b>  |
| <b>CAMPUS TOTAL INCOME</b>                             | <b>\$ 5,331.2</b> | <b>\$ 5,057.5</b> | <b>-5.1%</b> |

\*Description of Activities: Other Activities: Includes Risk Management Activities, Legal Counsel Activities, Official Functions, University Development and Audit Costs

# Southern Illinois University Carbondale

## General Operating Income & Expenditures Budgets

| (in \$ Thousands)                                  |                   | Budget FY15        |  |                                  | Proposed Budget FY18    | FY15 - FY18 % Change |
|--|-------------------|--------------------|--|----------------------------------|-------------------------|----------------------|
| <b>Income</b>                                      |                   |                    |  |                                  |                         |                      |
| State Appropriations                               |                   | \$103,948.9        |  |                                  | \$91,374.0              | -12.1%               |
| Income Fund  |                   |                    |  |                                  |                         |                      |
| Tuition - On-Campus                                |                   | 87,103.4           |  |                                  | 68,994.0                | -20.8%               |
| Tuition - Extended Campus                          |                   | 13,427.2           |  |                                  | 15,452.0                | 15.1%                |
| Interest Income                                    |                   | 541.4              |  |                                  | 0.0                     | -100.0%              |
| Miscellaneous/Student Fees                         |                   | 3,155.0            |  |                                  | 2,713.0                 | -14.0%               |
| <b>Total General Operating Income</b>              |                   | <b>\$208,175.9</b> |  |                                  | <b>\$178,533.0</b>      | <b>-14.2%</b>        |
|  |                   |                    | Increases/<br>Reductions<br>FY16 thru FY17 | Increases/<br>Reductions<br>FY18 | Proposed<br>Budget FY18 |                      |
| <b>Expenditures</b>                                |                   |                    |  |                                  |                         |                      |
| Chancellor's Administration                        |                   | \$4,392.9          | \$475.3                                    | (\$1,190.0)                      | \$3,678.2               | -16.3%               |
|  | % of Campus total | 2.1%               |  |                                  | 2.1%                    |                      |
| Provost  |                   |                    |  |                                  |                         |                      |
| Provost and Vice Chancellor                        |                   | \$10,602.3         | (\$334.9)                                  | (\$1,398.8)                      | \$8,868.6               |                      |
| Graduate School                                    |                   | 3,557.3            | (6.9)                                      | (139.5)                          | 3,410.9                 |                      |
| College of Agricultural Sciences                   |                   | 6,188.8            | (349.8)                                    | (322.5)                          | 5,516.5                 |                      |
| College of Applied Sciences and Arts               |                   | 11,575.1           | (1,468.4)                                  | (574.6)                          | 9,532.1                 |                      |
| College of Business                                |                   | 9,708.5            | (804.2)                                    | (381.1)                          | 8,523.2                 |                      |
| College of Education & Human Services              |                   | 13,261.5           | (1,952.3)                                  | (561.8)                          | 10,747.4                |                      |
| College of Engineering                             |                   | 9,850.3            | (1,068.7)                                  | (654.8)                          | 8,126.8                 |                      |
| College of Liberal Arts                            |                   | 27,461.2           | (2,772.7)                                  | (1,454.1)                        | 23,234.4                |                      |
| College of Mass Communication & Media Arts         |                   | 5,429.0            | (547.0)                                    | (435.3)                          | 4,446.7                 |                      |
| College of Science                                 |                   | 14,132.3           | (1,318.4)                                  | (536.4)                          | 12,277.5                |                      |
