

MINUTES OF THE SPECIAL MEETING OF THE BOARD OF TRUSTEES
SOUTHERN ILLINOIS UNIVERSITY
SEPTEMBER 12, 2018

Pursuant to notice, a special meeting was called by the Chair of the Board of Trustees of Southern Illinois University, and the meeting convened at 9:12 a.m., Wednesday, September 12, 2018, in the Special Events Room of Birger Hall, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Chair Sholar. The following members of the Board were present:

Ms. Amy Sholar, Chair
Hon. J. Phil Gilbert, Vice Chair
Mr. Joel Sambursky, Secretary
Mr. Tom Britton
Mr. Brione Lockett
Dr. Shirley Portwood
Dr. Marsha Ryan
Ms. Molly Smith

The following member was absent:

MG (Ret) Randal Thomas

The Executive Secretary reported and the Chair determined that a quorum was physically present.

Also present for the duration of the meeting were Dr. J. Kevin Dorsey, Interim President; Mr. Lucas Crater, General Counsel; and Ms. Misty Whittington, Executive Secretary of the Board. Dr. Carlo Montemagno, SIU Carbondale Chancellor; Dr. Randall Pembroke, SIU Edwardsville Chancellor; and Dr. Jerry Kruse, SIU School of Medicine Dean and Provost; Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs and Board Treasurer, attended a portion of the meeting.

Chair Sholar explained the procedures for the public comment and question portion of the Board's agenda. No persons registered to speak.

President Dorsey introduced the item regarding selection of a funding allocation consultant. He reviewed that at a prior meeting the Board approved the search process for a consultant. The President noted that an allocation study should be data driven, by an experienced person or firm who is perceived to be fair, and an implementation plan that follows should do no harm to campuses. He noted that bids for a consultant were sent out and several were received.

Vice President Stucky discussed with the Board the selection of a funding allocation consultant. He noted that the Board members had handouts to include hard copy evaluations of the consultants and budget materials to be presented by the campus budget officers. Dr. Stucky reported that the University received at least three proposals that were viewed as viable consultants. He noted that the proposals had been reviewed and ranked by the Chancellors, Dean Kruse, and himself without names being assigned to their rankings. The prices for the services ranged from \$97,000 to approximately \$99,000. Six consultants were contacted to send in proposals, and three responsive and one non-responsive submissions were received.

The Chair inquired whether President Dorsey had a recommendation. President Dorsey responded that he thought the Association of Governing Boards (AGB), RPK Group, and National Center for Higher Education Management Systems (NCHEMS), were all qualified and the ranking was very tight; he would be comfortable with any of the three firms.

Chair Sholar inquired whether the Chancellors, Dean Kruse, or Vice President Stucky had comments or found any firm to be unacceptable. Dean Kruse expressed agreement with President Dorsey. He reported he phoned the RPK Group to ask some questions, and the RPK Group rose to the top of his list because of that call which may add bias. Dr. Kruse expressed that he felt comfortable with that firm, but he did not feel uncomfortable with the others. President Dorsey noted an RPK representative worked in the University of Missouri system which may be a concern of the representative being too closely related but he trusted her to do her work. Chancellor Montemagno noted that AGB had breadth of experience working in research and non-research universities and managing finances at both campus and system levels. Chancellor Pembroke expressed agreement with Dean Kruse that he liked RPK Group and had known some who have worked in that group in the Missouri System. He noted that AGB's work may cross over due to its other work with SIU. He also rated NCHEMS highly but stated he thought any of the three firms would do a good job.

Vice Chair Gilbert asked Trustee Britton to weigh in due to his former SIU administrative experiences. Trustee Britton shared his general impressions. He noted having a slight preference for AGB due to taking into account various factors, including their broad experience and data they provided. He did not think there would be any conflict or difficulty with AGB because of other work the firm is doing for the University. He inquired whether Dr. Carol Cartwright, AGB consultant, would have some information to share with the group. Dr. Cartwright reported that she was not aware of the proposal from AGB, and it was done independent from her work. She noted that when AGB takes on projects, there is an overlay of policy but she is kept out of that process.

Trustee Lockett inquired about whether there would be any potential conflict of interest or favoritism with the RPK Group since some have worked with those in the Missouri system. Chair Sholar did not perceive that as an issue.

Chair Sholar reported that she ranked NCHEMS barely over AGB based on the proposals. She thought the three proposals were good and did not have a strong preference.

Trustee Ryan asked if Vice President Stucky had a preference. Dr. Stucky responded that as a system officer he served a different role; allocation would probably be administered by him and his staff and he did not wish to weigh in.

Trustee Portwood reported that she ranked the proposals in the order of NCHEMS, AGB, and RPK Group. She thought NCHEMS was very strong but she would be comfortable with any of the three. She commented regarding an element she liked of the fourth proposal that the person would meet with a broad group of stakeholders. Dr. Portwood proposed that the idea be included as part of the work for the firm chosen.

President Dorsey inquired whether the additional work could void the dollar figure proposed. Vice President Stucky reported that it was made very clear to him that any added tasks could cause the amount to exceed \$100,000. Dr. Stucky also thanked the procurement officers from the state level and campus level for their healthy input regarding the project. Dr. Stucky noted that the firm will ask the Board for direction, and a caveat could be made to include as many stakeholders without going over the dollar cap. Chair Sholar offered that the University may be able to assist by use of surveys in information gathering. She noted the item would be taken up before the full Board during the next day's meeting.

Vice President Stucky introduced the FY2019 operating budget discussion.

Ms. Judy Marshall, Executive Director for Administration and Finance, SIUC, reviewed budget discussion materials for SIUC for a four-year period. She stated that FY2015 was considered the base year for state appropriated funding. She reviewed that during that year the campus received almost \$103 million and tuition revenue was \$107 million. That year ended with \$15 million in income fund cash. Ms. Marshall pointed out that following the state budget impasse, the campus received over \$29 million in appropriations for FY2016 which represented one-third of the anticipated general operating budget. The campus cut \$12.6 million in expenses but ended up with \$45 million cash deficit. The appropriation was restored in FY2017 but had a \$10 million reduction in tuition revenue; \$5 million expenses were cut. The campus had a gain of \$7 million on annual operations, but ended the year with a \$38.2 million deficit. Ms. Marshall reviewed that in FY2018, the state appropriation was cut 10 percent and tuition revenue dropped slightly. The campus cut more spending and ended with a \$10 million gain in the income fund. The standing at the end of FY2018 is a \$28 million deficit. She projected that the campus would further reduce its income fund deficit during the current fiscal year, and it may take three to four years to have a positive operating result. Ms. Marshall noted that the income fund balance had been positive until the University did not receive its state appropriation. Overall unrestricted cash was positive.

Chair Sholar inquired on which factors the projections were based. Ms. Marshall responded that the projections were based on anticipated revenue. She reviewed the process the campus used to project revenue month by month. The fall tuition assessment would give a sense of tuition. The spring budget would be

approximately 90 percent of fall due to December graduations. Ms. Marshall reported that FY2015 expenses were over \$208 million, and last year's expenses were under \$176 million. She anticipated expenses similar this year except for the proposed one percent salary increase which would cost approximately \$1 million from state funding and \$500,000 paid from local accounts earmarked for various departments. Ms. Marshall remarked that the raise had been planned for and was a top priority for the Chancellor; the Chancellor had hoped to offer a two percent raise but there were not enough funds in the budget. She noted 1,400 non-represented employees and those represented by collective bargaining agreements would receive a raise as well as 1,100 graduate assistants.

Trustee Sambursky inquired how the income fund deficit was reduced over such a short period. Ms. Marshall responded that a significant permanent budget cut was done in FY2018 of \$19 million as part of SIUC's financial sustainability plan. Compared to FY15, 400 fewer positions were filled at all levels.

Chair Sholar inquired what the goal was for cash reserves to plan for future emergencies. Ms. Marshall responded that the campus may need a minimum of \$100 million in reserve. Ms. Marshall added that as a result of an audit finding, there had been accounting changes along the way for nearly every Illinois university regarding how universities count operational money which added to the deficit.

Trustee Lockett inquired about whether there were any other cuts made aside from personnel cuts. Ms. Marshall noted that there was no state-funded travel last year. The Physical Plant employees willingly took a reduction in hours to 7.5 hours per day that saved \$500,000. She further noted that no other cuts were planned for the year.

Ms. Marshall reported that the campus would not be requesting a tuition increase for the next year, but it may request an increase in the Information Technology Fee.

Trustee Sambursky mentioned a news article where some universities would give a discount off of a student bill if the student paid the bill in full. Ms. Marshall noted that the state of Illinois dictates how the University deals with old accounts receivable; nothing can be written off without the state's approval.

Trustee Ryan noted that she and Trustee Britton had attended a trustee education conference earlier in the week and learned about the idea of having a Board-level finance plan in place. The Chair expressed agreement that the Board should consider a fiscal policy in the future.

Chair Sholar asked Ms. Marshall about her biggest concern internally and externally. Ms. Marshall spoke to enrollment at the campus being a concern. Chancellor Montemagno projected that enrollment would not turn around for two years. He estimated that there would be an increase in new students next year but the amount of students in the senior class was so large, it would take two years to see an overall enrollment increase. The Chancellor reported that they had managed the budgets, planned for enrollments, and had built into the budget the primary objective to provide a raise for faculty and staff. He noted the campus would continue to operate in a fiscally responsible manner by looking at projections and putting in place financial management tools to be accurate in managing budgets to achieve institutional objectives.

Trustee Lockett inquired what the campus was doing to improve retention. Chancellor Montemagno responded that a retention plan was currently being implemented, and the freshman to sophomore retention rate increased more than three

percent this year. He spoke of other areas the campus was working on to include public-private partnerships for investments in educational offerings and research. Chair Sholar recommended that the Board receive a presentation by the campus enrollment directors at its December or spring meeting.

Ms. Wendy Cox-Largent, Associate Provost for Finance and Administration, SIU School of Medicine, reviewed budget discussion materials for the School of Medicine. She reviewed changes for general operating income and expenditures FY2018 to FY2019. She remarked that tuition is a relatively small portion of their budget. A state appropriation increase is 2 percent. She noted tuition revenue is fairly constant as the School only takes 72 new students per year. At times, some student takes a pause in their education and the student is not replaced which means there are years tuition is lower than anticipated. She reported internal reallocation that has been implemented to help support administrative costs of the School from the faculty practice plan to support initiatives and general operating income fund.

Ms. Cox-Largent stated her number one request for the day was a 2 percent salary increase for staff. She noted the cost of living increases outpaced salary increases over the last ten years. Coupled with state cost of health insurance that has continued to rise, a strain has been put on some employees. Ms. Cox-Largent spoke of a competitive market in Springfield with state and healthcare employers. She noted the School had many open Civil Service positions that are hard to fill. The School lost more than 40 employees over the last nine months. Many take lateral moves to state agencies and their average salary increase for moving is more than 20 percent. Ms. Cox-Largent reviewed groups of employees who would receive the proposed increases. She spoke

of the desire to retain the employees long-term. She noted clinical faculty do not receive the across-the-board increases because their income is different and is made up from a variety of sources. The School of Medicine has a large pending contract with AFCME. Some estimates have been built into the budget for if and when the AFSCME contract is settled.

Ms. Cox-Largent spoke of two new allocations for the Office of Population Science and Policy, and for the Center for Human and Organizational Potential. She noted the School of Medicine would be adding \$400,000 to the Office of Population Science as that office will be taking over the Medical School education around population science. For the Center for Human Organizational Potential (C-HOP), there are three areas of concentration: professional development, excellence in leadership, and wellness to address physician burnout. Ms. Cox-Largent reviewed general operating income and expenses. The total income and expenses for the School of Medicine for FY2019 is approximately \$47 million which is a small increase over FY2018 primarily due to a small increase in the School's state appropriation. She pointed out the School's reduction in deficit. Ms. Cox-Largent spoke of efforts made to hold back on expenses such as travel and not replacing equipment which has contributed to being able to pay back the deficit.

Chair Sholar inquired if the School had a cash reserves goal. Ms. Cox-Largent responded that most community-based medical schools have 100 to 110 days cash on hand, and the SIU School of Medicine has more than 90 days. Ms. Cox-Largent expressed interest in the School moving closer to 110 days cash on hand.

Ms. Cox-Largent reviewed income by source for the School. Total proposed income for FY2019 is \$209.8 million which is a 6.4 percent increase from FY2018. She noted School of Medicine clinical support activities provided the most income by far for the School.

Chair Sholar asked her greatest concerns for the School. Ms. Cox-Largent responded that her greatest concern is staff recruitment and retention with such competition from state employers and healthcare agencies. She noted the proposed salary increase and the training and retention capabilities provided through C-HOP should aide in those efforts.

Trustee Britton inquired when C-HOP was created. Ms. Cox-Largent reported that leadership had discussed the idea for 18 months. She noted that a faculty member who has expertise in organizational change was recently hired. The launch for the new unit will be in October and will continue to be rolling out.

Chair Sholar expressed interest in the Board receiving a brief presentation on C-HOP at its December meeting, and members were in agreement.

Ms. Cox-Largent discussed total revenue and expenses for the School. She noted efforts were being made to set aside resources for capital investment items such as information technology investment. She noted pending pension shifts are built into the budgets, and further noted the School's costs are higher for a pension shift due to salaries of a number of physicians.

Mr. Bill Winter, Budget Director, SIUE, reviewed budget discussion materials for SIUE. He noted that for SIUE, 35 percent of operations come from state appropriations and 65 percent from tuition revenue. Funding supports the core area of

academic programs. There is a .8 percent increase in total income. Tuition revenue is down approximately \$118,000 due to a 500 student decrease which was offset by a modest tuition increase. Mr. Winter spoke to a dramatic increase in online programs, summer school courses, and winter session. He reviewed that tuition rates are locked in for a four-year guarantee for incoming students.

Mr. Winter reviewed desired new commitments. The campus requested a 1 percent general salary increase which would cost about \$1.1 million. He spoke of the issue of the campus competing with other employers due to the location of being in the St. Louis metropolitan area. For academic program support, it is planned to add \$580,000 in student scholarships. He noted that there are operation and maintenance overhead increases for costs to heat and cool buildings. For university priorities, support for disability support services called Access, have had an increase in a number of students who need those services. He reviewed that a reallocation of approximately \$1.5 million is required to fund the new commitments.

Chair Sholar inquired about how the contingency funds were held. Mr. Winter discussed those funds known as development initiatives accounts for faculty travel and year end equipment, for example, and they were not spending those funds this year.

Mr. Winter reviewed the campus budgeting process which involves the Chancellor's Council and the University Planning and Budget Committee. After the Board approved tuition rates, the campus starts to do planning with various enrollment and state appropriation scenarios. He reviewed the groups of employees who would benefit from the proposed 1 percent salary increase. Mr. Winter reviewed income and expenditures and how expenses changed from FY2018 to FY2019. Two-thirds of the budget is spent

on academic affairs. He noted grants and contracts make up a large part of budget of approximately \$46 million. SIUE's total budget for FY2019 is \$294 million. The largest category is personnel services. Seventy-three percent is allocated to salaries and student wages. He noted that for all SIU campuses and other Illinois public universities a large portion of employee benefits are paid by the state and not charged to the universities and thus are not in SIUE's budget.

Chair Sholar inquired about the campus' cash reserves on hand. Mr. Winter responded that he felt the campus has sufficient cash reserves with an estimated \$25 to \$27 million positive income fund cash.

Chair Sholar inquired about their greatest concerns for the campus. Chancellor Pembroke responded that his concerns echoed comments of prior presenters. He noted enrollment is an issue, and he spends quite a bit of time locally with employers discussing how to make sure SIUE programs are relevant and meeting workforce needs of the area.

Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs and Board Treasurer, made his presentation to the Board regarding the President's Office and University-Wide Services budget. He noted the budget includes the President's Office, the Board Office, and the Academic Affairs Office. He further noted there are self-supporting activities in the University-Wide Services that the campuses pay for and also have some state appropriations such as the Risk Management Office and part of the General Counsel Office. He noted there had been a series of shared services that had not had a source of funding in that category. Development activity and diversity activity will be funded by the campuses if it can be identified that a disproportion should

be charged to a particular campus, otherwise costs will be allocated along the traditional allocation. Dr. Stucky spoke to the need to review funding the President's Office budget as part of the new allocation process.

Chair Sholar noted that the governance and management study was underway, and one of the issues was enhancing the President's Office and inquired how that would work. Dr. Stucky responded that he believed it best to wait for the results of the study before any changes were made. Chair Sholar suggested that fiscal policy changes may help improve the President's Office funding as well. Dr. Stucky noted his desire for an allocation scheme of all university sources.

Vice Chair Gilbert inquired about who funds the Risk Management pool. Dr. Stucky responded that the campuses fund the pool. Each campus is charged based on what their liability is calculated to be which is an actuarial calculation done periodically regarding risks and claim history. There is other commercial insurance for buildings paid for by campus contribution based on physical plant value. Vice Chair Gilbert inquired whether there is a pool of funds for medical malpractice claims. Dr. Stucky confirmed there is a pool of funds in the Self Insurance Program that provides coverage up to a point, and then the University carries excess insurance coverage. He went on to say that there is a central Risk Management Office that coordinates and charges each campus like an insurance premium.

Secretary Sambursky inquired whether the State requires the University to have the amount of funds pooled. Dr. Stucky responded that the pool amount is driven by actuarial formula by what is a prudent percentage to have funds set aside. Mr. Sambursky inquired if there were other colleges that did not have self-insurance. Dr.

Stucky confirmed some universities do not use self-insurance but they lose money. He went on to say that medical malpractice is 80 percent of the reason the University has self-insurance, and it saves approximately 50 percent on rates. It is estimated the University saves \$2 million to \$3 million per year, and a large portion of the savings is medical malpractice savings.

Vice President Stucky reviewed three years of unrestricted cash balances for Carbondale, Springfield, Edwardsville, and University-Wide Services. He noted that SIUC's recovery was significantly faster than was projected a few months after Chancellor Montemagno arrived. He further noted that Springfield and Edwardsville had taken appropriate action to keep their unrestricted funds healthy.

Secretary Sambursky inquired about how donations and the Foundations are part of scholarships. Dr. Stucky noted that the campuses may have different answers, but it helps alleviate some pressure on campuses to do some funding of scholarships themselves. Mr. Sambursky asked if the budgets included any money taken for scholarships. Dr. Stucky responded that the only scholarships in the budgets are institutional scholarships.

Trustee Lockett noted that Edwardsville and the School of Medicine took the necessary steps to combat the budget impasse, and asked if there is a protocol for the campuses to follow in the future. Dr. Stucky responded that those are leadership decisions. Chair Sholar noted that would need to be included in a fiscal policy discussion.

Chair Sholar inquired about when it is expected there could be an improvement of the University's bond rating. Dr. Stucky reported that he did not expect an improvement very soon, and it may be several years; the rating is not only tied to SIU but also to the State of Illinois.

Trustee Gilbert inquired about recent news that the state had released some funds for deferred maintenance. Ms. Marshall confirmed \$1.2 million was released from the state for deferred maintenance to Carbondale for leaky roof repairs.

Trustee Britton recommended that the Finance Committee begin preparing an idea about what they want for a universal fiscal policy that includes the operating budget, bond financing, and fundraising.

The Chair announced that the Graduate and Professional Student Council requested that their survey presentation be withdrawn from the agenda.

Dr. Carol Cartwright, Association of Governing Boards Consultant, made her presentation to the Board. She reviewed top strategic issues for boards which included: educational quality, financial stability, freedom of speech, innovation, presidential leadership, and relevance. Dr. Cartwright noted the role of trustees as fiduciaries along with best practices. She reviewed responsibilities of governing boards and hallmarks of effective boards. Examples of statements of commitment were provided for trustees. She described the partnership between the board and the president. Boards' expectations of presidents, and presidents' expectations of boards were discussed. It was noted that for board performance, boards must be self-regulating; the board should be a team of equals and fiduciary principles apply to all. Resources available through the Association of Governing Boards were provided such as statements on governance

topics, and the Knowledge Center with items such as videos, podcasts, and governance briefs.

At 12:36 p.m., the Board recessed for lunch.