| Library Affairs                                    |                   | 9,489.8            | (828.9)                                    | (847.7)                          | 7,813.2                 |                      |
| Enrollment Management                              |                   | 12,751.2           | (284.9)                                    | (949.7)                          | 11,516.6                |                      |
| University College                                 |                   | 2,492.3            | (228.8)                                    | (632.6)                          | 1,630.9                 |                      |
| Information Technology/AIS                         |                   | 4,998.5            | (455.3)                                    | (1,169.5)                        | 3,373.7                 |                      |
| Total Provost                                      |                   | \$141,498.1        | (\$12,421.2)                               | (\$10,058.4)                     | \$119,018.5             | -15.9%               |
|  | % of Campus total | 68.0%              |  |                                  | 67.9%                   |                      |
| Other VC/Major Areas                               |                   |                    |  |                                  |                         |                      |
| Executive Director of Administration and Finance   |                   | 19,793.2           | (\$2,536.0)                                | (\$2,661.9)                      | \$14,595.3              |                      |
| Vice Chancellor for Development & Alumni Relations |                   | 3,016.0            | (337.8)                                    | (801.3)                          | 1,876.9                 |                      |
| Vice Chancellor for Research                       |                   | 5,186.0            | (694.9)                                    | (1,588.6)                        | 2,902.5                 |                      |
| Vice Chancellor for Student Affairs                |                   | 2,879.6            | (572.9)                                    | (779.4)                          | 1,527.3                 |                      |
| School of Law                                      |                   | 8,444.9            | 590.7                                      | (901.1)                          | 8,134.5                 |                      |
| Paul Simon Public Policy Institute                 |                   | 642.0              | (175.7)                                    | (202.4)                          | 263.9                   |                      |
| Broadcasting Service                               |                   | 973.5              | (96.1)                                     | (61.6)                           | 815.8                   |                      |
| University Museum                                  |                   | 294.5              | (207.6)                                    | (38.8)                           | 48.1                    |                      |
| Economic Development                               |                   | 556.1              | (68.6)                                     | (153.5)                          | 334.0                   |                      |
| Intercollegiate Athletics                          |                   | 1,722.4            | (209.3)                                    | (459.8)                          | 1,053.3                 |                      |
| Total Other VC/Major Areas                         |                   | \$43,508.2         | (\$4,308.2)                                | (\$7,648.4)                      | \$31,551.6              | -27.5%               |
|  | % of Campus total | 20.9%              |  |                                  | 18.0%                   |                      |
| Campus Wide Services                               |                   |                    |  |                                  |                         |                      |
|  |                   | 18,776.7           | \$2,422.3                                  | (\$114.3)                        | \$21,084.7              | 12.3%                |
|  | % of Campus total | 9.0%               |  |                                  | 12.0%                   |                      |
| <b>Total General Operating Expenses</b>            |                   | <b>\$208,175.9</b> | <b>(\$13,831.8)</b>                        | <b>(\$19,011.1)</b>              | <b>\$175,333.0</b>      | <b>-15.8%</b>        |
| REDUCTION OF DEFICIT                               |                   | \$0.0              |  |                                  | \$3,200.0               |                      |
| <b>CAMPUS TOTAL</b>                                |                   | <b>\$208,175.9</b> |  |                                  | <b>\$178,533.0</b>      |                      |