In Birger Hall, Board Room, at approximately 1:20 p.m., Trustee Britton moved that the Board go into closed session to consider pending, probable or imminent court proceedings against or on behalf of the Board; collective negotiating matters or deliberation concerning salary schedules for classes of employees; matters relating to individual students; appointment, employment, compensation, discipline, performance, or dismissal of specific employees; and discussion of minutes of meetings lawfully closed under this Act. The relevant sections of the Open Meetings Act Statute that allow for the closed session are 5 ILCS 120/2(c) (1), (2), (10), (11), (21). The motion was duly seconded by Chair Sholar. The motion carried by the following recorded vote: aye, Mr. Tom Britton; Hon. J. Phil Gilbert; Mr. Brione Lockett; Dr. Shirley Portwood; Dr. Marsha Ryan; Mr. Joel Sambursky; Ms. Amy Sholar; nay, none.

At approximately 5:40 p.m., a motion was made by Trustee Britton and seconded by Chair Sholar to adjourn the meeting. The motion passed by a voice vote.

No action was requested or taken during the closed session.

Joel Sambursky, Secretary

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES
SOUTHERN ILLINOIS UNIVERSITY
SEPTEMBER 13, 2018

The regular meeting of the Board of Trustees of Southern Illinois University convened on Thursday, September 13, 2018, at approximately 10:47 a.m. in the Meridian Ballroom, 1st Floor, Morris University Center, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Chair Sholar. The following members of the Board were present:

Ms. Amy Sholar, Chair
Hon. J. Phil Gilbert, Vice Chair
Mr. Joel Sambursky, Secretary
Mr. Tom Britton
Mr. Brione Lockett
Dr. Shirley Portwood
Dr. Marsha Ryan
Ms. Molly Smith

The following member was absent:

MG (Ret) Randal Thomas

Executive Officers present were:

Dr. J. Kevin Dorsey, Interim President, SIU
Dr. Carlo Montemagno, Chancellor, SIU Carbondale
Dr. Randall Pembroke, Chancellor, SIU Edwardsville
Dr. Jerry Kruse, Dean and Provost, SIU School of Medicine
Mr. Lucas Crater, General Counsel
Dr. Brad Colwell, Vice President for Student and Academic Affairs
Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs and Board Treasurer

Also present was Ms. Misty Whittington, Executive Secretary of the Board.

NOTE: Copies of all background documents furnished to the Board in connection with the following matters have been placed on file in the Office of the Board of Trustees.

Chair Sholar led the group in the Pledge of Allegiance.

The Executive Secretary reported and Chair Sholar determined that a quorum was physically present.

Trustee Ryan moved that the Minutes of the Meetings held April 11 and 12, 2018; May 30, 2018; June 21, 2018; July 16, 2018; and August 14, 2018; be approved. Vice Chair Gilbert seconded the motion, and the minutes were approved unanimously by voice vote.

Under Committee Reports, Chair Sholar reported that the Executive Committee had not met between Board meetings.

Under Executive Officer Reports, President Dorsey provided his report for the Southern Illinois University system. The President reviewed his observations from the Illinois Higher Education Committee legislative hearings held in Carbondale and Edwardsville August 20 and 21. The Committee Chair Chris Welch and some Democrat and Republican representatives from the area districts attended the sessions. A witness panel with representatives of the administration, faculty, students, staff and local business people presented testimony. President Dorsey remarked that at the Carbondale session, the mood was one of concern regarding the future of the University. Speakers spoke of the proud history of SIUC and SIUC as the economic driver in the region. Regarding the events of the past six months, the sentiment was that they were seen as the past, and everyone was looking forward to the future. With regard to the relationship with SIUE the clear feeling was that SIU was stronger together. President Dorsey remarked that at the Edwardsville session, his impression was that the mood was one of frustration and impatience. The campus did not feel it is respected and its successes not appreciated.

Some speakers felt that SIUE might be better on its own. Chair Welch's message was that the local visits were to gain support for increased funding for higher education. Members of the Committee recognized the damage done by the budget impasse which only added years of what they perceived to be underfunding. Dr. Dorsey reviewed contributing enrollment factors for undergraduate institutions. The birth rate has been declining for years, Illinois' budget situation has been less than optimal leading the state to be second highest exporter of high school students in the nation, and universities by their nature are not flexible in down years. The President recognized that other higher education institutions face some of the same issues with less resources and students to share. He noted those at SIU can make a difference by how they respond. He remarked that the SIU system taken as a whole has assets that can be used synergistically by the campuses to show the value it brings to perspective students, faculty, granting agencies, and investors. He noted that he had asked the campus chief executives to present examples of intercampus programs that help SIU fulfill its mission to improve the lives of the people in the region and in the state.

Dr. Carlo Montemagno, Chancellor, SIU Carbondale, provided his report for the Carbondale campus. The Chancellor spoke of incoming school class 2022 and noted it the academically strongest freshman class since records that go back to 1999. He also spoke of efforts to improve retention rates which is also the highest in recent history, and he noted a return of optimism and excitement on the campus. The Chancellor reported of a new hire of a new associate chancellor for enrollment management who is actively assisting in making changes to enrollment strategies. He spoke of new recruiting materials that were developed and messaging to make SIUC more relevant regionally,

locally, and nationally. He spoke of decisions being made in accordance to the campus mission statement and reviewed it with the Board. Chancellor Montemagno spoke of student success over the past year having competed effectively in a large number of intercollegiate activities and been able to compete and win against some of the world's leading academic institutions from STEM, performing arts, and social sciences on a national playing field.

The Chancellor spoke of a strong program with SIUC and SIUE in collaboration for PhD programs. The program began 21 years ago, and in recent times a number of programs were added, including most recently a Ph.D. program jointly with Edwardsville in 2017. From Fall 2015 to Fall 2018, 26 students were enrolled as follows: 1) history, 7 students; 2) engineering, 16 students; 3) computer science, 2 students; 4) environmental resources and policy, 1 student. He explained that courses are approved on both campuses, dissertation hours are split between campuses, tuition and fees go to the campus at which the student is enrolled, and the Ph.D. is awarded through the SIU Carbondale Graduate School.

Chancellor Montemagno spoke of research performed by campus faculty members. Criminology and Criminal Justice Professor Tammy Kochel and her students are working with the Springfield Police Department on a grant-funded effort to reduce gun violence. English Department lecturer Mary Kate Daily Varnau received a Fulbright Independent Student Research award. She will travel to Mexico to capture and report on the experiences of deported undocumented immigrants. Marketing Professor Jaehoon Lee studied the relationship between the quality of restaurant service to perceptions of how food tastes. Faculty and students associated with the Center for Archaeological

Investigations discovered the site of an American fort that was visited by the Lewis and Clark expedition.

The Chancellor noted recent national awards. SIUC was ranked 389 among the top U.S. universities, among more than 2,000 institutions, placing SIUC in the top 20 percent. SIUC was ranked as a “cool school” by the Sierra Club for sustainability efforts. *U.S. News and World Report* ranked SIUC’s graduate rehabilitation counseling program as the fourth best in the nation. The Council for Advancement and Support of Education awarded SIUC the Grand Gold Award for eclipse planning efforts. *Forbes* magazine ranked the campus among the top 500 mid-sized employers in the country based on survey data on how likely individuals were to recommend SIU as an employer.

Related to fundraising, the Chancellor reported that the campus’ ability to raise money increased more than 25 percent over the course of one year. He noted that the campus was at the halfway point of a three-year Forever SIU Campaign on June 30, and \$62 million toward the goal had been raised. He spoke of the Ralph E. Becker boathouse dedication that took place in the spring made possible by the general donation from Alumnus Ralph Becker.

Chancellor Montemagno reported on a focus on student experience. The campus is moving forward to launch an e-sports initiative to add to student excitement and engagement, and he showed the Board a rendering of the space to be located in the Student Center. The campus is one of few who have joined the National Association of E-sports. The competitive facility is for intercollegiate competition, and it is under construction and should be fully operational by the end of the semester. He further reported that a new student maker space is being built where multiple disciplines can be

integrated together for creativity and innovation. It will be 20,000 square feet, and one of the largest in the nation; it will act as a central hub with the research centers on campus.

The Chancellor spoke of the need to find new avenues to provide regular capital investment. He said he is looking at innovative businesses and entrepreneurial startups in the region and creating partnerships with Southern Illinois Healthcare and a number of mid-sized businesses. The Chancellor said he was in the process of building an entrepreneurial investment stream focused on innovation, as well as educational and research opportunities and opportunities for enhancing the economic quality of southern Illinois. He reported that due to intense effort of faculty and staff the reorganization is moving forward. A number of schools have been approved through the campus process including the School of Computing, the School of Earth Systems and Sustainability, the School of Health Sciences, the School of Mathematics and Statistical Sciences, the School of Psychological and Behavioral Sciences, and the School of Justice and Public Safety. Those will be moving to the President's Office for final approval. Two others are waiting until the next meeting of Graduate School for the School of Applied Technologies and the School of Biological Sciences. He expressed gratitude for dedication of faculty and staff who worked so hard on the reorganization.

Dr. Randall Pembroke, Chancellor, SIU Edwardsville, provided his report for the Edwardsville campus. The Chancellor presented the SIU system partnership of the Collective Grant Program. The Program involves faculty from Carbondale, Edwardsville and the School of Medicine. It is funded internally through cost recovery dollars that the institutions put toward this effort. Once or twice per year, the faculty do what some call speed networking where they present their research areas and decide then through two-

or three-minute conversations which faculty members might work together on projects. The projects focus on health-related concepts and collaborations between the faculty of different disciplines. He highlighted three projects. The first one from 2016 involved the School of Medicine and Dr. Travis Crabtree, from the area of surgery and SIUE electrical and computing engineering faculty member Dr. Timothy York. They developed a camera system that was used in thoracic surgery. A second area is with Dr. James Daniels from the School of Medicine, and he worked with SIUE's Dr. Lindsay Ross Stewart from applied health. They are working to see the effects of visual imagery on patient recovery and pain sensation. The third involves Dr. Dehoti from the medical microbiology area working with SIUE's Dr. Bill Newman from pharmaceuticals sciences. They are doing work in malignant cancer cell research. He noted the work underscores the research mission of the SIU system and those involved across many disciplines.

Chancellor Pembroke provided a report on enrollment for the campus. More than 3,400 new students enrolled at the campus this fall. He reported a slight decline in head count, about 500 students, primarily due to a slightly smaller freshman class. Transfer students were down slightly, partly due to community colleges over the last eight years having decreased 25 percent of their enrollments, so fewer students are available to transfer. He reported growth in the graduate student population. The nursing population had record undergraduate numbers, and there was growth in online enrollment. Retention numbers continue to increase across all segments of the campus student population.

The Chancellor reported on some accomplishments at SIUE. Summer was a good time for fundraising. In addition to the funds received for the Fowler Student Design Center expansion, a \$3.5 million gift was received for the East St. Louis Center primarily for STEM education and for facilities in that area. A gift of \$1 million was received from Delta Dental of Illinois to help with a dental lab particularly for children. The campus received nearly \$10 million of research since the last Board meeting from the Department of Health and Human Services, the National Institutes of Health, and the National Endowment for the Humanities.

Chancellor Pembroke noted upcoming events. Homecoming will take place the last weekend of September. The Science East building unveiling will be held on September 21. The Athletics Hall of Fame event will be held October 13. Jackie Joyner-Kersey, Olympic gold medalist, will be on campus on the evening of October 15 to kick off SIUE's inaugural Diversity Day on October 16. The Chancellor noted that during the prior week SIUE was notified that the Music Theatre Program was ranked top ten in the nation. In addition, SIUE will host the International Solar Car Conference next February. In closing, the volleyball team of 2017-2018 finished in the top 25 nationally in terms of academic distinction, and they also made post season tournaments.

Dr. Jerry Kruse, Dean and Provost, SIU School of Medicine, provided his report. He described some collaborations between Springfield and Carbondale and some also with Edwardsville. The first one is the collaboration of the SIUE School of Pharmacy for a professional degree and also an academic proposed Ph.D. degree. Mark Ruscin, chair of the Department of Pharmacy Practice, SIUE, and is on site at Springfield and helps with the programs. The Dean went on to say that the PharmD program is a six-year

program. The pharmacy portion is four years and the fourth year is a clinical year. Among 80 students, about 20 percent do a residency in Springfield at Springfield Memorial Hospital, St. John's Hospital, or at a site in Quincy, Illinois, at Blessing Hospital. Seven SIUE pharmacy faculty members are on site in Springfield. He spoke of benefits to the program to include that students from different areas of healthcare work together with teachers of various disciplines for research and quality improvement of projects. The proposed Ph.D. program that will take place between the School of Pharmacy and the School of Medicine with the degree granted by the SIU Carbondale Graduate School. Currently SIUE has a master's programs in various areas of pharmacy but this will be a Ph.D. program with three different areas. He reviewed a collaboration between the School of Medicine and the Springfield and Carbondale campuses which is microbiology, medical microbiology and biochemistry. The collaboration is between the Department of Microbiology in the College of Science, the Department of Biochemistry at the School of Medicine, the Department of Medical Microbiology, Immunology and Cell Biology at the School of Medicine in Springfield, and the Illinois Department of Public Health Laboratory Sciences Graduate Programs directed by our professor Andy Wilber. Over the years, 30 faculty members have been brought together to administer this program. He reviewed that a Ph.D. or M.S. degree can be granted in those three areas, and there about 50 students in the program at any one time. The program has benefitted faculty, students, and the people of Illinois in many ways including supplying the primary public health with the sciences. Dean Kruse reviewed an Illinois map showing from which counties the more than 70 students come from out of the 1,300 applicants for the class of 2022. All of them are from Illinois, a good portion are from the Springfield area, 11 are from the metro

east area and 11 are from the Carbondale area. In closing, the Dean reported that the School of Medicine received its fourth Aspire Excellence Award from the Association for Medical Education in Europe. He noted the award also represents a collaboration of nearly all of the School of Medicine's faculty. The SIU School of Medicine was one of two schools in the world to receive that award for medical simulation.

Chair Sholar explained the procedures for the public comment and question portion of the Board's agenda. No persons registered to speak.

Under the Applications for Appeal item on the agenda, the Chair reported that this was the first meeting held ten days following the recommendation by President Dorsey that the Applications for Appeal be denied. According to Article 6, Section 2 of the Bylaws of the Board of Trustees, if no motion to grant the Applications for Appeal are approved, the Board of Trustees Bylaws provide that the Applications for Appeal would be deemed to be denied and the actions which were the subjects of the grievances would stand. Trustee Ryan made a motion to grant the appeals. No Board member seconded the motion. After Chair Sholar inquired with Trustee Ryan if it had been her intent to make such a motion, Trustee Ryan withdrew the motion. The Chair stated that the Applications for Appeal were denied and the actions which were the subject of the grievances would stand.

Chair Sholar explained the procedure for the Board's omnibus motion. The listing of items proposed for the omnibus motion were as follows:

REPORTS OF PURCHASE ORDERS AND CONTRACTS,
MARCH, APRIL, MAY, JUNE, AND JULY, 2018, SIUC AND SIUE

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of March, April, May, June, and July, 2018, were provided to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

APPROVAL OF NEW PROGRAMS,
REASONABLE AND MODERATE EXTENSIONS,
APPROVAL OF OFF-CAMPUS PROGRAM LOCATIONS, AND
ACADEMIC PROGRAM ELIMINATIONS AND SUSPENSIONS
JULY 1, 2017 THROUGH JUNE 30, 2018

New Program Additions

SIUC

Bachelor of Science in Child and Family Services, College of Education and Human Services, approved January 29, 2018, by IBHE with CIP Code 19.0799.

SIUE

Master of Science in Criminal Justice Policy, College of Arts and Sciences, approved September 26, 2017, by IBHE with CIP Code 43.0104.

Master of Science in Nutrition and Dietetics, School of Education, Health, and Human Behavior, approved March 15, 2018, by IBHE for Southwestern Region with CIP Code 51.3101.

Program Modifications

SIUC

CIP Code change for Ph.D. in Agricultural Sciences from 01.0000 (Agriculture General) to 01.0308 (Agroecology and Agriculture), approved March 21, 2018, by IBHE.

SIUE

Elevate specialization to Master of Science in Family Nurse Practitioner, School of Nursing, approved January 19, 2018, by IBHE with CIP Code 51.3805.

Elevate specialization to Master of Science in Health Care and Nursing Administration, School of Nursing, approved January 19, 2018, by IBHE with CIP Code 51.3802.

Elevate specialization to Master of Science in Nurse Educator, School of Nursing, approved January 19, 2018, by IBHE with CIP Code 51.3817.

Specializations/Concentrations/Options/MinorsSIUC

Addition of Analytics for Managers Concentration to the online MBA, College of Business, approved December 19, 2017, by President Randy J. Dunn.

Addition of Accelerated Master of Science in Applied Psychology Concentration, College of Liberal Arts, approved December 21, 2017, by President Randy J. Dunn.

Addition of Non-Thesis Option to Master of Arts in Psychology, College of Liberal Arts, approved December 21, 2017, by President Randy J. Dunn.

Elimination of the Dietetics/Pre-Nursing Specialization in Human Nutrition and Dietetics, approved February 26, 2018, by President Randy J. Dunn.

Elimination of Ph.D. Concentrations (Biogeochemistry; Earth Surface Processes; Energy & Mineral Resources; Geophysics and Tectonics: Paleobiology) in Geology in the College of Science, approved February 27, 2018, by President Randy J. Dunn.

Addition of Hospitality, Tourism and Event Minor, College of Agricultural Sciences, approved June 18, 2018, by President Randy J. Dunn.

SIUE

Elimination of Creative Writing Specialization in the Master of Arts in Creative Writing, approved August 1, 2017, by President Randy J. Dunn.

Name change to Minor in Black American Studies to Minor in Black Studies, approved December 15, 2017, by President Randy J. Dunn.

Addition of Advertising and Strategic Media Specialization to BA and BS in Mass Communications, approved February 20, 2018, by President Randy J. Dunn.

Addition of Journalism Specialization to the BA and BS in Mass Communications, approved February 20, 2018, by President Randy J. Dunn.

Addition of Media Production Specialization to the BA and BS in Mass Communications, approved February 20, 2018, by President Randy J. Dunn.

Name change to Management Information Systems Specialization to Computer Information Systems Specialization, approved April 18, 2018, by President Randy J. Dunn.

Addition of Perspectives on Science, Technology and Medicine Minor, approved April 18, 2018, by President Randy J. Dunn.

Addition of Specialization in Sports Nutrition to Master of Science in Nutrition and Dietetics, approved April 23, 2018, by President Randy J. Dunn.

Name change of specialization, MEd in Learning, Culture and Society to MEd in Diversity and Equity in Education, approved June 18, 2018, by President Randy J. Dunn.

Academic Unit Changes/Additions

SIUC

None

SIUE

None

Organized Research and Service Centers

SIUC

Elimination of the Center for Advanced Friction Studies (CAFS), approved October 23, 2017, by President Randy J. Dunn.

SIUE

Elimination of the Institute for Urban Research, approved July 31, 2017, by President, Randy J. Dunn.

Establishment of the Center for STEM Research, Education and Outreach, approved September 19, 2017, by IBHE (permanent approval).

Administrative Units

None

Certificates**SIUC**

Addition of Post-Baccalaureate Certificate in Special Education, College of Education and Human Services, approved December 19, 2017, by President Randy J. Dunn.

Elimination of Undergraduate and Post-Baccalaureate Certificates in Histotechnology in the SIU School of Medicine, approved May 22, 2018, by President Randy J. Dunn.

SIUE

None

Off-Campus Program Approvals**SIUC**

Bachelor of Science in Crop, Soil, and Environmental Management, College of Agricultural Sciences, to be offered in Southwestern region at the Belleville Research Station, approved August 2, 2017, by IBHE.

Bachelor of Science in Horticulture, College of Agricultural Sciences, to be offered in Southwestern region at the Belleville Research Station, approved August 2, 2017, by IBHE.

Bachelor of Science in Agricultural Systems and Education, College of Agricultural Sciences, to be offered in Southwestern region at the Belleville Research Station, approved August 2, 2017, by IBHE.

Bachelor of Science in Industrial Management and Applied Engineering, College of Applied Sciences and Arts, to be offered in Chicago at Richard Daley College, approved May 9, 2018, by IBHE.

Master of Science in Public Safety and Homeland Security Administration, College of Applied Sciences and Arts, to be offered in South Metropolitan region at Joliet Junior College, approved May 9, 2018, by IBHE.