Note: The FY15 Budget has been adjusted to reflect changes in reporting lines and the creation of a unit for Campus Wide Services.



# Southern Illinois University

## School of Medicine

### General Operating Income & Expenditures Budgets

| (in \$ Thousands)                               | <u>Budget FY15</u> |   |   | <u>Proposed<br/>Budget FY18</u> | <u>FY15 - FY18<br/>% Change</u> |
|---|--------------------|---|---|---------------------------------|---------------------------------|
| <b>Income</b>                                   |                    |   |   |                                 |                                 |
| State Appropriations                            | \$37,387.6         |   |   | \$33,620.4                      | -10.1%                          |
| Income Fund                                     |                    |   |   |                                 |                                 |
| Tuition - On-Campus                             | 10,999.7           |   |   | 12,595.7                        | 14.5%                           |
| Tuition - Off-Campus                            | 0.0                |   |   | 0.0                             | -                               |
| Interest Income                                 | 19.5               |   |   | 10.5                            | -46.2%                          |
| Miscellaneous/Student Fees                      | <u>45.9</u>        |   |   | <u>38.1</u>                     | -17.0%                          |
| <b>Total General Operating Income</b>           | <b>\$48,452.7</b>  |   |   | <b>\$46,264.7</b>               | <b>-4.5%</b>                    |
|   |                    |   |   |                                 |                                 |
|   | <u>Budget FY15</u> | <u>Increases/<br/>Reductions<br/>FY16 thru FY17</u> | <u>Increases/<br/>Reductions<br/>FY18</u> | <u>Proposed<br/>Budget FY18</u> |                                 |
| <b>Expenditures</b>                             |                    |   |   |                                 |                                 |
| General Admin (Dean & Provost, Finance & Admin) | \$4,458.9          | (\$891.0)   | (\$30.3)                                  | \$3,537.6                       | -20.7%                          |
| % of Campus total                               | 9.2%               |   |   | 7.6%                            |                                 |
| Academic  |                    |   |   |                                 |                                 |
| Clinic and Basic Sciences                       | \$24,273.0         | (\$2,323.7)   | (\$416.8)                                 | \$21,532.5                      |                                 |
| Research and Faculty Affairs                    | 1,542.7            | (224.2)   | (47.9)                                    | 1,270.6                         |                                 |
| Education and Curriculum                        | 2,599.6            | (63.3)  | (2.4)                                     | 2,533.9                         |                                 |
| Library and Information Resources               | 3,742.9            | (358.5)   | (97.7)                                    | 3,286.7                         |                                 |
| MEDPREP/Disadvantaged Students                  | <u>831.9</u>       | <u>(60.0)</u>                                       | <u>0.5</u>                                | <u>772.4</u>                    |                                 |
| Total Academic                                  | \$32,990.1         | (\$3,029.7)   | (\$564.3)                                 | \$29,396.1                      | -10.9%                          |
| % of Campus total                               | 68.1%              |   |   | 63.5%                           |                                 |
| Support Services                                |                    |   |   |                                 |                                 |
| Academic Support                                | \$1,120.8          | (\$156.4)   | (\$110.7)                                 | \$853.7                         |                                 |
| Development Initiatives                         | 153.2              | 0.0   | 0.0                                       | 153.2                           |                                 |
| Facilities and Services                         | 5,455.5            | 0.0   | (138.9)                                   | 5,316.6                         |                                 |
| External Affairs/Telehealth                     | 2,955.0            | (224.8)   | (476.1)                                   | 2,254.1                         |                                 |
| Student and Residency Affairs                   | <u>1,319.2</u>     | <u>(57.5)</u>                                       | <u>(30.2)</u>                             | <u>1,231.5</u>                  |                                 |
| Total Support Services                          | \$11,003.7         | (\$438.7)   | (\$755.9)                                 | \$9,809.1                       | -10.9%                          |
| % of Campus total                               | 22.7%              |   |   | 21.2%                           |                                 |
| REDUCTION OF DEFICIT                            | \$0.0              | \$0.0   | \$0.0                                     | \$3,521.9                       |                                 |
| % of Campus total                               |                    |   |   | 7.6%                            |                                 |
| <b>CAMPUS TOTAL</b>                             | <b>\$48,452.7</b>  | <b>(\$4,359.4)</b>                                  | <b>(\$1,350.5)</b>                        | <b>\$46,264.7</b>               | <b>-4.5%</b>                    |