SIUE

None

Off-Campus Program Eliminations

SIUC

None

SIUE

None

Academic Program Suspensions/Eliminations

SIUC

Suspension of Bachelor of Science in Business Economics, College of Business, summer 2018, IBHE informed 12.22.17. Program in Phase Down.

Suspension of Bachelor of Science in Mining Engineering, College of Engineering, January 2018, IBHE informed 12.22.17. Program in Phase Down.

Suspension of Master of Arts in Teaching, College of Education and Human Services, January 2018, IBHE informed 12.22.17. Program to remain Active.

Suspension of Master of Science in Mining Engineering, College of Engineering, January 2018, IBHE informed 12.22.17. Program in Phase Down.

Suspension of Bachelor of Science in Physical Education Teacher Education, summer 2018, IBHE informed 12.22.17. Program to remain Active.

SIUE

Elimination of Master of Arts in Economics and Finance, approved February 26, 2018, by President Randy J. Dunn.

Elimination of Master of Science in Economics and Finance, approved February 26, 2018, by President Randy J. Dunn.

Elimination of Bachelor of Science in Computer Management and Information Systems, approved April 18, 2018, by President Randy J. Dunn.

Elimination of Bachelor of Science in Business Economics and Finance, approved May 17, 2018, by President Randy J. Dunn.

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUC

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| | <u>Name</u> | <u>Rank/Title</u> | <u>Department</u> | <u>Effective Date</u> | <u>Percent Change</u> | <u>Salary</u> |
|----|----------------------|---|--|-----------------------|-----------------------|---|
| 1. | Alongi, Kelly M. | Research Project Specialist | Office of Sponsored Projects Administration | 07/01/2018 | | \$ 3,700.00/mo \$ 44,400.00/FY |
| 2. | Beccue, Brian S. | Assistant Dean of Students | Dean of Students | 06/01/2018 | | \$ 4,833.33/mo \$ 57,999.96/FY |
| 3. | Burgess, Jennifer A. | Senior Lecturer* (previously Assistant Instructor) | Head Start | 08/16/2018 | 11.14% | \$ 2,993.00/mo \$ 26,937.00/AY (Previous Salary – \$ 2,693.00/mo \$ 24,237.00/AY) |
| 4. | Doepke, Amanda S. | Admissions Coordinator** | Undergraduate Admissions | 07/01/2018 | | \$ 2,667.00/mo \$ 32,004.00/FY |
| 5. | Hale, Caleb C. | Assistant Director | Alumni Services | 07/01/2018 | | \$ 3,663.00/mo \$ 43,956.00/FY |
| 6. | Hitchens, Paul B. | Researcher II | Center for Fisheries | 07/01/2018 | | \$ 4,739.00/mo \$ 56,868.00/FY |
| 7. | Hunter, Elizabeth A. | Assistant Director, Communications** | Undergraduate Admissions | 04/23/2018 | | \$ 4,375.00/mo \$ 52,500.00/FY |
| 8. | Justice, Jordae R. | Assistant Director*** (previously Financial Literacy Coordinator) | Paul Simon Public Policy Institute (previously Financial Aid Office) | 06/11/2018 | 75.75% | \$ 4,833.00/mo \$ 57,996.00/FY (Previous Salary – \$ 2,750.00/mo \$ 33,000.00/FY) |
| 9. | King, Mandy L. | Research Compliance Coordinator | Office of Sponsored Projects Administration | 07/01/2018 | | \$ 4,363.00/mo \$ 52,356.00/FY |

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|-----|-----------------------|---|--------------------------------------|------------|--------|---|
| 10. | Pitts, Eric R. | Laboratory Operations Coordinator | Fermentation Science Institute | 07/01/2018 | | \$ 3,200.00/mo \$ 38,400.00/FY |
| 11. | Shajesh, Kuloth V. | Senior Lecturer* (previously Lecturer) | Physics | 08/16/2018 | 6.98% | \$ 4,600.00/mo \$ 41,400.00/AY (Previous Salary – \$ 4,300.00/mo \$ 38,700.00/AY) |
| 11. | Taylor, Alicia K. | Assistant Director, Transfer Relations** | Undergraduate Admissions | 07/01/2018 | | \$ 3,839.00/mo \$ 46,068.00/FY |
| 12. | Westra, Lynne A. | Digital Media and Online Education Specialist*** (previously Digital Media Systems Specialist) | College of Business | 06/08/2018 | 15.79% | \$ 4,714.00/mo \$ 56,568.00/FY (Previous Salary – \$ 4,071.00/mo \$ 48,852.00/FY) |

* Change from term to continuing per IEA/NEA NTT Faculty Contract

**Change from term to continuing

***Promotion of Administrative Professional

B. Leaves of Absence with Pay– None to be reported.

C. Awards of Tenure– None to be reported.

D. Promotions – None to be reported.

The following changes in faculty-administrative payroll at the School of Medicine/Springfield campus are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Dean and Provost. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| | <u>Name</u> | <u>Rank/Title</u> | <u>Department</u> | <u>Effective Date</u> | <u>Percent Change</u> | <u>Salary</u> |
|----|----------------------|--|-------------------|---------------------------|---------------------------|-----------------------------------|
| 1. | Armstrong, Amanda | Licensed Clinical Professional Counselor | Pediatrics-SMS | 07/30/2018 | | \$ 4,583.33/mo \$ 54,999.96/FY |

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|-----|---------------------|--|---|------------|------------------------------------|
| 2. | Atwood, Nicole | Certified Nurse Practitioner* | Neurology-SMS | 07/01/2018 | \$ 8,106.45/mo \$ 97,277.40/FY |
| 3. | Bhandari, Priyanka | Assistant Professor of Family and Community Medicine | Family and Community Medicine/Lincoln-SMS | 08/12/2019 | \$ 12,500.00/mo \$150,000.00/FY |
| 4. | Bollinger, Michelle | Certified Nurse Practitioner | Pediatrics-SMS | 08/13/2018 | \$ 7,916.67/mo \$ 95,000.04/FY |
| 5. | Branham, Amy | Certified Nurse Practitioner* | Surgery-SMS | 07/01/2018 | \$ 7846.69/mo \$ 94,160.28/FY |
| 6. | Butcher, Marissa | Certified Physician Assistant* | Surgery-SMS | 07/01/2018 | \$ 7,650.00/mo \$ 91,800.00/FY |
| 7. | Cai, Xiang | Assistant Professor* | Physiology-SMC | 07/01/2018 | \$ 8,336.07/mo \$100,032.84/FY |
| 8. | Frisbie, Deidra | Certified Nurse Practitioner* | Neurology-SMS | 07/01/2018 | \$ 7,947.50/mo \$ 95,370.00/FY |
| 9. | Hibbert, Paul | Assistant Professor of Family and Community Medicine* | Family and Community Medicine/Quincy-SMS | 07/01/2018 | \$ 15,040.00/mo \$180,480.00/FY |
| 10. | Hitt, Mary | Certified Nurse Practitioner* | Obstetrics and Gynecology Clinic-SMS | 07/01/2018 | \$ 8,500.00/mo \$102,000.00/FY |
| 11. | Holcomb, Shawn | Professor of Family and Community Medicine* | Family and Community Medicine/Quincy-SMS | 07/01/2018 | \$ 15,382.19/mo \$184,586.28/FY |
| 12. | Jabri, Hadoun | Assistant Professor of Clinical Internal Medicine* (80%) | Internal Medicine-SMS | 07/01/2018 | \$ 11,875.00/mo \$142,500.00/FY |
| 13. | Jirmasek, Ann | Clinical Gerontology Specialist* | Alzheimer's Disease Center-SMS | 07/01/2018 | \$ 6,413.35/mo \$ 76,960.20/FY |

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|-----|--------------------------|---|---|------------|------------------------------------|
| 14. | Kulys, Ruta | Licensed Clinical Social Worker* (previously Director of Community Support Network) | Psychiatry Clinic-SMS | 07/01/2018 | \$ 6,652.27/mo \$ 94,350.00/FY |
| 15. | Kyroutac, Gregory | Director of Education and Outreach Programs* | Alzheimer's Disease Center-SMS | 07/01/2018 | \$ 6,896.28/mo \$ 82,755.36/FY |
| 16. | Miller, Peter | Assistant Professor of Family and Community Medicine | Family and Community Medicine/Springfield-SMS | 09/01/2018 | \$ 16,250.00/mo \$195,000.00/FY |
| 17. | O'Connor, Mary Lynn | Genetic Specialist | Pediatrics-SMS | 08/13/2018 | \$ 5,833.33/mo \$ 69,999.96/FY |
| 18. | Ozyurt, Nevin | Certified Nurse Practitioner | Internal Medicine-SMS | 08/13/2018 | \$ 7,500.00/mo \$ 90,000.00/FY |
| 19. | Reed, Katelyn | Certified Nurse Practitioner | Pediatrics-SMS | 01/28/2019 | \$ 7,916.67/mo \$ 95,000.04/FY |
| 20. | Rodriguez, Christofer | Clinical Research Specialist* | Office of Population Science and Policy-SMS | 07/01/2018 | \$ 3,166.67/mo \$ 38,000.04/FY |
| 21. | Ryherd, Susan | Director of Academic Programs and Outreach* | Family and Community Medicine CORE-SMS | 07/01/2018 | \$ 6,800.34/mo \$ 81,604.08/FY |
| 22. | Sharpe-Whitaker, Rebecca | Certified Nurse Practitioner* | Family and Community Medicine/Quincy-SMS | 07/01/2018 | \$ 7,140.00/mo \$ 85,680.00/FY |
| 23. | Smith, Clasina (Leslie) | Assistant Professor of Family and Community Medicine | Medical Education | 07/16/2018 | \$ 9,375.00/mo \$112,500.00/FY |

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|-----|---------------|--|--------------------------------|------------|--------|---|
| 24. | Varney, Jacob | Assistant Professor of Clinical Internal Medicine* (previously Instructor of Clinical Internal Medicine) | Internal Medicine-SMS | 06/27/2018 | 50.00% | \$ 11,666.670/mo \$140,000.04/FY (Previous Salary – \$ 5,833.33/mo \$ 69,999.96/FY) |
| 25. | Wilson, Stacy | EMG Technician* | Neurology-SMS | 07/01/2018 | | \$ 4,120.42/mo \$ 49,445.04/FY |
| 26. | Womack, Cindy | Certified Nurse Practitioner* | Alzheimer's Disease Center-SMS | 07/01/2018 | | \$ 6,285.75/mo \$ 75,429.00/FY |

*Change from term to continuing

** Change in title

B. Leaves of Absence with Pay – None to be reported.

C. Awards of Tenure – None to be reported.

D. Promotions – None to be reported.

REVISED

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUE

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| | <u>Name</u> | <u>Rank/Title</u> | <u>Department</u> | <u>Effective Date</u> | <u>Percent Change</u> | <u>Salary</u> |
|----|----------------|-------------------|-------------------|-----------------------|-----------------------|---------------------------------|
| 1. | Akinyi, Yvonne | Academic Advisor | Academic Advising | 5/31/2018 | | \$3,025.46/mo \$36,305.52/FY |

| | | | | | | |
|-----|----------------------|--|--|-----------|-------|---|
| 2. | Atkins, Kelly | Academic Advisor | School of Education | 5/14/2018 | | \$3,025.46/mo \$36,305.52/FY |
| 3. | Ball, Jamie | Director | Equal Opportunity, Access, and Title IX Coordination | 6/18/2018 | | \$8,333.33/mo \$99,999.96/FY |
| 4. | Black, Alan | Assistant Professor | Geography | 8/16/2018 | | \$5,888.90/mo \$53,000.10/AY |
| 5. | Boyle, James | Assistant Director (previously: Specialist) | Disability Support Services | 5/17/2018 | 12.5% | \$5,197.26/mo \$62,367.12/FY (previously: \$4,617.82/mo \$55,413.78/FY) |
| 6. | Brock, Leslie | Assistant Director | Educational Outreach | 5/01/2018 | | \$5,166.66/mo \$61,999.92/FY |
| 7. | Burden, Danielle | Hall Director | Housing | 7/2/2018 | | \$2,833.32/mo \$33,999.84/FY |
| 8. | Church, Jason | Director | WSIE | 5/21/2018 | | \$6,250.00/mo \$75,000.00/FY |
| 9. | Crosby, Domonique | Assistant Director | Housing | 6/1/2018 | | \$3,750.41/mo \$45,005/FY |
| 10. | Eilers, Katherine | Assistant Director | Student Financial Aid | 3/26/2018 | | \$4,166.68/mo \$50,000.16/FY |
| 11. | Emery, Morgan | Assistant Professor | Clinical Dentistry | 7/1/2018 | | \$9,350.00/mo \$112,200.00/FY |
| 12. | Flinn, Graham | Academic Advisor | CAS Advisement | 6/01/2018 | | \$3,025.46/mo \$36,305.52/FY |
| 13. | George, Jayashree | Assistant Professor | Art & Design | 8/16/2018 | | \$5,777.78/mo \$52,000.02/AY |

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|-----|--------------------|---|----------------------------------|-----------|-------|--|
| 14. | Ghassemi, Amirreza | Assistant Professor | Applied Dental Medicine | 7/1/2018 | | \$9,833.36/mo \$118,000.32/FY |
| 15. | Gupchup, Gireesh | Director of University Community Initiatives (previously: Dean) | School of Pharmacy | 7/1/2018 | | \$15,273.26/mo \$183,279.12/FY (previously: \$16,805.56/mo \$201,666.72/FY) |
| 16. | Holste, John | Counselor | Career Development Center | 7/2/2018 | | \$3,083.34/mo \$37,000.08/FY |
| 17. | Huff, Justin | Academic Advisor | CAS Advisement | 6/01/2018 | | \$3,025.46/mo \$36,305.52/FY |
| 18. | Jacobs, Laura | Academic Advisor | School of Business | 4/02/2018 | | \$3,025.46/mo \$36,305.52/FY |
| 19. | Koehne, Jenifer | Academic Advisor | Academic Advising | 5/29/2018 | | \$3,025.46/mo \$36,305.52/FY |
| 20. | Lee, Danielle | Assistant Professor | Biological Sciences | 8/16/2018 | | \$6,100.00/mo \$54,900.00/AY |
| 21. | Leland, Andrew | Assistant Professor | Educational Leadership | 8/16/2018 | | \$6,223.00/mo \$56,007.00/AY |
| 22. | Loman, Alyssa | Counselor | Counseling Services | 3/19/2018 | | \$3,333.00/mo \$39,996.00/FY |
| 23. | Lovellette, Ellie | Associate Director (previously: Senior Researcher) | Institutional Research & Studies | 6/1/2018 | 12.5% | \$6,340.92/mo \$76,091.04/ (previously: \$5,634.88/mo \$67,618.56) |
| 24. | Lovett, Regiere | Counselor | Admissions | 4/6/2018 | 10% | \$2,841.68/mo \$34,100.16/FY (previously: \$2,583.34/mo \$31,000.08/FY) |

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|-----|----------------------------------|---|---------------------------------|-----------|--|
| 25. | Luckey, Georgia | Assistant Professor | Public Health | 8/16/2018 | \$6,112.00/mo \$55,008.00/AY |
| 26. | Martino- Taylor, Lisa | Assistant Professor | Sociology | 8/16/2018 | \$5,777.78/mo \$52,000.02/AY |
| 27. | Matta, John | Assistant Professor | Computer Science | 8/16/2018 | \$8,300.00/mo \$74,700.00/AY |
| 28. | McAlister, Garrett | Hall Director | Housing | 7/2/2018 | \$2,833.32/mo \$33,999.84/FY |
| 29. | Meder, Allison | Assistant Professor | Communicatio n Disorders | 8/16/2018 | \$6,323.00/mo \$56,907.00/AY |
| 30. | Misiak, William | Assistant Director for Employee Relations (previously: Employee Relations Manager) | Office of Human Resources | 5/24/2018 | \$7,085.32/mo \$85,023.84/FY (change in title only, no change in salary) |
| 31. | Peterson, Brittany | Assistant Professor | Biological Sciences | 8/16/2018 | \$6,100.00/mo \$54,900.00/AY |
| 32. | Roccia, Miriam | Associate Vice Chancellor | Student Affairs | 7/2/2018 | \$8,333.33/mo \$99,999.96/FY |
| 33. | Rojas, Christina | Coordinator | Admissions | 7/2/2018 | \$3,500.00/mo \$42,000.00/FY |
| 34. | Sellnow- Richmond, Deborah | Assistant Professor | Applied Communicatio ns | 8/16/2018 | \$5,777.78/mo \$52,000.02/AY |
| 35. | Simon Solomon, Stanislaus | Assistant Professor | Management & Marketing | 8/16/2018 | \$12,695.00/mo \$114,255.00/AY |
| 36. | Snipes, Jeremy | Assistant Professor | Educational Leadership | 8/16/2018 | \$6,223.00/mo \$56,007.00/AY |

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|-----|-------------------|---------------------|--|-----------|-----------------------------------|
| 37. | Speno, Ashton | Assistant Professor | Mass Communications | 8/16/2018 | \$6,000.00/mo \$54,000.00/AY |
| 38. | Staples, Timothy | Director | Center for Student Diversity & Inclusion | 7/16/2018 | \$6,250.00/mo \$75,000.00/FY |
| 39. | Sweida, Gloria | Assistant Professor | Management & Marketing | 8/16/2018 | \$12,667.00/mo \$114,003.00/AY |
| 40. | Thumith, Robert | Director | Human Resources | 7/6/2018 | \$10,416.66/mo \$124,999.92/FY |
| 41. | Turner, Anna | Faculty Associate | Charter School | 8/1/2018 | \$4,327.90/mo \$51,934.80/FY |
| 42. | Upadhyaya, Jasbir | Assistant Professor | Applied Dental School | 7/1/2018 | \$10,000.00/mo \$120,000.00/FY |
| 43. | Utreja, Achint | Associate Professor | Growth, Development, and Structure | 7/1/2018 | \$12,500.00/mo \$150,000.00/FY |
| 44. | Wright, Jenell | Director | University Advancement & Foundation | 6/18/2018 | \$8,276.16/mo \$99,313.92/FY |
| 45. | Yuan, Chenxi | Assistant Professor | Construction | 8/16/2018 | \$7,223.00/mo \$65,007.00/AY |

B. Leaves of Absence With Pay- None to be reported

C. Awards of Tenure- None to be reported

D. Awards of Promotion- None to be reported

CHANGE IN FACULTY-ADMINISTRATIVE PAYROLL-
OFFICE OF THE PRESIDENT AND UNIVERSITY-WIDE SERVICES

The following change in faculty-administrative payroll is submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the President. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

| | <u>Name</u> | <u>Rank/Title</u> | <u>Department</u> | <u>Effective Date</u> | <u>Percent Change</u> | <u>Salary</u> |
|----|-----------------------|--|-------------------|-----------------------|-----------------------|--|
| 1. | Whittington, Misty D. | Executive Secretary to the Board of Trustees | Board of Trustees | 09/13/2018 | 12 % | \$8,634.83/mo; \$103,617.96/FY (Previous: \$7,709.67/mo; \$92,516.04/FY) |

SCHEDULE OF MEETINGS OF THE BOARD OF TRUSTEES FOR 2019

As a traditional practice and for convenience in meeting certain provisions of the Open Meetings Act, Board meetings have been scheduled on an annual basis. Custom has called for scheduling alternate meetings on alternate campuses of the University. Approval is requested for the schedule listed below:

| | |
|--------------|---|
| February 14 | Southern Illinois University Edwardsville |
| March 28 | Southern Illinois University Carbondale School of Medicine, Springfield |
| May 16 | Southern Illinois University Carbondale |
| July 18 | Southern Illinois University Carbondale School of Medicine, Springfield |
| September 12 | Southern Illinois University Edwardsville |
| December 5 | Southern Illinois University Carbondale |

The following schedule reflects deadlines and mailing dates for the 2019 Board of Trustees meetings:

| <u>Deadline Dates for Receipt of Proposed Agenda Items</u> | <u>2019 Mailing Dates Agenda and Matters</u> | <u>2019 Meeting Dates Board of Trustees</u> |
|--|--|---|
| Monday, January 14 | Friday, February 1 | SIUE – February 14 |
| Monday, February 25 | Friday, March 15 | SOM – March 28 |
| Monday, April 15 | Friday, May 3 | SIUC - May 16 |
| Monday, June 17 | Wednesday, July 3 | SOM - July 18 |
| Monday, August 12 | Friday, August 30 | SIUE - September 12 |
| Monday, November 4 | Friday, November 22 | SIUC – December 5 |

EXECUTIVE SUMMARY: RESOURCE ALLOCATION AND MANAGEMENT
PROGRAM (RAMP) PLANNING, OPERATIONS, AND CAPITAL BUDGET
REQUEST, FISCAL YEAR 2020

Background

The SIU President and senior staff are scheduled to discuss IBHE's budget request for FY 2020 in October. To provide a basis for discussion, Board approval is sought to submit the proposed requests as attached in Table 1 which indicates SIU will continue to seek special appropriations for the School of Pharmacy, the Simmons Cancer Institute, and the National Corn to Ethanol Center.

Capital project requests are attached in Table 2. Capital projects have been updated as needed.

Rationale for Adoption

The RAMP 2020 Planning, Operating, and Capital Budget Requests are required by the IBHE for communicating the University's planning and budget decisions and resource requirements for Fiscal Year 2020. Acceptance by the IBHE requires approval by the SIU Board of Trustees.

Considerations Against Adoption

None are known to exist.

Constituency Involvement

The attached RAMP planning matters benefitted from constituency involvement at the time they were initially proposed several years ago. The planning matters have simply been updated and reintroduced without further constituency participation.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled That: the RAMP Planning, Operations, and Capital Documents for Fiscal Year 2020 for Southern Illinois University Carbondale (including the School of Medicine), Southern Illinois University Edwardsville, and Southern Illinois University Administration, be and are hereby approved as presented to the Board this date.

BE IT FURTHER RESOLVED, That: the Interim President shall take appropriate steps to accomplish filing of the materials approved therein with the Illinois Board of Higher Education in accordance with the policies of Southern Illinois University.

Table 1

Southern Illinois University
Fiscal Year 2020 Program Budget Requests

Carbondale

| | |
|--|-------------|
| Operational Support for University Farm | \$1,000,000 |
| Touch of Nature - Recruiting & Retaining Students | \$500,000 |
| Operational Support for Core Curriculum Redesign | \$1,000,000 |
| Operational Support for STEM Education Research Center | \$325,000 |
| Undergraduate Engineering Laboratories | \$900,000 |

| | |
|-------------------------|--------------------|
| Total Carbondale | \$3,725,000 |
|-------------------------|--------------------|

School of Medicine

| | |
|--|-------------|
| Population Health Initiative | \$935,000 |
| Simmons Cancer Institute at SIU | \$2,438,000 |
| Equity, Diversity, and Inclusion Center for Excellence | \$825,000 |

| | |
|---------------------------------|--------------------|
| Total School of Medicine | \$4,198,000 |
|---------------------------------|--------------------|

Edwardsville

| | |
|---|-------------|
| School of Pharmacy Operations | \$1,250,000 |
| RN to BS Off-Campus Sites in Effingham and Carbondale | \$1,042,341 |
| Cybersecurity Program | \$634,000 |
| East St. Louis Degree Completion and Support Services | \$850,000 |
| National Corn-to-Ethanol Research Center | \$1,000,000 |

| | |
|---------------------------|--------------------|
| Total Edwardsville | \$4,776,341 |
|---------------------------|--------------------|

SIU System

| | |
|---|-----------|
| Operational Support for the Vince Demuzio Governmental Internship Program | \$250,000 |
|---|-----------|

| | |
|---------------------|------------------|
| Total System | \$250,000 |
|---------------------|------------------|

| | |
|---------------------------------------|---------------------|
| TOTAL FY 2020 PROGRAM REQUESTS | \$12,949,341 |
|---------------------------------------|---------------------|

Table 2

Summary of Fiscal Year 2020

SIU Capital Requests

| Rank | Classification/Project/Budget/Category | Type of Request | Request Estimated Cost | Total Est. Cost |
|---------------------------------|---|-----------------|------------------------|----------------------|
| Regular Capital Projects | | | | |
| 1 | Communications Renovate/Addition - SIUC | Construction | 78,763,800 | 83,019,200 |
| 2 | Health Sciences Building - SIUE | Planning | 9,353,140 | 105,365,950 |
| 3 | Agricultural Sciences Renovation/Addition - SIUC | Planning | 7,027,300 | 90,595,000 |
| 4 | Alton Dental Consolidation - SIUE | Planning | 8,463,690 | 91,302,980 |
| 5 | Neckers Renovation & Addition - SIUC | Planning | 7,588,100 | 97,884,400 |
| 6 | Visual and Performing Arts Center - SIUE | Planning | 4,360,420 | 44,088,310 |
| 7 | Interdisciplinary Research Laboratory - SIUC | Planning | 2,459,500 | 31,935,500 |
| 8 | Life Science II Renovation - SIUC | Planning | 8,255,900 | 106,444,900 |
| 9 | Medical Education Building - SIUC-SOM | Construction | 50,615,225 | 50,615,225 |
| 10 | Medical Instruction Facility Renovations - SIUC-SOM | Renovation | <u>21,055,159</u> | <u>21,055,159</u> |
| Total All 10 Priorities | | | \$197,942,234 | \$722,306,624 |
| Capital Renewal Projects | | | | |
| | Agriculture Teaching Greenhouse | | \$5,000,000 | |
| | Plant Biology Greenhouse & Conservatory | | 2,200,000 | |
| | Classroom/Lab Renovations | | 2,500,000 | |
| | Woody Hall Upgrades - Mechanical Electrical Plumbing | | 5,000,000 | |
| | Electrical Feeder Replace/Upgrade to 12kV | | 3,500,000 | |
| | Roof Renovations | | 1,750,000 | |
| | Replace Fire Alarms - General Campus | | 2,500,000 | |
| | Pulliam Industrial Education Electrical Upgrades | | 1,200,000 | |
| | Chilled Water System Renovations | | 6,000,000 | |
| | Campus Sewer Line Replacement | | 1,500,000 | |
| | Campus Water Line Replacement | | 2,200,000 | |
| | Steam Tunnel Structural Repairs | | 2,000,000 | |
| | Engineering Complex HVAC | | 2,200,000 | |
| | Campus Sidewalk Repairs | | 1,150,000 | |
| | Campus Elevator Renovations | | 1,500,000 | |
| | Neckers Sprinkler System | | 2,500,000 | |
| | Lawson Hall Renovations | | 2,000,000 | |
| | Stone Center Renovations | | 3,000,000 | |
| | Medical Instruction Facility - Air Handling/Supply Air Renovations- SOM | | <u>7,800,000</u> | |
| Total Carbondale | | | \$55,500,000 | |

| | |
|--|--------------------------|
| Sprinkler Upgrades to Rendleman Hall | 1,580,834 |
| Sprinkler Upgrades to Dunham Hall | 2,145,417 |
| Install Fire Sprinkler System, 200 University Park Drive | 733,958 |
| Edwardsville Campus Water System Upgrade | 1,078,981 |
| Campus Sidewalk and Road Repairs | 931,563 |
| Re-Wire Peck Hall | 1,467,918 |
| Re-Wire Lovejoy Library | 1,467,918 |
| Re-Wire Vadalbene Center | 1,467,918 |
| Founders Hall Window Replacement | 3,402,971 |
| Alumni Hall Window Replacement | 3,852,717 |
| Science Greenhouse Repairs and Upgrade | 2,891,684 |
| Mechanical Upgrades to Dunham Hall | 1,930,763 |
| Mechanical Upgrades to Rendleman Hall | 1,441,720 |
| Mechanical Upgrades to Founders and Alumni Hall | 4,306,417 |
| Sprinkler Upgrades to Peck Hall | 1,259,586 |
| Sprinkler Upgrades to Lovejoy Library | 1,657,054 |
| Sprinkler Upgrades to Founders and Alumni Hall | <u>2,583,195</u> |
| Total Edwardsville | 34,200,614 |
| Total Capital Renewal Projects | \$89,700,614 |
| Grand Total Capital Requests for FY 2020 | \$287,642,848 |

Source: FY 20 Capital RAMP

SALARY INCREASE PLAN FOR FISCAL YEAR 2019, SIU

Summary

This matter presents for Board approval a salary increase plan for Southern Illinois University for Fiscal Year 2019. The plan sets forth general policies and parameters within which employee salary increases may be granted.

Rationale for Adoption

Board policy requires the approval of a salary increase plan. This plan does not set forth specific salary recommendations for each employee, but rather establishes the general parameters for the distribution of the salary increase funds. In addition, this plan does not address changes in salaries established through collective bargaining.

Adequate salaries for our faculty and staff are a high priority for the University. However, due to significant budget challenges at the University and in the State of Illinois over the last few years, salary increases have not been consistently given. Under this plan, an amount may be distributed to provide eligible employees an average salary increase pool of 1 percent to Southern Illinois University Edwardsville (effective July 1, 2018), 1 percent to Southern Illinois University Carbondale (effective July 1, 2018), excluding the School of Medicine, and 1 percent to the Southern Illinois University System Offices for FY19 (effective July 1, 2018) and 2 percent to the SIU School of Medicine for FY19 (effective October 1, 2018). All increases will be implemented under the guidelines and eligibility requirements issued by the Interim President.

The recent history of Board approved salary increase plans is attached.

Considerations Against Adoption

Funds used for salary increases will not be available for other projects and initiatives.

Constituent Involvement

The plan is reflective of conversations the Chancellors and Dean/Provost have had with leaders of the campus constituency groups and in numerous other conversations with employees across campus.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in a regular meeting assembled, That:

(1) The Interim President of Southern Illinois University is hereby authorized to grant an amount providing a salary increase pool of 1 percent to Southern Illinois University Edwardsville, 1 percent to Southern Illinois University Carbondale, excluding the School of Medicine, and 1 percent to the Southern Illinois University System Offices for Fiscal Year 2019 with an effective date of July 1, 2018.

(2) The Interim President of Southern Illinois University is hereby authorized to grant an amount providing a salary increase pool of 2 percent to the Southern Illinois University School of Medicine for FY19 with an effective date of October 1, 2018.

(3) The Interim President of Southern Illinois University be and is hereby authorized to issue guidelines and eligibility requirements for Fiscal Year 2019 salary increases for staff who are not represented by a recognized bargaining agent and for non-physician faculty at the School of Medicine.

(4) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

BOARD APPROVED SALARY INCREASE POOLS BY CAMPUS

| FY | BOT MTG DATE | EFFECTIVE DATE | Office of the President | Carbondale | School of Medicine | Edwardsville |
|------|--------------------------|----------------------|-------------------------------|------------|-----------------------|--------------|
| 2009 | 9/11/2008 | 7/1/2008 | 3.00% | 3.00% | * | 3.00% |
| 2010 | 9/10/2009 | 7/1/2009 | 3.50% | 3.50% | | 3.50% |
| 2011 | 7/14/2011 | 7/1/2011 | | | | 2.50% |
| 2012 | 9/8/2011 **12/8/2011 | 7/1/2011 1/1/2012 | 1.00% | 1.00% | * | 3.00% |
| 2013 | 9/13/2012 | 7/1/2012 | 1.00% | 1.00% | * | 2.50% |
| 2014 | 9/12/2013 | 7/1/2013 | 2.00% | 2.00% | * | 2.00% |
| 2015 | 10/29/2014 | 7/1/2014 | | | 2.00% | |
| 2016 | | | | | | |
| 2017 | ***9/14/2017 | 7/1/2016 | | | | 2.00% |
| 2018 | ***9/14/2017 2/8/2018 | 7/1/2017 3/1/2018 | | | 2.00% | 2.00% |

* Included with Carbondale. The President's Office was always named with SIUC.

**The Board matter of 12/8/2011 stated that salary increases of 1% and 2% are expected in FY 13 and FY 14 respectively for SIUC.

***The Board matter of 9/14/17 proposing salary increases for both FY 17 and FY 18 of 2% was approved, giving a salary increase for the previous fiscal year.

APPROVAL OF FISCAL YEAR 2019 OPERATING BUDGET

Summary

This matter submits for approval the annual operating budget for Southern Illinois University for Fiscal Year 2019. The attached budget document includes all operating funds, both appropriated and non-appropriated.

The Fiscal Year 2019 operating budget reflects the final actions of the Governor and the Illinois General Assembly on the Fiscal Year 2019 State general funds budget.

Resources have been directed to support the University's highest priorities and to maintain ongoing operations.

Budget tables provide totals for all current funds by line items and major fund groups (Table A). Supporting schedules provide detail budgets of projected revenues for each major fund group (Table 1) and details of general operating expenditures for major organizational units (Table 2).

Rationale for Adoption

The annual budget is a management tool for planning, funding priorities, communicating direction, and monitoring revenue and expenditures.

Constituency Involvement

Development and implementation of the University budget involves constituencies and many individuals at all levels within the University.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: the Southern Illinois University FY 2019 Operating Budget as presented in the budget document is hereby approved.

FY 2019 Operating Budget

Table of contents

Table A – Operating Budget by Line Item *By Line Item and Major Fund Groups*

| | |
|---|---|
| Southern Illinois University | 1 |
| Southern Illinois University Carbondale | 2 |
| Southern Illinois University School of Medicine | 3 |
| Southern Illinois University Edwardsville | 4 |
| Southern Illinois University System Offices | 5 |

Table 1 – Income by Source

| | |
|---|----|
| Southern Illinois University | 6 |
| Southern Illinois University Carbondale | 7 |
| Southern Illinois University School of Medicine | 8 |
| Southern Illinois University Edwardsville | 9 |
| Southern Illinois University System Offices | 10 |

Table 2 – General Operating Income & Expenditures Budget

| | |
|---|----|
| Southern Illinois University Carbondale | 11 |
| Southern Illinois University School of Medicine | 12 |
| Southern Illinois University Edwardsville | 13 |
| Southern Illinois University System Offices | 14 |

Table B2a – FY 2019 Tuition & Fee Waivers Budget 15

Table C – Operating Budget by NACUBO Functions and Major Fund Groups

| | |
|---|----|
| Southern Illinois University | 17 |
| Southern Illinois University Carbondale | 18 |
| Southern Illinois University School of Medicine | 19 |
| Southern Illinois University Edwardsville | 20 |
| Southern Illinois University System Offices | 21 |

FY 2019 Appropriations Bill 22

Southern Illinois University

FY 2019 Operating Budget

by Line Items and Major Fund Groups

| | Appropriated Funds (1) | Income Funds | Grants and Contracts (2) | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|---------------------------|----------------------|-----------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Revenue | | | | | | | |
| General Revenue (Tax Dollars) | \$185,781,000 | \$0 | \$0 | \$0 | \$0 | \$0 | \$185,781,000 |
| Tuition | 0 | 197,519,000 | 0 | 0 | 0 | 0 | 197,519,000 |
| Student Fees | 0 | 37,500 | 0 | 0 | 26,252,882 | 50,259,859 | 76,550,241 |
| Interest Income | 0 | 210,500 | 90,600 | 145,000 | 164,933 | 1,183,759 | 1,794,792 |
| Sales & Services / Other Income | 0 | 5,330,600 | 128,273,219 | 16,572,963 | 105,034,494 | 150,699,061 | 405,910,337 |
| Total Revenue | \$185,781,000 | \$203,097,600 | \$128,363,819 | \$16,717,963 | \$131,452,309 | \$202,142,679 | \$867,555,370 |
| Expenditures | | | | | | | |
| Personal Services | \$178,197,279 | \$104,592,300 | \$28,794,314 | \$5,014,482 | \$51,690,876 | \$107,219,793 | \$475,509,044 |
| Travel | 33,077 | 1,847,807 | 883,556 | 516,672 | 195,942 | 4,264,324 | 7,741,378 |
| Equipment | 14,100 | 5,442,704 | 1,314,674 | 1,534,232 | 1,093,957 | 5,321,980 | 14,721,647 |
| Commodities | 23,100 | 6,848,419 | 3,087,322 | 357,923 | 5,297,313 | 8,482,106 | 24,096,183 |
| Contractual Services | 571,392 | 45,862,848 | 18,349,055 | 6,259,397 | 37,556,249 | 52,979,893 | 161,578,834 |
| Group Insurance | 2,145,200 | 2,253,500 | 0 | 224,450 | 1,232,926 | 1,055,095 | 6,911,171 |
| Operation of Automotive Equip | 11,400 | 907,574 | 417,552 | 11,300 | 96,149 | 384,452 | 1,828,427 |
| Telecommunication | 28,350 | 2,191,937 | 281,655 | 53,811 | 1,526,656 | 1,516,794 | 5,599,203 |
| Social Security/Medicare | 1,411,302 | 3,262,039 | 0 | 166,500 | 449,610 | 1,055,219 | 6,344,670 |
| Permanent Improvements | 0 | 793,409 | 21,251 | 200,000 | 0 | 4,385,473 | 5,400,133 |
| Other Expenses | 3,328,800 | 185,000 | 13,045,824 | 1,446,325 | 7,989,775 | 13,332,474 | 39,328,198 |
| Awards & Grants | 17,000 | 25,066,463 | 62,168,616 | 79,250 | 6,400 | 5,936,051 | 93,273,780 |
| Transfers to Debt Service & Reserves | 0 | 0 | 0 | 1,000 | 27,916,861 | 286,286 | 28,204,147 |
| Total Expenditures & Transfers | \$185,781,000 | \$199,254,000 | \$128,363,819 | \$15,865,342 | \$135,052,714 | \$206,219,940 | \$870,536,815 |
| Planned Operating Results (+/-) | 0 | 3,843,600 | 0 | 852,621 | (3,600,405) | (4,077,261) | (2,981,445) |
| Fund Balance Available | | | | | \$25,289,984 | \$64,342,171 | |

Notes:

(1) Direct payments by the State of Illinois for staff benefits on behalf of the University total about \$384 million per year.

(2) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.

Southern Illinois University Carbondale

FY 2019 Operating Budget

by Line Items and Major Fund Groups

| | Appropriated Funds (1) | Income Funds | Grants and Contracts (2) | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|---------------------------|---------------------|-------------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Revenue | | | | | | | |
| General Revenue (Tax Dollars) | \$93,192,900 | \$0 | \$0 | \$0 | \$0 | \$0 | \$93,192,900 |
| Tuition | 0 | 84,446,000 | 0 | 0 | 0 | 0 | 84,446,000 |
| Student Fees | 0 | 0 | 0 | 0 | 18,373,800 | 25,159,500 | 43,533,300 |
| Interest Income | 0 | 0 | 90,600 | 15,000 | 62,300 | 342,850 | 510,750 |
| Sales & Services / Other Income | 0 | 2,713,000 | 71,771,400 | 2,681,000 | 27,110,800 | 33,581,350 | 137,857,550 |
| Total Revenue | \$93,192,900 | \$87,159,000 | \$71,862,000 | \$2,696,000 | \$45,546,900 | \$59,083,700 | \$359,540,500 |
| Expenditures | | | | | | | |
| Personal Services | \$89,695,100 | \$41,188,400 | \$14,160,100 | \$462,900 | \$14,003,250 | \$19,754,250 | \$179,264,000 |
| Travel | 0 | 857,300 | 571,600 | 197,200 | 15,600 | 2,656,750 | 4,298,450 |
| Equipment | 0 | 2,511,200 | 635,150 | 293,800 | 220,300 | 1,235,400 | 4,895,850 |
| Commodities | 0 | 4,184,900 | 1,373,800 | 88,800 | 3,473,400 | 3,027,200 | 12,148,100 |
| Contractual Services | 0 | 22,856,100 | 8,240,200 | 1,620,100 | 18,153,300 | 25,261,300 | 76,131,000 |
| Group Insurance | 2,114,900 | 75,900 | 0 | 41,250 | 418,100 | 159,300 | 2,809,450 |
| Operation of Automotive Equip | 0 | 449,000 | 374,850 | 8,100 | 26,650 | 258,950 | 1,117,550 |
| Telecommunication | 0 | 839,000 | 136,750 | 22,250 | 238,900 | 576,550 | 1,813,450 |
| Social Security/Medicare | 1,365,900 | 643,700 | 0 | 0 | 119,700 | 142,400 | 2,271,700 |
| Permanent Improvements | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 0 | 185,000 | 6,769,400 | 407,350 | 138,400 | 4,883,500 | 12,383,650 |
| Awards & Grants | 17,000 | 9,868,500 | 39,600,150 | 4,250 | 6,400 | 4,354,400 | 53,850,700 |
| Transfers to Debt Service & Reserves | 0 | 0 | 0 | 0 | 11,730,100 | 0 | 11,730,100 |
| Total Expenditures & Transfers | \$93,192,900 | \$83,659,000 | \$71,862,000 | \$3,146,000 | \$48,544,100 | \$62,310,000 | \$362,714,000 |
| Planned Operating Results (+/-) | 0 | 3,500,000 | 0 | (450,000) | (2,997,200) | (3,226,300) | (3,173,500) |
| | | | Fund Balance Available | \$2,973,500 | \$11,981,900 | \$2,700,800 | |

Notes:

(1) Direct payments by the State of Illinois for Carbondale campus, including School of Medicine, employee health insurance and pension costs totaled \$218 million in fiscal year 2018.