# Southern Illinois University Edwardsville

## General Operating Income & Expenditures Budgets

| (in \$ Thousands)                                 | <u>Budget FY15</u> |   |   | <u>Proposed<br/>Budget FY18</u> | <u>FY15 - FY18<br/>% Change</u> |
|---|--------------------|---|---|---------------------------------|---------------------------------|
| <b>Income</b>                                     |                    |   |   |                                 |                                 |
| State Appropriations                              | \$59,872.0         |   |   | \$53,817.0                      | -10.1%                          |
| Income Fund                                       |                    |   |   |                                 |                                 |
| Tuition - On-Campus                               | 83,432.5           |   |   | 92,436.7                        | 10.8%                           |
| Tuition - Off-Campus                              | 3,180.8            |   |   | 8,169.0                         | 156.8%                          |
| Interest Income                                   | 200.0              |   |   | 200.0                           | 0.0%                            |
| Miscellaneous                                     | <u>2,511.4</u>     |   |   | <u>2,291.9</u>                  | -8.7%                           |
| <b>Total General Operating Income</b>             | <b>\$149,196.7</b> |   |   | <b>\$156,914.6</b>              | <b>5.2%</b>                     |
|   | <u>Budget FY15</u> | <u>Increases/<br/>Reductions<br/>FY16 thru FY17</u> | <u>Increases/<br/>Reductions<br/>FY18</u> | <u>Proposed<br/>Budget FY18</u> |                                 |
| <b>Expenditures</b>                               |                    |   |   |                                 |                                 |
| Chancellor's Administration                       | \$3,860.9          | (\$470.4)   | (\$69.0)                                  | \$3,321.5                       | -14.0%                          |
| % of Campus total                                 | 2.6%               |   |   | 2.1%                            |                                 |
| Academic Affairs                                  |                    |   |   |                                 |                                 |
| Office of the Provost & Academic Support Services | \$5,637.2          | (\$2,441.5)   | \$98.0                                    | \$3,293.7                       |                                 |
| College of Arts & Sciences                        | 25,961.0           | (2,054.0)   | 4.0                                       | 23,911.0                        |                                 |
| School of Business                                | 7,427.0            | (451.0)   | 5.0                                       | 6,981.0                         |                                 |
| School of Education                               | 7,459.0            | (614.0)   | 4.0                                       | 6,849.0                         |                                 |
| School of Engineering                             | 6,107.0            | (307.0)   | 259.0                                     | 6,059.0                         |                                 |
| School of Nursing                                 | 5,200.0            | 294.0   | 327.0                                     | 5,821.0                         |                                 |
| Graduate School                                   | 2,255.5            | (478.0)   | 741.5                                     | 2,519.0                         |                                 |
| Library & Information Services                    | 4,766.5            | (770.5)   | 0.0                                       | 3,996.0                         |                                 |
| Enrollment Management                             | 8,989.0            | 1,506.0   | 9,602.0                                   | 20,097.0                        |                                 |
| University Services to E. St. Louis               | 1,014.9            | (549.0)   | 0.1                                       | 466.0                           |                                 |
| Information Technology                            | <u>5,649.0</u>     | <u>(809.0)</u>                                      | <u>0.0</u>                                | <u>4,840.0</u>                  |                                 |
| Total Academic Affairs                            | \$80,466.1         | (\$6,674.0)   | \$11,040.6                                | \$84,832.7                      | 5.4%                            |
| % of Campus total                                 | 53.9%              |   |   | 54.1%                           |                                 |
| Professional Schools                              |                    |   |   |                                 |                                 |
| School of Dental Medicine                         | \$10,399.0         | (\$368.0)   | (\$21.8)                                  | \$10,009.2                      |                                 |
| School of Pharmacy                                | <u>6,525.0</u>     | <u>(501.9)</u>                                      | <u>115.3</u>                              | <u>6,138.4</u>                  |                                 |
| Total Professional Schools                        | \$16,924.0         | (\$869.9)   | \$93.5                                    | \$16,147.6                      | -4.6%                           |
| % of Campus total                                 | 11.3%              |   |   | 10.3%                           |                                 |
| Administration Services                           | \$7,984.3          | (\$396.0)   | \$69.9                                    | \$7,658.2                       |                                 |
| Facilities Mgmt/Physical Plant                    | 16,111.0           | (1,076.8)   | 0.0                                       | 15,034.2                        |                                 |
| Police Services                                   | <u>3,155.7</u>     | <u>79.8</u>   | <u>285.5</u>                              | <u>3,521.0</u>                  |                                 |
| Total Administration                              | \$27,251.0         | (\$1,393.0)   | \$355.4                                   | \$26,213.4                      | -3.8%                           |
| % of Campus total                                 | 18.3%              |   |   | 16.7%                           |                                 |
| Other VC/Major Areas                              |                    |   |   |                                 |                                 |
| VC for University Advancement                     | \$2,500.6          | (\$275.0)   | \$0.3                                     | \$2,225.9                       |                                 |
| Student Support Services                          | 2,126.8            | (912.5)   | (230.1)                                   | 984.2                           |                                 |
| Intercollegiate Athletics                         | 525.3              | (101.9)   | (39.5)                                    | 383.9                           |                                 |
| Strategic Reserves                                | <u>15,542.0</u>    | <u>22,079.0</u>                                     | <u>(14,815.6)</u>                         | <u>22,805.4</u>                 |                                 |
| Total Other VC/Major Areas                        | \$20,694.7         | \$20,789.6  | (\$15,084.9)                              | \$26,399.4                      | 27.6%                           |
| % of Campus total                                 | 13.9%              |   |   | 16.8%                           |                                 |
| REDUCTION OF DEFICIT                              | \$0.0              | \$0.0   | \$0.0                                     | \$0.0                           |                                 |
| % of Campus total                                 |                    |   |   | 0.0%                            |                                 |
| <b>CAMPUS TOTAL</b>                               | <b>\$149,196.7</b> | <b>\$11,382.3</b>                                   | <b>(\$3,664.4)</b>                        | <b>\$156,914.6</b>              | <b>5.2%</b>                     |