(2) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.

Southern Illinois University School of Medicine

FY 2019 Operating Budget

by Line Items and Major Fund Groups

| | Appropriated Funds | Income Funds | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-----------------------|---------------------|-------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Revenue | | | | | | | |
| General Revenue (Tax Dollars) | \$34,292,800 | \$0 | \$0 | \$0 | \$0 | \$0 | \$34,292,800 |
| Tuition | 0 | 12,585,000 | 0 | 0 | 0 | 0 | 12,585,000 |
| Student Fees | 0 | 37,500 | 0 | 0 | 0 | 0 | 37,500 |
| Interest Income | 0 | 10,500 | 0 | 130,000 | 15,000 | 50,200 | 205,700 |
| Sales & Services / Other Income | 0 | 0 | 14,000,000 | 10,181,900 | 42,236,200 | 96,278,100 | 162,696,200 |
| Total Revenue | \$34,292,800 | \$12,633,000 | \$14,000,000 | \$10,311,900 | \$42,251,200 | \$96,328,300 | \$209,817,200 |
| Expenditures | | | | | | | |
| Personal Services | \$33,216,000 | \$3,171,000 | \$4,636,200 | \$3,697,500 | \$26,127,900 | \$72,393,400 | \$143,242,000 |
| Travel | 0 | 190,500 | 163,200 | 50,700 | 103,400 | 617,300 | 1,125,100 |
| Equipment | 0 | 516,400 | 127,000 | 1,112,900 | 479,000 | 1,495,800 | 3,731,100 |
| Commodities | 0 | 456,500 | 1,203,500 | 169,700 | 978,600 | 3,067,300 | 5,875,600 |
| Contractual Services | 0 | 5,868,000 | 5,635,000 | 3,729,100 | 11,244,900 | 16,191,900 | 42,668,900 |
| Group Insurance | 0 | 477,600 | 0 | 180,600 | 619,000 | 712,800 | 1,990,000 |
| Operation of Automotive Equip | 0 | 46,000 | 200 | 200 | 0 | 18,500 | 64,900 |
| Telecommunication | 0 | 414,900 | 17,400 | 29,200 | 483,900 | 512,300 | 1,457,700 |
| Social Security/Medicare | 0 | 454,000 | 0 | 166,500 | 328,000 | 839,600 | 1,788,100 |
| Permanent Improvements | 0 | 0 | 0 | 0 | 0 | 90,000 | 90,000 |
| Other Expenses | 1,076,800 | 0 | 2,217,500 | 0 | 0 | 300 | 3,294,600 |
| Awards & Grants | 0 | 694,500 | 0 | 72,000 | 0 | 175,300 | 941,800 |
| Transfers to Debt Service & Reserves | 0 | 0 | 0 | 1,000 | 1,886,500 | 0 | 1,887,500 |
| Total Expenditures & Transfers | \$34,292,800 | \$12,289,400 | \$14,000,000 | \$9,209,400 | \$42,251,200 | \$96,114,500 | \$208,157,300 |
| Planned Operating Results (+/-) | 0 | 343,600 | 0 | 1,102,500 | 0 | 213,800 | 1,659,900 |
| Fund Balance Available | | | | | \$2,744,400 | \$10,535,000 | |

Southern Illinois University Edwardsville

FY 2019 Operating Budget

by Line Items and Major Fund Groups

| | Appropriated Funds | Income Funds | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-----------------------|----------------------|-------------------------|-------------------------------|----------------------------|-------------------------------|--------------------------|
| Revenue | | | | | | | |
| General Revenue (Tax Dollars) | \$54,848,300 | \$0 | \$0 | \$0 | \$0 | \$0 | \$54,848,300 |
| Tuition | 0 | 100,488,000 | 0 | 0 | 0 | 0 | 100,488,000 |
| Student Fees | 0 | 0 | 0 | 0 | 7,879,082 | 25,100,359 | 32,979,441 |
| Interest Income | 0 | 200,000 | 0 | 0 | 87,633 | 555,803 | 843,436 |
| Sales & Services / Other Income | 0 | 2,617,600 | 42,501,819 | 3,640,063 | 35,687,494 | 19,214,966 | 103,661,942 |
| Total Revenue | \$54,848,300 | \$103,305,600 | \$42,501,819 | \$3,640,063 | \$43,654,209 | \$44,871,128 | \$292,821,119 |
| Expenditures | | | | | | | |
| Personal Services | \$52,285,300 | \$60,232,900 | \$9,998,014 | \$854,082 | \$11,559,726 | \$14,042,753 | \$148,972,775 |
| Travel | 0 | 800,007 | 148,756 | 267,772 | 76,942 | 969,774 | 2,263,251 |
| Equipment | 0 | 2,415,104 | 552,524 | 126,532 | 394,657 | 2,573,980 | 6,062,797 |
| Commodities | 0 | 2,207,019 | 510,022 | 98,423 | 845,313 | 2,380,706 | 6,041,483 |
| Contractual Services | 311,000 | 17,138,748 | 4,473,855 | 845,797 | 8,158,049 | 10,934,674 | 41,862,123 |
| Group Insurance | 0 | 1,700,000 | 0 | 0 | 195,826 | 175,978 | 2,071,804 |
| Operation of Automotive Equip | 0 | 412,574 | 42,502 | 3,000 | 69,499 | 106,077 | 633,652 |
| Telecommunication | 0 | 938,037 | 127,505 | 2,361 | 803,856 | 421,944 | 2,293,703 |
| Social Security/Medicare | 0 | 2,164,339 | 0 | 0 | 1,910 | 73,219 | 2,239,468 |
| Permanent Improvements | 0 | 793,409 | 21,251 | 200,000 | 0 | 4,295,473 | 5,310,133 |
| Other Expenses | 2,252,000 | 0 | 4,058,924 | 1,038,975 | 7,851,375 | 8,268,674 | 23,469,948 |
| Awards & Grants | 0 | 14,503,463 | 22,568,466 | 3,000 | 0 | 1,406,351 | 38,481,280 |
| Transfers to Debt Service & Reserves | 0 | 0 | 0 | 0 | 14,300,261 | 286,286 | 14,586,547 |
| Total Expenditures & Transfers | \$54,848,300 | \$103,305,600 | \$42,501,819 | \$3,439,942 | \$44,257,414 | \$45,935,889 | \$294,288,964 |
| Planned Operating Results (+/-) | 0 | 0 | 0 | 200,121 | (603,205) * | (1,064,761) ** | (1,467,845) |
| | | | | Fund Balance Available | \$10,563,684 | \$50,507,027 | |

Notes:

* The negative Planned Operating Result for Revenue Bond Operations includes \$525,000 in Board approved non-mandatory transfers to RRR.

** The negative Planned Operating Result for Self-Supporting Activities is due to a planned draw down of prior year cash reserves for various academic and student fee related projects.

Southern Illinois University System Offices

FY 2019 Operating Budget

by Line Items and Major Fund Groups

| | Appropriated Funds | Income Funds | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-----------------------|-----------------|-------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Revenue | | | | | | | |
| General Revenue (Tax Dollars) | \$3,447,000 | \$0 | \$0 | \$0 | \$0 | \$0 | \$3,447,000 |
| Tuition | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Student Fees | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Interest Income | 0 | 0 | 0 | 0 | 0 | 234,906 | 234,906 |
| Sales & Services / Other Income | 0 | 0 | 0 | 70,000 | 0 | 1,624,645 | 1,694,645 |
| Total Revenue | \$3,447,000 | \$0 | \$0 | \$70,000 | \$0 | \$1,859,551 | \$5,376,551 |
| Expenditures | | | | | | | |
| Personal Services | \$3,000,879 | \$0 | \$0 | \$0 | \$0 | \$1,029,390 | \$4,030,269 |
| Travel | 33,077 | 0 | 0 | 1,000 | 0 | 20,500 | 54,577 |
| Equipment | 14,100 | 0 | 0 | 1,000 | 0 | 16,800 | 31,900 |
| Commodities | 23,100 | 0 | 0 | 1,000 | 0 | 6,900 | 31,000 |
| Contractual Services | 260,392 | 0 | 0 | 64,400 | 0 | 592,019 | 916,811 |
| Group Insurance | 30,300 | 0 | 0 | 2,600 | 0 | 7,017 | 39,917 |
| Operation of Automotive Equip | 11,400 | 0 | 0 | 0 | 0 | 925 | 12,325 |
| Telecommunication | 28,350 | 0 | 0 | 0 | 0 | 6,000 | 34,350 |
| Social Security/Medicare | 45,402 | 0 | 0 | 0 | 0 | 0 | 45,402 |
| Permanent Improvements | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 0 | 0 | 0 | 0 | 0 | 180,000 | 180,000 |
| Awards & Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transfers to Debt Service & Reserves | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures & Transfers | \$3,447,000 | \$0 | \$0 | \$70,000 | \$0 | \$1,859,551 | \$5,376,551 |
| Planned Operating Results (+/-) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Fund Balance Available | | | | | \$0 | \$599,344 | |

Southern Illinois University

FY 2019 Income by Source

(in \$ Thousands)

| | 2018 | 2019 | % Change |
|-----------------------------------|---------------------|---------------------|-------------|
| General Operating Budget | | | |
| State Appropriations | \$182,190.8 | \$185,781.0 | 2.0% |
| Income Fund | | | |
| Tuition - On-Campus | 174,026.4 | 170,136.7 | -2.2% |
| Tuition - Off-Campus | 23,621.0 | 27,382.3 | 15.9% |
| Interest Income | 210.5 | 210.5 | 0.0% |
| Miscellaneous/Student Fees | <u>5,043.0</u> | <u>5,368.1</u> | 6.4% |
| Total General Operating | \$385,091.7 | \$388,878.6 | 1.0% |
| Self-Supporting Activities | \$190,072.1 | \$202,142.6 | 6.4% |
| Revenue Bond Operations | \$140,349.9 | \$131,452.3 | -6.3% |
| Grants & Contracts | \$126,848.1 | \$128,363.8 | |
| Indirect Cost Recovery | \$16,651 | \$16,718 | |
| CAMPUS TOTAL INCOME | \$ 859,012.8 | \$ 867,555.3 | 1.0% |

Southern Illinois University Carbondale

FY 2019 Income by Source

(in \$ Thousands)

| | 2018 | 2019 | % Change |
|--|---------------------|---------------------|---------------|
| General Operating Budget | | | |
| State Appropriations | \$91,374.0 | \$93,192.9 | 2.0% |
| Income Fund | | | |
| Tuition - On-Campus | 68,994.0 | 65,794.0 | -4.6% |
| Tuition - Off-Campus | 15,452.0 | 18,652.0 | 20.7% |
| Interest Income | 0.0 | 0.0 | n/a |
| Miscellaneous/Student Fees | 2,713.0 | 2,713.0 | 0.0% |
| Total General Operating | \$178,533.0 | \$180,351.9 | 1.0% |
| Self-Supporting Activities* | | | |
| Academic Services | \$23,982.0 | \$19,213.8 | -19.9% |
| Administrative Services | 9,449.1 | 9,453.7 | 0.0% |
| Student Services | 30,037.7 | 30,416.2 | 1.3% |
| Total Self-Supporting Activities | \$63,468.8 | \$59,083.7 | -6.9% |
| Revenue Bond Operations | | | |
| University Housing | \$25,647.9 | \$20,981.8 | -18.2% |
| Student Center | 6,555.6 | 6,628.6 | |
| Student Recreation Center | 4,089.7 | 4,085.6 | -0.1% |
| Northwest Annex | 125.7 | 100.5 | -20.0% |
| Athletic Facilities | 3,745.5 | 3,724.5 | -0.6% |
| Student Health Facility | 6,856.1 | 6,615.6 | -3.5% |
| Child Care Center | 1,009.7 | 1,012.8 | 0.3% |
| Student Services Building | 2,955.0 | 2,397.5 | -18.9% |
| Total Revenue Bond Operations | \$50,985.2 | \$45,546.9 | -10.7% |
| Grants & Contracts/Indirect Cost Recovery | | | |
| Grants | | | |
| Federal | \$33,742.0 | \$30,562.0 | -9.4% |
| State | 18,348.0 | 24,143.0 | 31.6% |
| Private | 12,781.0 | 11,424.0 | -10.6% |
| Local & Other | 6,329.0 | 5,733.0 | -9.4% |
| Indirect Cost Recovery | 3,220.0 | 2,696.0 | -16.3% |
| Total Grants & Contracts/Indirect Cost Rec. | \$74,420.0 | \$74,558.0 | 0.2% |
| CAMPUS TOTAL INCOME | \$ 367,407.0 | \$ 359,540.5 | -2.1% |

***Description of Activities:** **Academic Services:** Activity accounts used for extra-curricular, scholarly, and service activities generally supportive of Research and Instruction. *Includes University Press, McLeod Theater, Experimental Farms, WSIU, WUSI-TV, Information Technology Fee, Distance Learning, Fisheries, Cooperative Wildlife and Materials Technology Center;* **Administrative:** *Includes Chancellor's Development Fund, Facilities Maintenance Fee, Green Fee, Economic Development and Foundation;* **Student Services:** *Includes Student Medical Benefit, Sports Clubs, Student Activity Fees, Mass Transit Fees, Athletics Facilities and Athletics Activities*

Southern Illinois University

School of Medicine

FY 2019 Income by Source

(in \$ Thousands)

| | 2018 | 2019 | % Change |
|--|---------------------|---------------------|--------------|
| General Operating Budget | | | |
| State Appropriations | \$33,620.4 | \$34,292.8 | 2.0% |
| Income Fund | | | |
| Tuition - On-Campus | 12,595.7 | 12,585.0 | -0.1% |
| Tuition - Off-Campus | 0.0 | 0.0 | n/a |
| Interest Income | 10.5 | 10.5 | 0.0% |
| Miscellaneous/Student Fees | 38.1 | 37.5 | -1.6% |
| Total General Operating | \$46,264.7 | \$46,925.8 | 1.4% |
| Self-Supporting Activities* | | | |
| Auxiliary & Student Services | \$116.4 | \$116.5 | 0.1% |
| SOM Clinical Support | 82,987.1 | 96,211.8 | 15.9% |
| Total Self-Supporting Activities | \$83,103.5 | \$96,328.3 | 15.9% |
| Revenue Bond Operations | | | |
| SOM Clinical Operation | 44,826.4 | 42,251.2 | -5.7% |
| Total Revenue Bond Operations | \$44,826.4 | \$42,251.2 | -5.7% |
| Grants & Contracts/Indirect Cost Recovery | | | |
| Grants | | | |
| Federal | \$7,247.0 | \$7,282.9 | 0.5% |
| State | 1,862.0 | 2,391.1 | 28.4% |
| Private | 2,863.0 | 3,142.6 | 9.8% |
| Local & Other | 1,028.0 | 1,183.4 | 15.1% |
| Indirect Cost Recovery & Clinical Practice Overhead | 10,068.0 | 10,311.9 | 2.4% |
| Total Grants & Contracts/Indirect Cost Rec. | \$23,068.0 | \$24,311.9 | 5.4% |
| CAMPUS TOTAL INCOME | \$ 197,262.6 | \$ 209,817.2 | 6.4% |

*Description of Activities: Auxiliary & Student Services: Includes Student Medical Benefit and all other Student Activity Fees

Southern Illinois University Edwardsville

FY 2019 Income by Source

(in \$ Thousands)

| | 2018 | 2019 | % Change |
|--|---------------------|---------------------|--------------|
| General Operating Budget | | | |
| State Appropriations | \$53,817.0 | \$54,848.3 | 1.9% |
| Income Fund | | | |
| Tuition - On-Campus | 92,436.7 | 91,757.7 | -0.7% |
| Tuition - Off-Campus | 8,169.0 | 8,730.3 | 6.9% |
| Interest Income | 200.0 | 200.0 | 0.0% |
| Miscellaneous/Student Fees | 2,291.9 | 2,617.6 | 14.2% |
| Total General Operating | \$156,914.6 | \$158,153.9 | 0.8% |
| Self-Supporting Activities* | | | |
| Academic Services (Online/Off-Campus Programs) | \$9,995.0 | \$11,232.9 | 12.4% |
| Administrative Services (Facilities Fee, Information Technology Fee) | 12,690.1 | 13,538.8 | 6.7% |
| Student Services (Student Activity Fees, Textbook Services, Career Development Center) | 15,106.6 | 15,999.4 | 5.9% |
| Clinical Services (School of Dental Medicine Clinic Operations) | 4,100.0 | 4,100.0 | 0.0% |
| Total Self-Supporting Activities | \$41,891.7 | \$44,871.1 | 7.1% |
| Revenue Bond Operations | | | |
| University Housing | \$19,971.7 | \$19,570.5 | -2.0% |
| Student Center | 19,500.2 | 18,786.4 | -3.7% |
| Student Fitness/Rec.Ctr. | 2,327.0 | 2,284.6 | -1.8% |
| Traffic and Parking | 2,739.4 | 3,012.7 | 10.0% |
| Total Revenue Bond Operations | \$44,538.3 | \$43,654.2 | -2.0% |
| Grants & Contracts/Indirect Cost Recovery | | | |
| Grants | | | |
| Federal | \$31,362.4 | \$32,114.6 | 2.4% |
| State | 8,553.4 | 8,100.1 | -5.3% |
| Private | 1,900.7 | 1,429.4 | -24.8% |
| Local & Other | 831.6 | 857.7 | 3.1% |
| Indirect Cost Recovery | 3,293.0 | 3,640.1 | 10.5% |
| Total Grants & Contracts/Indirect Cost Rec. | \$45,941.1 | \$46,141.9 | 0.4% |
| CAMPUS TOTAL INCOME | \$ 289,285.7 | \$ 292,821.1 | 1.2% |

***Description of Activities:** **Academic Services:** Activity accounts used for extra-curricular, scholarly, and service activities generally supportive of Research and Instruction. Includes On-Line/Off-Campus Programs, East St. Louis Charter School, New Student Programming, ERTC Training Courses, Corporate Partnership Programs; **Administrative:** Includes Early Childhood Center, Vadalabene Center Operations, Facilities Fee, Information Technology Fee, Bursar Operations, WSIE Radio, ID Card System Activities; **Student Services:** Includes Career Development Center, Student Medical Benefit, Campus Housing Activity Fee, Textbook Services, Intercollegiate Athletics, Alestle, Sports Clubs, and all other Student Activity Fees; **Clinical Services:** Includes School of Dental Medicine Clinic Operations

Southern Illinois University Administration & University Wide Services FY 2019 Income by Source

(in \$ Thousands)

| | 2018 | 2019 | % Change |
|--|-------------------|-------------------|--------------|
| General Operating Budget | | | |
| State Appropriations | \$3,379.4 | \$3,447.0 | 2.0% |
| Income Fund | | | |
| Tuition - On-Campus | 0.0 | 0.0 | n/a |
| Tuition - Off-Campus | 0.0 | 0.0 | n/a |
| Interest Income | 0.0 | 0.0 | n/a |
| Miscellaneous/Student Fees | 0.0 | 0.0 | n/a |
| Total General Operating | \$3,379.4 | \$3,447.0 | 2.0% |
| Self-Supporting Activities* | | | |
| University Initiatives | \$350.0 | \$350.0 | 0.0% |
| Other Activities | 1,258.1 | 1,509.5 | 20.0% |
| Total Self-Supporting Activities | \$1,608.1 | \$1,859.5 | 15.6% |
| Revenue Bond Operations | \$0.0 | \$0.0 | n/a |
| Grants & Contracts/Indirect Cost Recovery | | | |
| Grants | \$0.0 | \$0.0 | n/a |
| Indirect Cost Recovery | 70.0 | 70.0 | 0.0% |
| Total Grants & Contracts/Indirect Cost Rec. | \$70.0 | \$70.0 | 0.0% |
| CAMPUS TOTAL INCOME | \$ 5,057.5 | \$ 5,376.5 | 6.3% |

***Description of Activities:** Other Activities: Includes Risk Management Activities, Legal Counsel Activities, Official Functions, University Development and Audit Costs

Southern Illinois University Carbondale

General Operating Income & Expenditures Budgets

| (in \$ Thousands) | | Budget FY18 | Budget FY19 | % Change |
|--|--|--------------------|--------------------|---------------|
| Income | | | | |
| State Appropriations | | \$91,374.0 | \$93,192.9 | 2.0% |
| Income Fund | | | | |
| Tuition - On-Campus | | 68,994.0 | 65,794.0 | -4.6% |
| Tuition - Off-Campus | | 15,452.0 | 18,652.0 | 20.7% |
| Interest Income | | 0.0 | 0.0 | 0.0% |
| Miscellaneous/Student Fees | | <u>2,713.0</u> | <u>2,713.0</u> | 0.0% |
| Total General Operating Income | | \$178,533.0 | \$180,351.9 | 1.0% |
| Expenditures | | | | |
| Chancellor | | | | |
| Chancellor | | \$609.0 | \$578.9 | |
| University Communication | | 2,160.8 | 2,162.0 | |
| Institutional Diversity | | 480.2 | 590.4 | |
| Other | | <u>428.2</u> | <u>502.6</u> | |
| Total Chancellor | | \$3,678.2 | \$3,833.9 | 4.2% |
| % of Campus total | | 2.1% | 2.1% | |
| Provost | | | | |
| Provost and Vice Chancellor | | \$8,868.6 | \$12,386.8 | |
| Graduate School | | 3,410.9 | 3,412.5 | |
| College of Agricultural Sciences | | 5,516.5 | 5,859.8 | |
| College of Applied Sciences and Arts | | 9,532.1 | 10,611.0 | |
| College of Business | | 8,523.2 | 8,303.5 | |
| College of Education & Human Services | | 10,747.4 | 9,942.3 | |
| College of Engineering | | 8,126.8 | 8,250.6 | |
| College of Liberal Arts | | 23,234.4 | 24,278.5 | |
| College of Mass Communication & Media Arts | | 4,446.7 | 4,206.7 | |
| College of Science | | 12,277.5 | 11,912.7 | |
| Library Affairs | | 7,861.3 | 7,696.2 | |
| University College | | 1,630.9 | 0.0 | |
| Information Technology/AIS | | <u>3,373.7</u> | <u>3,449.6</u> | |
| Total Provost | | \$107,550.0 | \$110,310.2 | 2.6% |
| % of Campus total | | 60.2% | 61.2% | |
| Other VC/Major Areas | | | | |
| Executive Director of Administration and Finance | | \$14,595.3 | \$14,740.4 | |
| Vice Chancellor for Development & Alumni Relations | | 1,876.9 | 2,500.3 | |
| Vice Chancellor for Research | | 2,902.5 | 3,433.9 | |
| Vice Chancellor for Student Affairs | | 1,527.3 | 2,553.8 | |
| School of Law | | 8,134.5 | 6,655.8 | |
| Paul Simon Public Policy Institute | | 263.9 | 384.5 | |
| Broadcasting Service | | 815.8 | 744.5 | |
| Economic Development | | 334.0 | 318.4 | |
| Enrollment Management | | 11,516.6 | 11,679.2 | |
| Intercollegiate Athletics | | <u>1,053.3</u> | <u>855.8</u> | |
| Total Other VC/Major Areas | | \$43,020.1 | \$43,866.6 | 2.0% |
| % of Campus total | | 24.1% | 24.3% | |
| Campus Wide Services | | | | |
| Utility Expense | | \$13,301.1 | \$13,301.3 | |
| Insurance and Legal Payments | | 1,143.4 | 1,143.4 | |
| Contingency Reserve | | 1,733.8 | 1,733.8 | |
| AIS Annual Maintenance | | 830.8 | 830.8 | |
| Other | | <u>4,075.6</u> | <u>1,831.9</u> | |
| Total Campus Wide Services | | \$21,084.7 | \$18,841.2 | -10.6% |
| % of Campus total | | 11.8% | 10.4% | |
| REDUCTION OF DEFICIT | | \$3,200.0 | \$3,500.0 | |
| % of Campus total | | 1.8% | 1.9% | |
| CAMPUS TOTAL | | \$178,533.0 | \$180,351.9 | 1.0% |

Southern Illinois University

School of Medicine

General Operating Income & Expenditures Budgets

| (in \$ Thousands) | | Budget FY18 | Budget FY19 | % Change |
|--|--|-------------------|-------------------|-------------|
| Income | | | | |
| State Appropriations | | \$33,620.4 | \$34,292.8 | 2.0% |
| Income Fund | | | | |
| Tuition - On-Campus | | 12,595.7 | 12,585.0 | -0.1% |
| Tuition - Off-Campus | | 0.0 | 0.0 | n/a |
| Interest Income | | 10.5 | 10.5 | 0.0% |
| Miscellaneous/Student Fees | | <u>38.1</u> | <u>37.5</u> | -1.6% |
| Total General Operating Income | | \$46,264.7 | \$46,925.8 | 1.4% |
| Expenditures | | | | |
| General Administration (Dean & Provost, Finance & Admin) | | \$3,537.6 | \$3,628.0 | 2.6% |
| % of Campus total | | 7.6% | 7.7% | |
| Academic | | | | |
| Clinic and Basic Sciences | | \$21,532.5 | \$22,867.8 | |
| Research and Faculty Affairs | | 1,270.6 | 1,456.0 | |
| Education and Curriculum | | 2,533.9 | 2,646.4 | |
| Library and Information Resources | | 3,286.7 | 4,381.9 | |
| MEDPREP/Disadvantaged Students | | <u>772.4</u> | <u>799.9</u> | |
| Total Academic | | \$29,396.1 | \$32,152.0 | 9.4% |
| % of Campus total | | 63.5% | 68.5% | |
| Support Services | | | | |
| Academic Support | | \$853.7 | \$1,197.1 | |
| Development Initiatives | | 153.2 | 191.2 | |
| Facilities and Services | | 5,316.6 | 5,618.4 | |
| External Affairs/Telehealth | | 2,254.1 | 2,500.6 | |
| Student and Residency Affairs | | <u>1,231.5</u> | <u>1,294.9</u> | |
| Total Support Services | | \$9,809.1 | \$10,802.2 | 10.1% |
| % of Campus total | | 21.2% | 23.0% | |
| REDUCTION OF DEFICIT | | \$3,521.9 | \$343.6 | |
| % of Campus total | | 7.6% | 0.7% | |
| CAMPUS TOTAL | | \$46,264.7 | \$46,925.8 | 1.4% |

Southern Illinois University Edwardsville

General Operating Income & Expenditures Budgets

| (in \$ Thousands) | | Budget FY18 | Budget FY19 | % Change |
|---|-------------------|--------------------|--------------------|---------------|
| Income | | | | |
| State Appropriations | | \$53,817.0 | \$54,848.3 | 1.9% |
| Income Fund | | | | |
| Tuition - On-Campus | | 92,436.7 | 91,757.7 | -0.7% |
| Tuition - Off-Campus | | 8,169.0 | 8,730.3 | 6.9% |
| Interest Income | | 200.0 | 200.0 | 0.0% |
| Miscellaneous/Student Fees | | <u>2,291.9</u> | <u>2,617.6</u> | 14.2% |
| Total General Operating Income | | \$156,914.6 | \$158,153.9 | 0.8% |
| Expenditures | | | | |
| Chancellor's Administration | | \$3,321.5 | \$3,581.9 | 7.8% * |
| | % of Campus total | 2.1% | 2.3% | |
| Academic Affairs | | | | |
| Office of the Provost & Academic Support Services | | \$3,293.7 | \$3,372.7 | |
| College of Arts & Sciences | | 23,911.0 | 24,686.0 | |
| School of Business | | 6,981.0 | 7,187.0 | |
| School of Dental Medicine | | 10,009.2 | 10,401.8 | |
| School of Education | | 6,849.0 | 7,065.0 | |
| School of Engineering | | 6,059.0 | 6,305.0 | |
| School of Nursing | | 5,821.0 | 6,231.0 | |
| School of Pharmacy | | 6,138.4 | 6,535.6 | |
| Graduate School | | 2,519.0 | 2,505.0 | |
| Library & Information Services | | 3,996.0 | 4,057.0 | |
| Enrollment Management | | 20,097.0 | 20,853.0 | |
| University Services to E. St. Louis | | 466.0 | 487.0 | |
| Information Technology | | <u>4,840.0</u> | <u>5,021.0</u> | |
| Total Academic Affairs | | \$100,980.3 | \$104,707.1 | 3.7% |
| | % of Campus total | 64.4% | 66.2% | |
| Administration | | | | |
| Administration Services | | \$7,658.2 | \$7,415.1 | |
| Facilities Mgmt/Physical Plant | | 15,034.2 | 15,599.9 | |
| Police Services | | <u>3,521.0</u> | <u>3,871.1</u> | |
| Total Administration | | \$26,213.4 | \$26,886.1 | 2.6% |
| | % of Campus total | 16.7% | 17.0% | |
| Other VC/Major Areas | | | | |
| VC for University Advancement | | \$2,225.9 | \$2,282.4 | |
| Student Support Services | | 984.2 | 1,335.6 | |
| Intercollegiate Athletics | | 383.9 | 392.1 | |
| Strategic Reserves | | <u>22,805.4</u> | <u>18,968.7</u> | |
| Total Other VC/Major Areas | | \$26,399.4 | \$22,978.8 | -13.0% |
| | % of Campus total | 16.8% | 14.5% | |
| REDUCTION OF DEFICIT | | \$0.0 | \$0.0 | |
| | % of Campus total | 0.0% | 0.0% | |
| CAMPUS TOTAL | | \$156,914.6 | \$158,153.9 | 0.8% |

*Reflects the moving of a department's budget from Administration to the Chancellor's area, not an increase in spending. Without the budget move, the increase is only 0.6%.

Southern Illinois University

Administration & Univ Wide Svc

General Operating Income & Expenditures Budgets

| (in \$ Thousands) | | <u>Budget FY18</u> | <u>Budget FY19</u> | <u>% Change</u> |
|---------------------------------------|-------------------|--------------------|--------------------|-----------------|
| Income | | | | |
| State Appropriations | | \$3,379.4 | \$3,447.0 | 2.0% |
| Income Fund | | | | |
| Tuition - On-Campus | | 0.0 | 0.0 | n/a |
| Tuition - Off-Campus | | 0.0 | 0.0 | n/a |
| Interest Income | | 0.0 | 0.0 | n/a |
| Miscellaneous/Student Fees | | 0.0 | 0.0 | n/a |
| Total General Operating Income | | \$3,379.4 | \$3,447.0 | 2.0% |
| Expenditures | | | | |
| Office of the President | | \$1,833.3 | \$1,911.8 | 4.3% |
| | % of Campus total | 54.2% | 55.5% | |
| General Administration | | | | |
| Internal Audit | | \$587.3 | \$617.3 | |
| Legal Counsel | | 551.1 | 562.1 | |
| Total General Administration | | \$1,138.4 | \$1,179.4 | 3.6% |
| | % of Campus total | 33.7% | 34.2% | |
| Support Services | | | | |
| Tax Office | | \$61.6 | \$62.8 | |
| Development Initiatives & Other | | 346.1 | 293.0 | |
| Total Support Services | | \$407.7 | \$355.8 | -12.7% |
| | % of Campus total | 12.1% | 10.3% | |
| REDUCTION OF DEFICIT | | \$0.0 | \$0.0 | |
| | % of Campus total | | 0.0% | |
| CAMPUS TOTAL | | \$3,379.4 | \$3,447.0 | 2.0% |

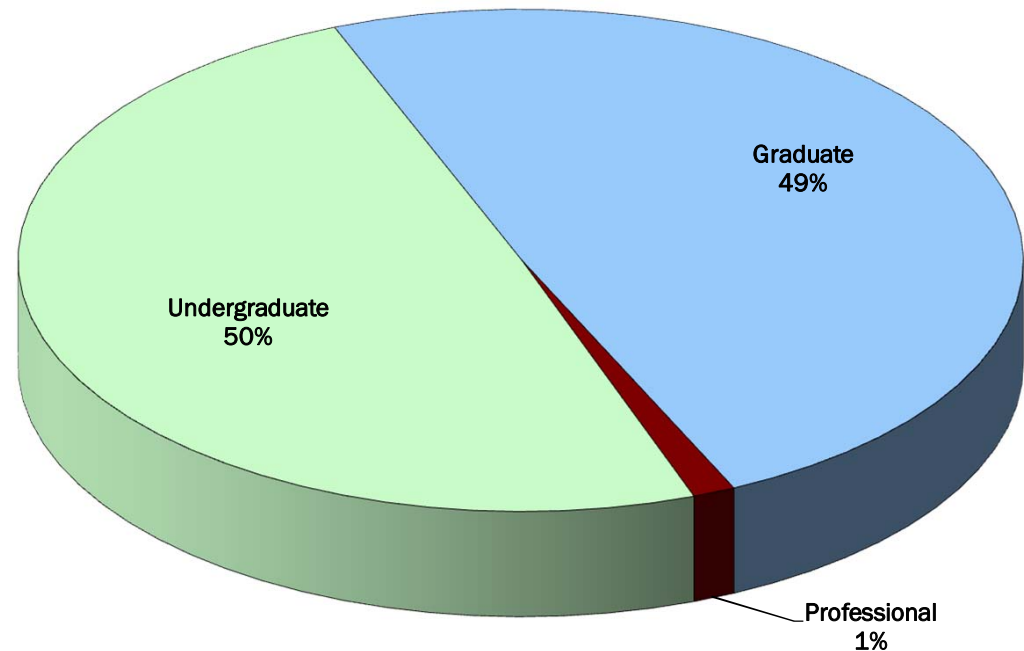


Southern Illinois University

FY 2019 Tuition & Fee Waivers Budget

The University recognizes that waivers represent an institutional resource that should be included in the University's budget plan, which outlines the allocation of all institutional resources. A waiver represents an agreement between the University and the student to reduce or eliminate the tuition and/or fees that normally would be charged to the student. Waivers granted by State statute are also included. Waivers budget is not reflected in University budget totals.

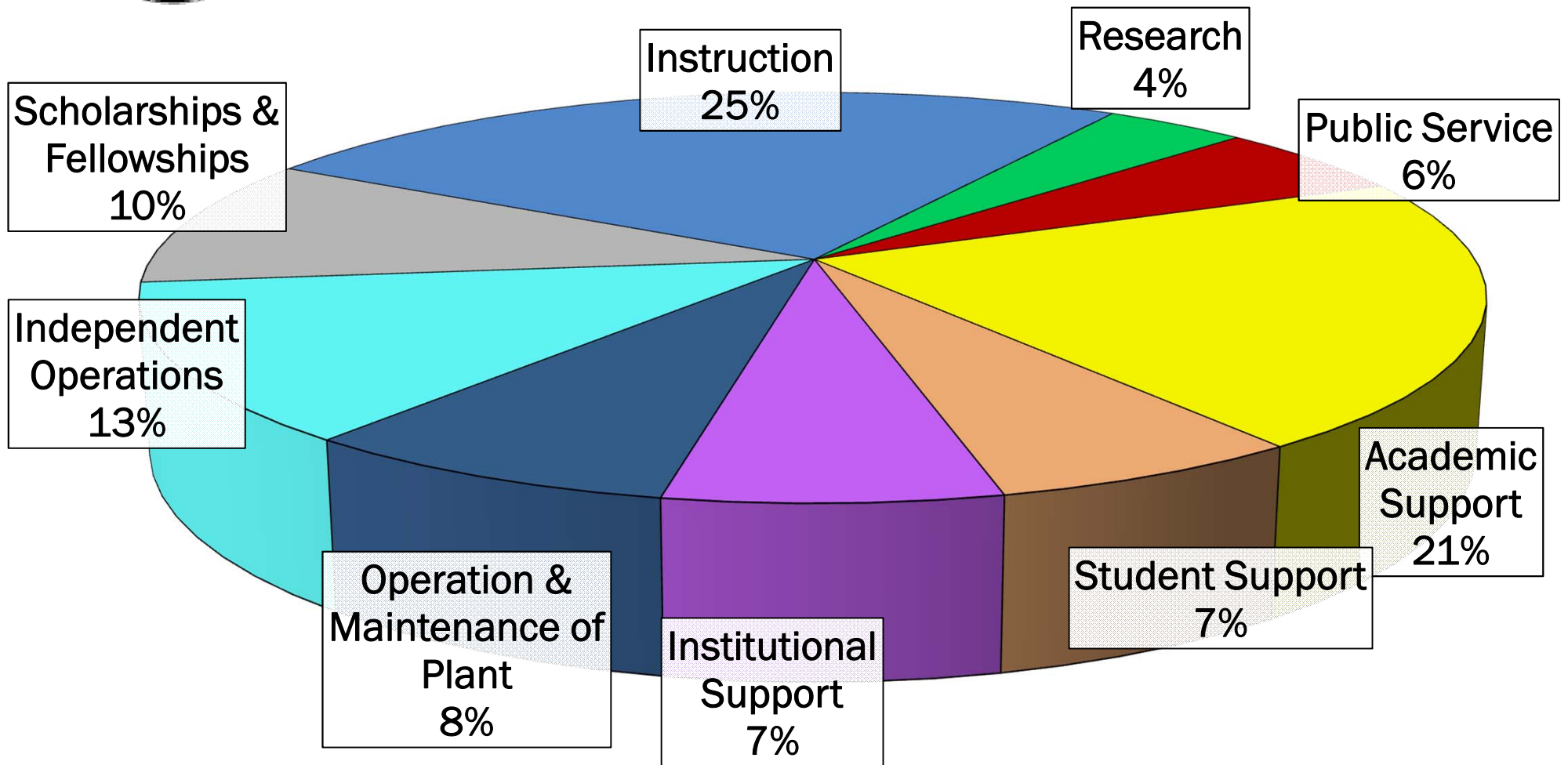
| | |
|----------------------|-----------------------------|
| Undergraduate | <u>\$ 24,340,009</u> |
| SIUC | 18,200,000 |
| SIUE | 6,140,009 |
| Graduate | <u>\$ 28,596,497</u> |
| SIUC | 23,000,000 |
| SIUE | 5,596,497 |
| Professional | <u>\$ 952,241</u> |
| Dentistry | 142,121 |
| Law | 155,000 |
| Medicine | 461,400 |
| Pharmacy | 193,720 |
| TOTAL | <u>\$ 53,888,747</u> |
| SIUC | 41,355,000 |
| SIUC-SOM | 461,400 |
| SIUE | 12,072,347 |





Southern Illinois University

FY 2019 Expenditure Budget by Function



Total = \$870,536,815

Southern Illinois University

FY 2019 Operating Budget

by NACUBO Functions and Major Fund Groups

| | Appropriated & Income Fund | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-------------------------------|-------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Instruction | \$192,618,680 | \$4,702,600 | \$2,711,575 | \$0 | \$20,991,063 | \$221,023,918 |
| Research | 8,388,431 | 20,853,720 | 3,361,237 | 0 | 2,711,950 | 35,315,338 |
| Public Service | 5,199,800 | 39,043,499 | 402,968 | 0 | 5,182,655 | 49,828,922 |
| Academic Support | 43,062,462 | 302,000 | 5,555,862 | 36,809,200 | 100,025,799 | 185,755,323 |
| Student Support | 17,085,708 | 684,000 | 217,000 | 0 | 37,694,134 | 55,680,842 |
| Institutional Support | 43,830,307 | 398,000 | 2,890,900 | 0 | 12,014,070 | 59,133,277 |
| Operation & Maintenance of Plant | 50,088,826 | 0 | 725,800 | 5,442,000 | 11,325,593 | 67,582,219 |
| Independent Operations | 0 | 647,000 | 0 | 92,801,514 | 14,539,016 | 107,987,530 |
| Scholarships and Fellowships | 24,760,786 | 61,733,000 | 0 | 0 | 1,735,660 | 88,229,446 |
| Total Expenditures & Transfers | \$385,035,000 | \$128,363,819 | \$15,865,342 | \$135,052,714 | \$206,219,940 | \$870,536,815 |