# Southern Illinois University System Offices

## General Operating Income & Expenditures Budgets

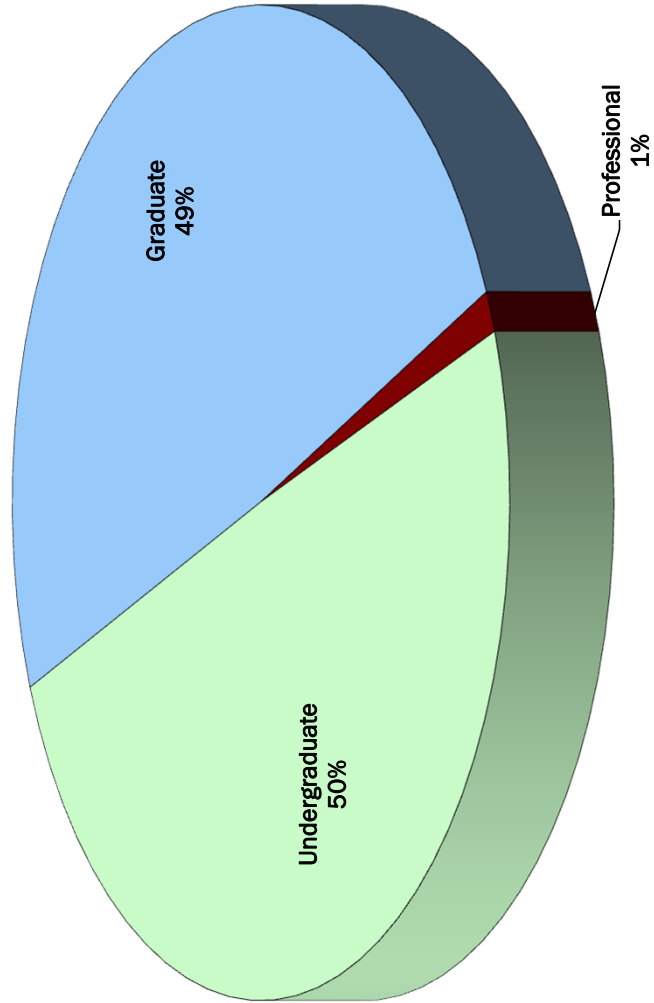
| (in \$ Thousands)                     |                   | <u>Budget FY15</u> |   |   | <u>Proposed<br/>Budget FY18</u> | <u>FY15 - FY18<br/>% Change</u> |
|---------------------------------------|-------------------|--------------------|---|---|---------------------------------|---------------------------------|
| <b>Income</b>                         |                   |                    |   |   |                                 |                                 |
| State Appropriations                  |                   | \$3,670.8          |   |   | \$3,379.4                       | -7.9%                           |
| Income Fund                           |                   |                    |   |   |                                 |                                 |
| Tuition - On-Campus                   |                   | 0.0                |   |   | 0.0                             | 0.0%                            |
| Tuition - Off-Campus                  |                   | 0.0                |   |   | 0.0                             | 0.0%                            |
| Interest Income                       |                   | 0.0                |   |   | 0.0                             | 0.0%                            |
| Miscellaneous                         |                   | 0.0                |   |   | 0.0                             | 0.0%                            |
| <b>Total General Operating Income</b> |                   | <b>\$3,670.8</b>   |   |   | <b>\$3,379.4</b>                | <b>-7.9%</b>                    |
|                                       |                   | <u>Budget FY15</u> | <u>Increases/<br/>Reductions<br/>FY16 thru FY17</u> | <u>Increases/<br/>Reductions<br/>FY18</u> | <u>Proposed<br/>Budget FY18</u> |                                 |
| <b>Expenditures</b>                   |                   |                    |   |   |                                 |                                 |
| Office of the President               |                   | \$2,010.3          | \$0.0   | (\$177.0)                                 | \$1,833.3                       | -8.8%                           |
|                                       | % of Campus total | 54.8%              |   |   | 54.2%                           |                                 |
| General Administration                |                   |                    |   |   |                                 |                                 |
| Internal Audit                        |                   | \$667.6            | \$0.0   | (\$80.3)                                  | \$587.3                         |                                 |
| Legal Counsel                         |                   | 612.3              | 0.0   | (61.2)                                    | 551.1                           |                                 |
| <b>Total General Administration</b>   |                   | <b>\$1,279.9</b>   | <b>\$0.0</b>  | <b>(\$141.5)</b>                          | <b>\$1,138.4</b>                | <b>-11.1%</b>                   |
|                                       | % of Campus total | 34.9%              |   |   | 33.7%                           |                                 |
| Support Services                      |                   |                    |   |   |                                 |                                 |
| Risk Management & Compliance          |                   | \$65.7             | \$0.0   | (\$4.1)                                   | \$61.6                          |                                 |
| Development Initiatives & Other       |                   | 314.9              | 0.0   | 31.2                                      | 346.1                           |                                 |
| <b>Total Support Services</b>         |                   | <b>\$380.6</b>     | <b>\$0.0</b>  | <b>\$27.1</b>                             | <b>\$407.7</b>                  | <b>7.1%</b>                     |
|                                       | % of Campus total | 10.4%              |   |   | 12.1%                           |                                 |
| REDUCTION OF DEFICIT                  |                   | \$0.0              | \$0.0   | \$0.0                                     | \$0.0                           |                                 |
|                                       | % of Campus total |                    |   |   | 0.0%                            |                                 |
| <b>CAMPUS TOTAL</b>                   |                   | <b>\$3,670.8</b>   | <b>\$0.0</b>  | <b>(\$291.4)</b>                          | <b>\$3,379.4</b>                | <b>-7.9%</b>                    |

# Southern Illinois University

## FY 2018 Tuition & Fee Waivers Budget

The University recognizes that waivers represent an institutional resource that should be included in the University's budget plan, which outlines the allocation of all institutional resources. A waiver represents an agreement between the University and the student to reduce or eliminate the tuition and/or fees that normally would be charged to the student. Waivers granted by State statute are also included. Waivers budget is not reflected in University budget totals.

|                      |            |                             |
|----------------------|------------|-----------------------------|
| <b>Undergraduate</b> |            | <b><u>\$ 28,611,635</u></b> |
| SIUC                 | 22,200,000 |                             |
| SIUE                 | 6,411,635  |                             |
| <b>Graduate</b>      |            | <b><u>\$ 28,411,053</u></b> |
| SIUC                 | 23,200,000 |                             |
| SIUE                 | 5,211,053  |                             |
| <b>Professional</b>  |            | <b><u>\$ 788,318</u></b>    |
| Dentistry            | 156,588    |                             |
| Law                  | 100,000    |                             |
| Medicine             | 362,800    |                             |
| Pharmacy             | 168,930    |                             |
| <b>TOTAL</b>         |            | <b><u>\$ 57,811,006</u></b> |
| SIUC                 | 45,500,000 |                             |
| SIUC-SOM             | 362,800    |                             |
| SIUE                 | 11,948,206 |                             |



### SCHEDULE OF MEETINGS OF THE BOARD OF TRUSTEES FOR 2018

As a traditional practice and for convenience in meeting certain provisions of the Open Meetings Act, Board meetings have been scheduled on an annual basis. Custom has called for scheduling alternate meetings on alternate campuses of the University. Approval is requested for the schedule listed below:

|              |   |
|--------------|---|
| February 8   | Southern Illinois University Edwardsville                               |
| April 12     | Southern Illinois University Carbondale                                 |
| July 12      | Southern Illinois University Carbondale School of Medicine, Springfield |
| September 13 | Southern Illinois University Edwardsville                               |
| December 13  | Southern Illinois University Carbondale                                 |

The following schedule reflects deadlines and mailing dates for the 2018 Board of Trustees meetings:

| <u>Deadline Dates for Receipt of Proposed Agenda Items</u> | <u>2018 Mailing Dates Agenda and Matters</u> | <u>2018 Meeting Dates Board of Trustees</u> |
|--|--|---|
| Monday, January 8  | Friday, January 26                           | SIUE – February 8                           |
| Monday, March 12   | Friday, March 30                             | SIUC – April 12                             |
| Monday, June 11  | Friday, June 29                              | SOM - July 12                               |
| Monday, August 13  | Friday, August 31                            | SIUE - September 13                         |
| Tuesday, November 13                                       | Friday, November 30                          | SIUC – December 13                          |