Southern Illinois University Carbondale

FY 2019 Operating Budget

by NACUBO Functions and Major Fund Groups

| | Appropriated & Income Fund | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-------------------------------|-------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Instruction | \$92,371,200 | \$3,047,000 | \$98,200 | \$0 | \$8,129,700 | \$103,646,100 |
| Research | 3,947,100 | 8,834,000 | 1,678,900 | 0 | 868,600 | 15,328,600 |
| Public Service | 1,467,600 | 21,017,000 | 77,600 | 0 | 2,490,850 | 25,053,050 |
| Academic Support | 20,692,400 | 302,000 | 117,900 | 0 | 4,842,400 | 25,954,700 |
| Student Support | 7,844,700 | 684,000 | 1,300 | 0 | 25,140,500 | 33,670,500 |
| Institutional Support | 16,752,800 | 398,000 | 870,000 | 0 | 5,095,300 | 23,116,100 |
| Operation & Maintenance of Plant | 23,727,300 | 0 | 302,100 | 0 | 6,413,050 | 30,442,450 |
| Independent Operations | 0 | 647,000 | 0 | 48,544,100 | 8,954,600 | 58,145,700 |
| Scholarships and Fellowships | 10,048,800 | 36,933,000 | 0 | 0 | 375,000 | 47,356,800 |
| Total Expenditures & Transfers | \$176,851,900 | \$71,862,000 | \$3,146,000 | \$48,544,100 | \$62,310,000 | \$362,714,000 |

Southern Illinois University School of Medicine

FY 2019 Operating Budget

by NACUBO Functions and Major Fund Groups

| | Appropriated & Income Fund | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-------------------------------|-------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Instruction | \$26,200,000 | \$775,600 | \$2,302,200 | \$0 | \$346,000 | \$29,623,800 |
| Research | 2,683,100 | 7,613,200 | 994,700 | 0 | 1,797,300 | 13,088,300 |
| Public Service | 2,590,000 | 5,611,200 | 294,700 | 0 | 374,900 | 8,870,800 |
| Academic Support | 3,514,900 | 0 | 3,536,500 | 36,809,200 | 92,865,900 | 136,726,500 |
| Student Support | 982,800 | 0 | 119,700 | 0 | 105,700 | 1,208,200 |
| Institutional Support | 4,537,100 | 0 | 1,537,900 | 0 | 624,700 | 6,699,700 |
| Operation & Maintenance of Plant | 5,585,200 | 0 | 423,700 | 5,442,000 | 0 | 11,450,900 |
| Independent Operations | 0 | 0 | 0 | 0 | 0 | 0 |
| Scholarships and Fellowships | 489,100 | 0 | 0 | 0 | 0 | 489,100 |
| Total Expenditures & Transfers | \$46,582,200 | \$14,000,000 | \$9,209,400 | \$42,251,200 | \$96,114,500 | \$208,157,300 |

Southern Illinois University Edwardsville

FY 2019 Operating Budget

by NACUBO Functions and Major Fund Groups

| | Appropriated & Income Fund | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-------------------------------|-------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Instruction | \$74,047,480 | \$880,000 | \$311,175 | \$0 | \$12,515,363 | \$87,754,018 |
| Research | 1,758,231 | 4,406,520 | 687,637 | 0 | 46,050 | 6,898,438 |
| Public Service | 1,142,200 | 12,415,299 | 30,668 | 0 | 2,316,905 | 15,905,072 |
| Academic Support | 18,855,162 | 0 | 1,901,462 | 0 | 2,317,499 | 23,074,123 |
| Student Support | 8,258,208 | 0 | 96,000 | 0 | 12,447,934 | 20,802,142 |
| Institutional Support | 19,093,407 | 0 | 413,000 | 0 | 4,434,519 | 23,940,926 |
| Operation & Maintenance of Plant | 20,776,326 | 0 | 0 | 0 | 4,912,543 | 25,688,869 |
| Independent Operations | 0 | 0 | 0 | 44,257,414 | 5,584,416 | 49,841,830 |
| Scholarships and Fellowships | 14,222,886 | 24,800,000 | 0 | 0 | 1,360,660 | 40,383,546 |
| Total Expenditures & Transfers | \$158,153,900 | \$42,501,819 | \$3,439,942 | \$44,257,414 | \$45,935,889 | \$294,288,964 |

Southern Illinois University System

FY 2019 Operating Budget

by NACUBO Functions and Major Fund Groups

| | Appropriated & Income Fund | Grants and Contracts | Indirect Cost Recovery | Revenue Bond Operations | Self-Supporting Activities | All Funds 2019 Totals |
|---|-------------------------------|-------------------------|---------------------------|----------------------------|-------------------------------|--------------------------|
| Instruction | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Research | 0 | 0 | 0 | 0 | 0 | 0 |
| Public Service | 0 | 0 | 0 | 0 | 0 | 0 |
| Academic Support | 0 | 0 | 0 | 0 | 0 | 0 |
| Student Support | 0 | 0 | 0 | 0 | 0 | 0 |
| Institutional Support | 3,447,000 | 0 | 70,000 | 0 | 1,859,551 | 5,376,551 |
| Operation & Maintenance of Plant | 0 | 0 | 0 | 0 | 0 | 0 |
| Independent Operations | 0 | 0 | 0 | 0 | 0 | 0 |
| Scholarships and Fellowships | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures & Transfers | \$3,447,000 | \$0 | \$70,000 | \$0 | \$1,859,551 | \$5,376,551 |

Southern Illinois University

Section of 2019 Appropriation Bill

House Bill 109

1 as may be necessary, is appropriated from the Education
2 Assistance Fund to the Board of Trustees of Northern Illinois
3 University to meet its operational expenses for the fiscal year
4 ending June 30, 2019.

5 Section 5. The sum of \$36,000, or so much thereof as may
6 be necessary, is appropriated from the State College and
7 University Trust Fund to the Board of Trustees of Northern
8 Illinois University for scholarship grant awards.

9 ARTICLE 108

10 Section 5. The amount of \$182,372,400, or so much thereof
11 as may be necessary, is appropriated from the Education
12 Assistance Fund to the Board of Trustees of Southern Illinois
13 University to meet its operational expenses for the fiscal year
14 ending June 30, 2019.

15 Section 10. The sum of \$1,076,800, or so much thereof as
16 may be necessary, is appropriated from the Education Assistance
17 Fund to the Board of Trustees of Southern Illinois University
18 for all costs associated with the SimmonsCooper Cancer Center.

19 Section 15. The sum of \$19,000, or so much thereof as may
20 be necessary, is appropriated from the State College and

1 University Trust Fund to the Board of Trustees of Southern
2 Illinois University for scholarship grant awards, in accordance
3 with Public Act 91-0083.

4 Section 20. The sum of \$1,250,000, or so much thereof as
5 may be necessary, is appropriated from the General Professions
6 Dedicated Fund to the Board of Trustees of Southern Illinois
7 University for all costs associated with the development,
8 support or administration of pharmacy practice education or
9 training programs at the Edwardsville campus.

10 Section 25. The sum of \$62,800, or so much thereof as may
11 be necessary, is appropriated from the General Revenue Fund to
12 the Southern Illinois University for any costs associated with
13 the Daily Egyptian newspaper.

14 Section 35. The sum of \$1,000,000, or so much thereof as
15 may be necessary, is appropriated from the General Revenue Fund
16 to the Board of Trustees of Southern Illinois University for
17 costs associated with the National Corn-to-Ethanol Research
18 Center and ethanol research grants.

19 ARTICLE 109

20 Section 5. The amount of \$535,741,100, or so much thereof

APPROVAL OF PURCHASE:
IDENTITY MANAGEMENT SYSTEM,
CARBONDALE CAMPUS, SIUC

Summary

This matter seeks approval to purchase an Identity and Access Management (IAM) System for the SIUC Office of Information Technology as it provides service to the entire campus.

Rationale for Adoption

The IAM system creates and manages network access (Network ID), which gives all students, faculty and staff access to computing resources on campus, including the Accounting Information System (AIS), Banner, SalukiNet, D2L, Office365 (email), labs, workstation logins, and other critical applications and data.

In accordance with the Illinois Procurement Code and the SIU Board of Trustees policies, a Request for Proposal (RFP) was issued seeking a new service provider for the University's IAM System, which will includes licensing, professional services, support and maintenance. The vendor who provided the current system ceased operations and filed for bankruptcy in early 2018.

Three submissions were received in response to the RFP. A committee reviewed and scored the proposals and made recommendation based on the review of the specific criteria included in the RFP.

This matter seeks to award a five-year contract to Fischer International Identity of Naples Florida for \$882,640.05. This contract has an option to negotiate for five additional one-year periods. The contract will be funded by non-appropriated funds from the Office of Information Technology.

The Chancellor and the Provost and Vice Chancellor for Academic Affairs, SIUC, have recommended this matter to the President.

Considerations Against Adoption

University officials are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contract for the Identity and Access Management System to create and manage the University's network access (Network ID), which gives all students, faculty and staff access to computing resources on campus is hereby awarded to Fischer International Identity, Naples, Florida.

(2) The cost of \$882,640.05 for the five-year contract period beginning October 1, 2018 and ending September 30, 2023, with an option to renew for five additional one-year periods, is hereby approved.

(3) This purchase will be funded by non-state appropriated funds from the Office of Information Technology.

(4) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL FOR GRANT OF RIGHT OF WAY EASEMENT
ON MCLAFFERTY ROAD AND PLEASANT HILL ROAD
TO DELTA COMMUNICATIONS, LLC,
CARBONDALE CAMPUS, SIUC

Summary

Approval is requested for a grant of the perpetual easement to the Delta Communications, LLC, d/b/a Clearwave Communications (hereinafter Clearwave), to install and maintain a fiber optic cable running from McLafferty Annex south to property owned by the City of Carbondale and along Pleasant Hill Road to Evergreen Drive and into Evergreen Terrace.

Rationale for Adoption

Clearwave has requested a right of way easement to install approximately 3,120 feet of a new fiber optic cable to provide communication resilience. This fiber optic cable will provide communications access to Evergreen Terrace and the City of Carbondale's water treatment facility. The path of the installation is represented in the attached Exhibit A-Water Treatment and Exhibit B-SIU Evergreen.

The attached legal description was prepared by Clearwave. University officials have reviewed the documents and found them acceptable.

The Chancellor and the Executive Director of Administration and Finance, SIUC, recommended this item to the Interim President.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The request to grant a right of way easement to Delta Communications, LLC, d/b/a Clearwave Communications, for a fiber optic cable running from McLafferty Annex south to property owned by the City of Carbondale and along Pleasant Hill Road to Evergreen Drive and into Evergreen Terrace as described in the attached Exhibit A-Water Treatment and Exhibit B-SIU Evergreen, be and hereby approved.

(2) The right of way easement to Delta Communications, LLC, d/b/a Clearwave Communications, is described in the attached documents.

(3) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

RIGHT OF WAY EASEMENT

THIS INDENTURE MADE THIS ____ day of _____, _____ by and between THE BOARD OF TRUSTEES OF SOUTHERN ILLINOIS UNIVERSITY, a body politic and corporate of the State of Illinois, its successors, and assigns, whether one or more or an individual, individuals, a corporation or other legal entity (hereinafter referred to as "GRANTOR") and DELTA COMMUNICATIONS, L.L.C., and Illinois limited liability company, d/b/a CLEARWAVE COMMUNICATIONS (hereinafter referred to as "GRANTEE").

That for and in consideration of the benefits to be gained by the installation, construction, maintenance, and repair of the improvements to be made to the land described herein, and the sum of One Dollar (\$1.00) and other good and valuable consideration, the Parties agree as follows:

GRANTOR does hereby grant, bargain, sell, transfer, and convey unto the GRANTEE, its successor and assigns, a perpetual easement with the right to construct, bury, install, operate, inspect, maintain, repair, upgrade, replace and remove a fiber optic cable and any and all lines, conduits, access manholes, pull vaults, and all necessary appurtenances thereto, over, across and through the land of the GRANTORS situated in Jackson County, State of Illinois, said land being described as follows:

A portion of Section 29 and 30, Township 9 South, Range 1 West of the 3rd P.M., all being situation in the Township of Carbondale, County of Jackson, in the State of Illinois, hereby releasing and waiving all rights under and by virtue of the Homestead Exemption Laws of the State of Illinois.

Together with the right of ingress and egress over the adjacent lands of the GRANTORS, their successors and assigns, for the purposes of this easement.

The easement shall be Twenty (20) feet in width: beginning at the intersection of McLafferty Road and University Press Drive and running south contiguous to the west right-of-way of McLafferty Road to a point 2200 feet south of W Pleasant Hill Road, thence easterly to the east side of McLafferty Road; also extended easterly, beginning at the intersection of the west right-of way of McLafferty Road and the north right-of-way of Pleasant Hill Road and running east contiguous to the north right-of-way of Pleasant Hill Road 645 to a point west of Evergreen Drive, thence southerly 275 feet, the centerline of which shall be the fiber optic cable as shown and recorded as Exhibit A-Water Treatment

and Exhibit B-SIU Evergreen, with the easement extending Ten (10) feet on both sides of the fiber optic cable when installed.

The Grantee's fiber optic cable system is to be placed underground and the same shall be constructed at a depth of not less than 36 inches below the surface of the lands and the Grantee shall have the right to bore, trench and to make any other necessary excavations provided that the same shall be back-filled as soon thereafter as practicable. Grantee shall have the right to place on the surface of the lands equipment and attachments necessary to the construction, operation repair and/or maintenance of any underground cables and/or systems.

Any rights to use of the land not expressly granted to Grantee by this instrument are reserved to Grantor, including without limitation, the right to use, and conduct its operations on, the land within the easement for any and all purposes that do not unreasonably interfere with Grantee's rights granted herein, including without limitation the rights to ingress and egress.

Grantee shall cause its operation and construction hereunder and the use of the easement to be in compliance with all applicable laws, statutes, regulations, rules, zoning laws, environmental laws, health and safety laws, and any other federal, state or local codes and ordinances applicable to the party, its business, operations, improvements and equipment, and the property affected thereby. Grantee shall conduct its operations on the easement in a good and workmanlike manner, exercising reasonable skill, care and diligence in performing the same, consistent in all material respects with prudent industry practices.

All Grantee uses of Grantor's property are made at the risk of the Grantee. Grantee, agrees to restore the surface of the land after the initial construction and any reconstruction, maintenance, repair, replacement or removal of its facilities or cable or any part thereof, as near as practicable, to the condition found prior to each such operation, including, without limitation, the removal from the easement and Grantor's property of all debris, surplus material, construction equipment and surplus earth that are the result of Grantee's operations and the return of the land to the grade and smoothness in which it was found; and to leave the premises in a neat presentable condition.

The consideration hereinabove recited shall constitute payment in full for any damages to the land of the GRANTORS, its successors and assigns, by reason of the installation, operation and maintenance of the structures or improvements referred to herein.

The GRANTEE covenants to maintain the easement in good repair so that no unreasonable damage will result from its use to the adjacent land of the GRANTORS, its successors and assigns.

The grant and other provisions of this easement shall constitute a covenant running with the land for the benefit of the GRANTEE, its successors and assigns.

Notwithstanding any other term or condition of this grant of easement, Grantor may terminate this easement upon the occurrence of any of the following conditions:

1. Upon Grantee's or its successor(s) or assign(s) failure to cure a breach of any term or condition of this grant of easement within 60 days of Grantor's provision of written notice thereof; or

2. A nonuse of the easement herein for a consecutive 2 year period for the purposes granted.

IN WITNESS WHEREOF, the parties hereto have hereunto set their hands and seals the day and year first above written.

Board of Trustees of
Southern Illinois University

Delta Communications, L.L.C.

Randy J. Dunn, President
Southern Illinois University

Signature

STW
APPROVED
AS TO
LEGAL FORM
Nmt
24 May 2018

Name

Title

STATE OF ILLINOIS)
)
COUNTY OF _____)

I, _____, a notary public, in and for said County, in the State aforesaid, do hereby certify that **Randy J. Dunn**, personally known to me to be the same person(s) whose names are subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

Witness my hand and notarial seal this _____ day of _____, 20__.

My Commission expires: _____

Notary Public

STATE OF ILLINOIS)
)
COUNTY OF _____)

I, _____, a notary public, in and for said County, in the State
aforesaid, do hereby certify that _____, personally known to me to be the
same person(s) whose names are subscribed to the foregoing instrument, appeared before me this day
in person and acknowledged that he signed, sealed and delivered the said instrument as his free and
voluntary act, for the uses and purposes therein set forth.

Witness my hand and notarial seal this _____ day of _____, 20__.

My Commission expires: _____

Notary Public



Exhibit A - Water Treatment

status: Proposed

Assigned To: Engineering

Date Assigned: 2/22/2018

Date Approved: 2/22/2018

Date Released: 2/22/2018

Date Completed: 2/22/2018

March 1, 2018

Authored by: CORP\gshort



Exhibit A - Water Treatment

2401 South McLafferty Road (Carbondale), IL.

Date: 2/22/2018

Sheet: 1 of 6

Drawn By: GSS

Checked By: Cory D.

Approved By:

- | | | | |
|--|----------|--|--------------|
| | Splice | | Ring Cut |
| | Vault | | Building Ent |
| | Proposed | | Existing |

1 inch = 886 feet



clearwave
communications



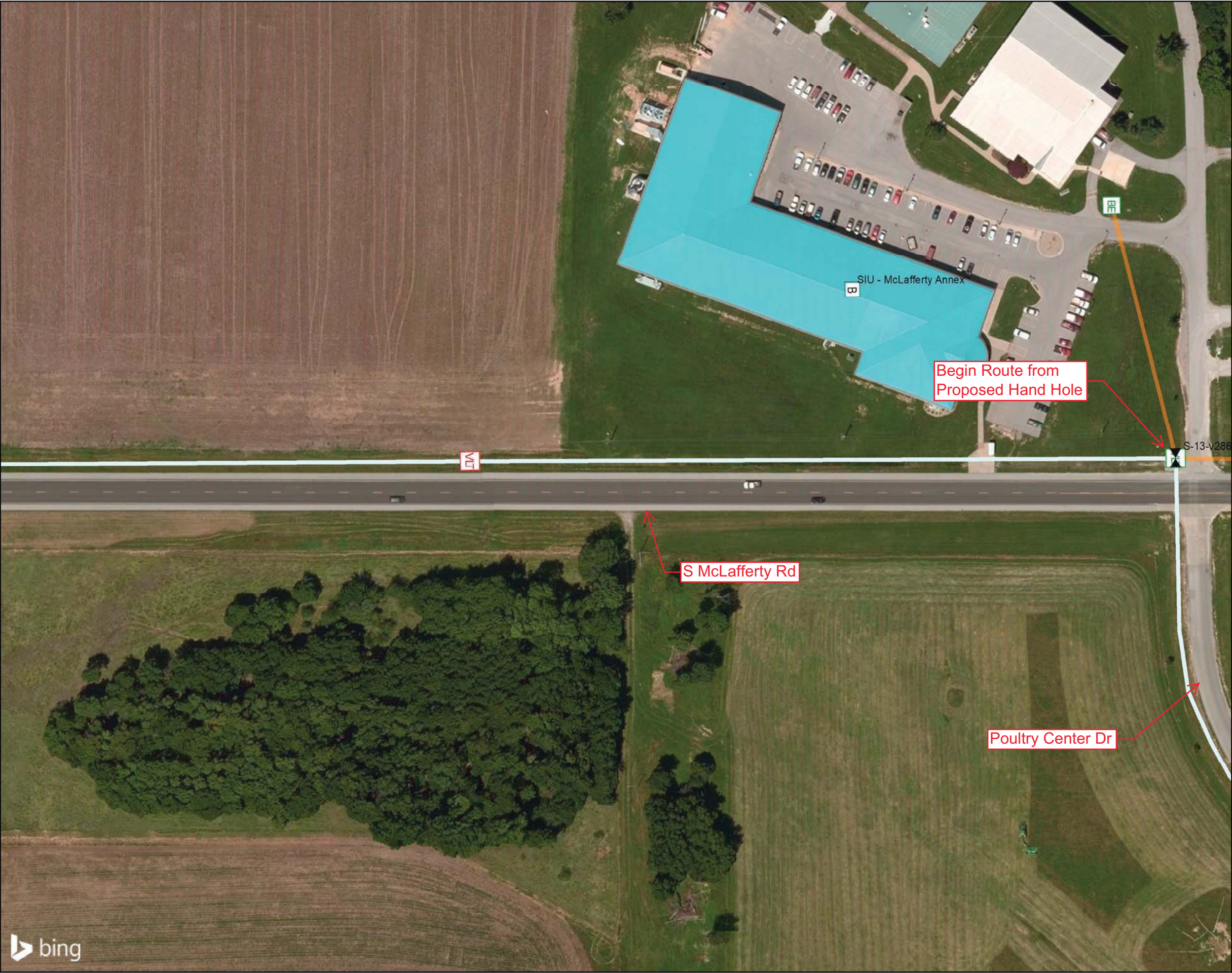


Exhibit A - Water Treatment

2401 South McLafferty Road (Carbondale), IL.

Date: 2/22/2018

Sheet: 2 of 6

Drawn By: GSS

Checked By: Cory D.