Trustee Sambursky moved the approval of the receipt of Reports of Purchase Orders and Contracts, June and July, 2017, SIUC and SIUE; the ratification of Changes in Faculty-Administrative Payroll for SIUC, SIUE, and Office of the President and University-Wide Services; Executive Summary: Resource Allocation and Management Program (RAMP) Budget Request, Fiscal Year 2019; Proposed Board of Trustees Debt Policy [Addition of 5 Policies of the Board Section P]; Naming of the Patio at the Ralph Becker Pavilion on Campus Lake, Carbondale Campus, SIU; Approval of Purchase: Medical Office Building Lease School of Medicine Campus, SIUC; Approval of Purchase: Third Party Administrator for Pharmaceutical Program School of Medicine Campus, SIUC; Approval of the ERTC Advisory Committee Membership, SIUE; Award of Contract: Turf Replacement Project, Outdoor Recreation Complex, SIUE; Project and Budget Approval: Founders Hall Renovation, SIUE; Project and Budget Approval: Morris University Center Skylight Replacement Project, SIUE; Approval of Purchase: Targeted Recruitment and Outreach Freshman, SIUE; Approval: Establishment of the SIU Dental Associates, Inc., University Related Organization, SIUE; Approval of Fiscal Year 2018 Operating Budgets; and Schedule of Meetings of the Board of Trustees for 2018. The motion was duly seconded by Trustee Ryan. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Mr. Luke Jansen, Dr. Shirley Portwood, Dr. Marsha Ryan, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, none.

The following item was presented:

## SALARY INCREASE PLAN FOR FISCAL YEARS 2016-17 AND 2017-18, SIUE

### Summary

This matter presents for Board approval a salary increase plan for Southern Illinois University Edwardsville. The plan sets forth general policies and parameters within which employee salary increases may be granted.

### Rationale for Adoption

Adequate salaries for our faculty and staff continue to be a high priority for the University. This plan includes a 2% salary increase for eligible SIUE employees effective as of July 1, 2016, for the 2016-17 fiscal year and a 2% salary increase for eligible SIUE employees as of July 1, 2017, for the 2017-18 fiscal year. The salary increase would apply to non-represented employees and to those in unions which have a closed contract containing a salary change clause benchmarked to non-represented salary adjustments. Working with the Chancellor, the President will determine the eligibility guidelines. For those in unions which have open contracts, compensation will be part of the contract negotiations.

### Considerations Against Adoption

Funds used for salary increases will not be available for other projects and initiatives.

### Constituent Involvement

The plan is consistent with the discussions the Chancellor has had with constituency leaders.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The President of Southern Illinois University is hereby authorized to grant an amount providing a salary increase pool of 2.0 percent to Southern Illinois University Edwardsville for each of the 2016-17 and 2017-18 years as specified previously.

(2) The President of Southern Illinois University be and is hereby authorized to issue guidelines and eligibility requirements for Fiscal Years 2017 and 2018 salary increases for employees who are not represented by a recognized bargaining agent.

(3) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

Trustee Gilbert noted that he believed all SIU employees to be hard working, and, having sacrificed a lot in the last several years during the budget crisis, deserve salary increases. He spoke to the timing of the proposal for salary increases for SIUE employees only in light of the state's financial crisis. Trustee Gilbert reviewed that a former budget model at SIU had one budget and the head of the system provided the pay raises to the campuses. An example was given that an employee doing the same job with the same background would receive the same pay raise no matter the employee's location. Trustee Gilbert supported the proposal but stated it should be the first step of a coordinated plan to provide increases to all employees throughout the system based upon the availability of sufficient funds. He recommended that the Board take another look in the future at the budget model for the system to make it more equal to employees for the entire system.

Chair Thomas expressed agreement with Trustee Gilbert's comments.

Trustee Sholar moved approval of the item. The motion was duly seconded by Trustee Jansen. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Mr. Luke Jansen, Dr. Shirley Portwood, Dr. Marsha Ryan, Mr. Joel Sambursky, Ms. Amy Sholar, Maj. Gen. Randal Thomas; nay, none.

Chair Thomas announced that the next regularly scheduled meeting of the Board would be held December 14, 2017, at the SIU Carbondale. He explained that there would be a news conference held at the Board table immediately following the meeting.



Trustee Sholar made a motion to adjourn the meeting. Trustee Thomas seconded the motion. The motion passed unanimously via voice vote, and the meeting adjourned at 12:05 p.m.

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Misty D. Whittington, Executive Secretary