Approved By:

- Splice
- Ring Cut
- Vault
- Building Ent
- Proposed
- Existing

1 inch = 100 feet



clearwave
communications





Exhibit A - Water Treatment

2401 South McLafferty Road (Carbondale), IL.







Date: 2/22/2018

Sheet: 3 of 6

Drawn By: GSS

Checked By: Cory D.

Approved By:

-  Splice
-  Ring Cut
-  Vault
-  Building Ent
-  Proposed
-  Existing

1 inch = 100 feet



clearwave
communications



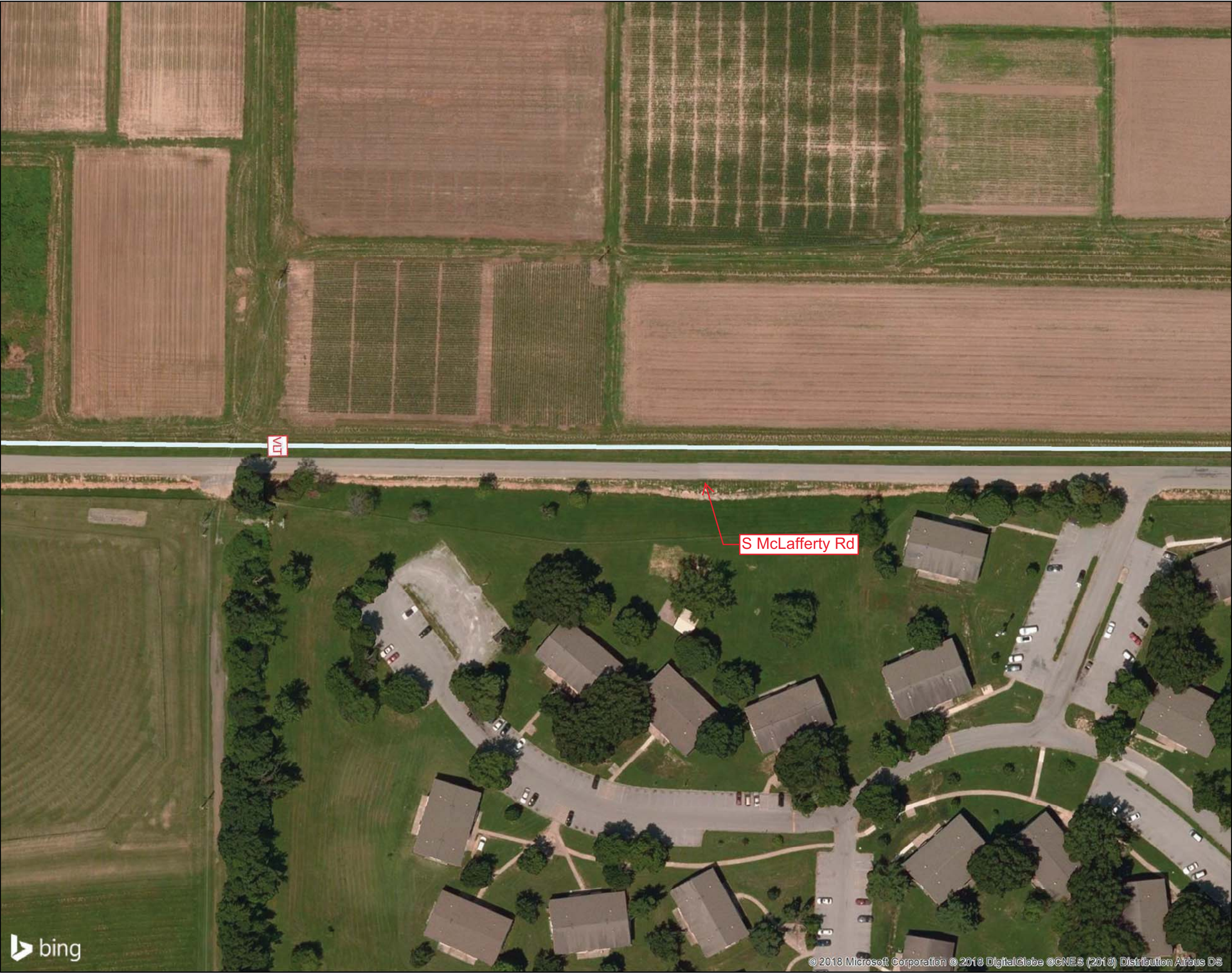


Exhibit A - Water Treatment

2401 South McLafferty Road (Carbondale), IL.

Date: 2/22/2018

Sheet: 5 of 6

Drawn By: GSS

Checked By: Cory D.

Approved By:

- Splice
- Ring Cut
- Vault
- Building Ent
- Proposed
- Existing

1 inch = 100 feet



clearwave
communications





Exhibit A - Water Treatment

2401 South McLafferty Road (Carbondale), IL.

Date: 2/22/2018

Sheet: 6 of 6

Drawn By: GSS

Checked By: Cory D.

Approved By:

- ⌘ Splice
- ⌘ Ring Cut
- VT Vault
- BE Building Ent
- Proposed
- Existing

1 inch = 100 feet



clearwave
communications



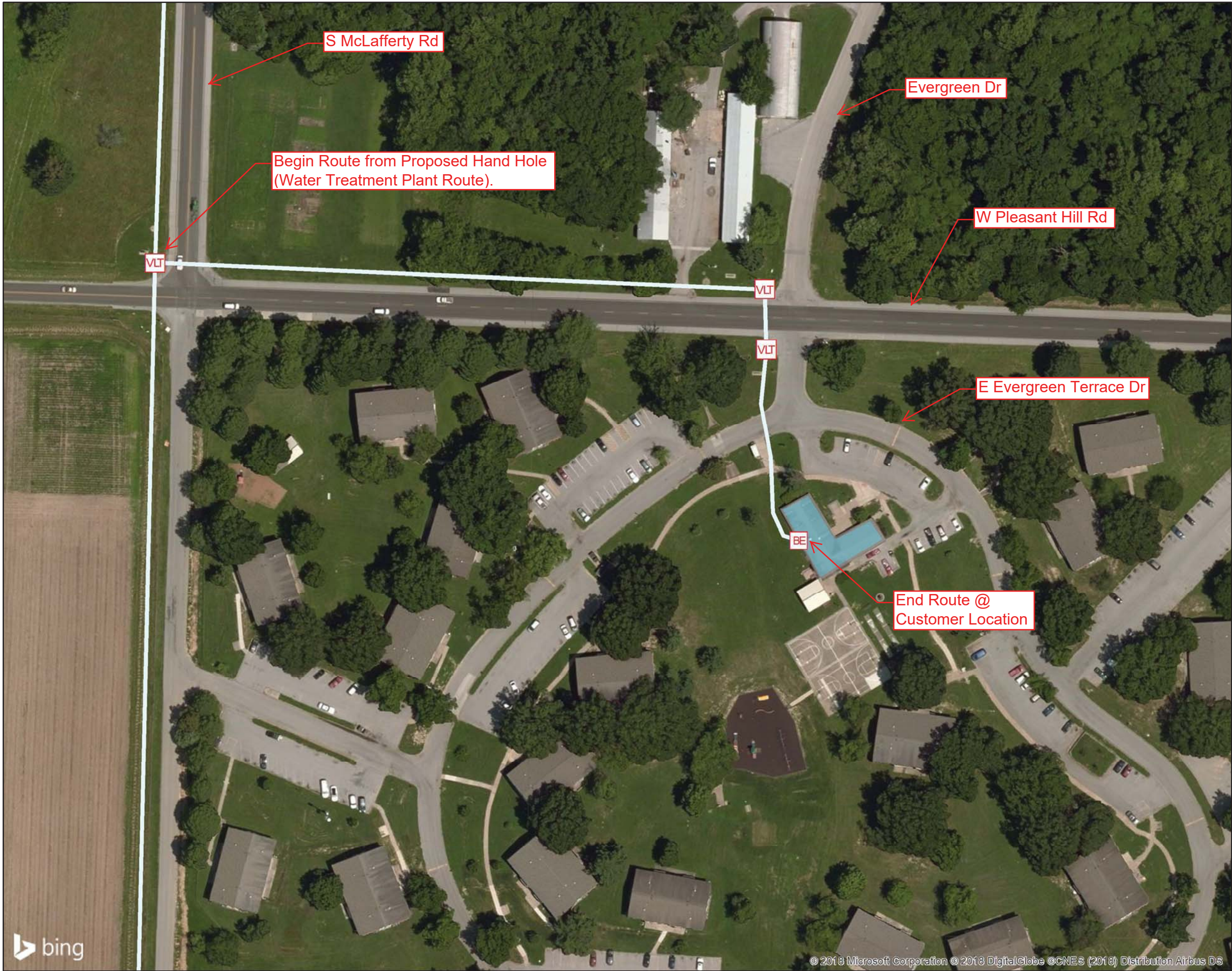


Exhibit B - SIU Evergreen

1902 Evergreen Terrace Dr (Carbondale), IL

Date: 2/26/2018

Sheet: 1 of 1

Drawn By: GSS

Checked By:

Approved By:

- Splice
- Ring Cut
- Vault
- Building Ent
- Proposed
- Existing

1 inch = 100 feet



clearwave
communications



APPROVAL FOR AN EASEMENT:
UNIVERSITY PRESS DRIVE,
CARBONDALE CAMPUS, SIUC

Summary

Approval is requested for an Easement to Egyptian Electric Cooperative Association for construction, maintenance and repair of an underground power line and a junction box located along University Press Drive.

Rationale for Adoption

Egyptian Electric Cooperative Association has requested an Easement for installation and maintenance of a new power line to provide a redundant source of service to the University in the event of an underground fault in this area. The work is estimated to begin during the summer of 2018.

The legal description was prepared by Egyptian Electric Cooperative Association. The Office of General Counsel and the University Administration have reviewed the documents and found them acceptable.

The Chancellor and the Executive Director of Administration and Finance, SIUC, recommended this item to the Interim President.

Considerations Against Adoption

This project will cause a temporary shut-down of electricity for Museum Storage, University Press and the Library Storage facilities. It will not affect McLafferty Annex.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The request to grant an Easement to Egyptian Electric Cooperative Association for construction, maintenance and repair of an underground power line and a junction box located along University Press Drive be and is hereby approved.

(2) The Easement given to Egyptian Electric Cooperative Association is described in the attached document.

(3) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.



Egyptian Electric Cooperative Association
1732 Finney Road
Murphysboro, IL 62966

UTILITY EASEMENT

THIS AGREEMENT, made this _____ day of _____, between The Board of Trustees of Southern Illinois University, hereinafter called Owner(s) or grantor(s), and Egyptian Electric Cooperative Association, an Illinois not for profit Corporation, hereinafter called "Cooperative".

WITNESSETH:

For the property described as: A path, as illustrated in Attachment A, from the transformer in the rear of 1945 University Press dr. north approximately 360 ft. to an electric pole on the north side of University Press dr. Also, from the same pole east-southeast approximately 270 ft. to transformer at 1835 University Press dr. in the southeast quarter of the northwest quarter of section 29 of T.9S.-R.1W. of the 3rd PM in Jackson County, Illinois.

That for the sum of One Dollar (\$1.00) and other valuable consideration, the receipt whereof is hereby acknowledged, Owner(s) grant(s) unto Cooperative, its successors and assigns, the right, privilege and easement of use of right of way for the following type of installation:

☐ OVERHEAD initial: _____

For all overhead installations, the Cooperative requires thirty (30) feet in width to lay, construct, operate and maintain overhead poles and cable lines for transmitting and distributing electric power or any EECA telecommunications function, including all wires, cables, transformers, capacitor banks, switches, fuses, ground connections, attachments, equipment, accessories and appurtenances desirable in connection therewith (hereinafter called "overhead facilities") under, upon and across the lands of Owner(s).

☒ UNDERGROUND initial: _____

For all underground installations, the Cooperative requires fifteen (15) feet in width to lay, construct, operate and maintain underground conduit and cable lines for transmitting and distributing electric power or any EECA telecommunications function, including all wires, cables, handholes, manholes, transformers, transformer enclosures, concrete pads, connection boxes, ground connections, attachments, equipment, accessories and appurtenances desirable in connection therewith (hereinafter called "underground facilities") under, upon and across the lands of Owner(s). For Owner(s) requesting new service, all existing private utilities must be exposed. If unable to do so, the Owner(s) will be responsible for any cut, damaged, or unidentified lines.

TERMS AND CONDITIONS

In granting this easement, it is understood that the location of all overhead and underground facilities will be such as to for the least possible interference to Owner operations, so long as it does not materially increase the cost of construction.

All overhead and underground facilities erected hereunder shall remain the property of Cooperative. Cooperative shall have the right to inspect, rebuild, remove, repair, improve and make such changes, alterations, substitutions and additions in and to its overhead and underground facilities as Cooperative may from time to time deem advisable, including the right to increase or decrease the total number of overhead or underground facilities within the stated easement. Cooperative reserves the right to remove any overhead and underground facilities upon termination of service to property described.

Cooperative shall at all times have the right to keep the easement clear of all buildings, structures or other obstructions such as trees, shrubbery, undergrowth, and roots. The Cooperative shall have the right to mow, cut, trim, remove, and spray within the easement area and to cut or trim any dead, weak, leaning or dangerous trees outside the easement area that are tall enough to strike the wires. All trees and limbs cut by Cooperative at any time shall remain the property of Owner(s).

All uses of the Owner's property are made at the risk of the Cooperative. Cooperative, agrees to restore the surface of the land after the initial construction and any reconstruction, maintenance, repair, replacement or removal of said facilities, as near as practicable, to the condition found prior to each such operation, including, without limitation, the removal from the easement and Owner's adjacent property all debris, surplus material, construction equipment and surplus earth that are the result of Cooperative's operations and the return of the land to the grade and smoothness in which it was found; and to leave the premises in a neat presentable condition.

Cooperative shall cause its operations and construction hereunder and the use of the easement to be in compliance with all applicable laws, statutes, regulations, rules, zoning laws, environmental laws, health and safety laws, and any other federal, state or local codes and ordinances applicable to the party, its business, operations, improvements and equipment, and the property affected thereby. Cooperative shall conduct its operations on the easement in a good and workmanlike manner, exercising reasonable skill, care and diligence in performing the same, consistent in all material respects with prudent industry practices.

Owner(s), successors and assigns, may use the land within the easement for any purpose not inconsistent with the rights hereby granted, provided such use does not interfere with or endanger the construction, operation or maintenance of Cooperative's overhead or underground facilities. For the purpose of constructing, inspecting, maintaining or operating its overhead or underground facilities, Cooperative shall have the right of ingress to an egress from the easement over the lands of Owner(s) adjacent to the easement and lying between public or private roads and the easement, such right to be exercised in such manner as shall occasion the least practicable damage and inconvenience to Owner(s).

Owner(s) covenant(s) that he is/are seized of and has/have the right to convey the said easement, rights and privileges; that Cooperative shall have quiet and peaceable possession, use and enjoyment of the aforesaid easement, rights and privileges, and the Owner(s) shall execute such further assurances thereof as may be required.

Notwithstanding any other term or condition of this grant of easement, Owner(s) may terminate this easement upon the occurrence of any of the following conditions:

1. Upon Grantee's or its successor(s) or assign(s) failure to cure a breach of any term or condition of this grant of easement within 60 days of Grantor's provision of written notice thereof; or
2. A nonuse of the easement herein for a consecutive 2 year period for the purposes granted.

WITNESS the following signature(s) and seal(s):

X _____ (Seal) X _____ (Seal)

STATE OF ILLINOIS)
)SS:
COUNTY OF _____)

I, _____, Notary public, in and for said County, in the State aforesaid, DO HEREBY
CERTIFY, that _____, personally known to me to be the same person(s) whose name(s) is/are
subscribed to the foregoing instrument, appeared before me this day in person, and acknowledged that ___he___ signed, sealed and delivered the said
instrument as a free and voluntary act, for the uses and purposes herein set forth, including the release and waiver of the right of homestead.

Given under my hand and Notarial seal, this _____ day of _____, _____.

Notary Public

My Commission Expires: _____, _____.

STU
APPROVED
AS TO
LEGAL FORM

4 April 2018



APPROVAL OF PURCHASE:
HVAC MAINTENANCE SERVICES
SCHOOL OF MEDICINE CAMPUS, SIUC

Summary

This matter seeks approval to execute a contract with Carrier Corporation for HVAC preventative and corrective maintenance for the School of Medicine Springfield Campus. The contract for this service is based on the maximum value of \$4,739,417.00 with the contract term through June 30, 2023.

Rationale for Adoption

The University wishes to establish a professional relationship with a contractor to provide quality HVAC maintenance services for the School of Medicine Springfield Campus. This contractor will be used to supplement and support the existing maintenance staff for HVAC maintenance and repair of all owned buildings and building systems. The five year contract consists of the initial nine month period and four optional additional one year renewal periods and will provide the University with the opportunity to establish a consistent price for necessary services.

In accordance with the Illinois Procurement Code and the SIU Board of Trustees Policies, a Request for Quotation (RFQ) was issued on July 3, 2018, for HVAC maintenance and repair services. Nine invitations were issued and two submissions were received. The bid tab is attached.

This matter seeks to award the contract to Carrier Corporation, Willowbrook, Illinois. This contract is based on the maximum value of \$4,739,417.00 and the contract term ending June 30, 2023. The contract will be reviewed annually for continuing need, availability of funds and satisfactory performance.

This matter is recommended for adoption by the Dean and Provost of the School of Medicine.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in a regular meeting assembled, That:

(1) The contract for HVAC maintenance services is hereby awarded to Carrier Corporation, Willowbrook, Illinois for the initial cost of \$748,329.00 with four optional one year renewal periods, subject to continuing need, availability of funds and satisfactory performance for a maximum amount of \$4,739,417.00.

(2) The Board of Trustees hereby authorizes the purchase of goods and services associated with this award.

(3) Funding for this purchase will come from School of Medicine Physical Plant funds.

(4) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

| BID TABULATION | | | | | | |
|---|-----------------------|-------------------------------|--|--|--|----------------|
| RFQ 10906 | PO: | HVAC Preventative Maintenance | | | | |
| Mechanical | Bid Opening: 7/3/2018 | | | | | |
| <div><div><div>SIU</div><div>Southern Illinois University</div><div>CARBONDALE</div></div><div>School of Medicine</div><div>Invitations: 9 No. Bid: 2 No Reply: 7</div><div>BIDDERS</div></div> <div></div> <div>B A S E B I D</div> <div></div> <div></div> <div></div> <div></div> <div>T O T A L A C C E P T E D</div> | | | | | | |
| Johnson Controls, Inc. 3850 N. Main Street East Peoria, IL 61611 | | \$5,296,171.00 | | | | \$5,296,171.00 |
| Carrier Corporation 1003 Detweiller Drive Peoria,IL 61615 | | \$4,739,417.00 | | | | \$4,739,417.00 |

AWARD OF CONTRACTS AND PROPOSAL TO NAME PHYSICAL COMPONENTS:
FOWLER STUDENT DESIGN CENTER, SIUE

Summary

This matter seeks to award contracts in the amount of \$753,220 for construction of Phase 2, second floor build-out of the Engineering Building Student Project Design Center, and seeks approval to name the Engineering Building Student Project Design Center, SIUE, the Fowler Student Design Center.

Rationale for Adoption

The construction of the new two-story Student Project Design Center addition to the Engineering Building is being completed in two phases. The first floor addition included workshop space for engineering students' design teams working on various projects used in academic competitions and space for capstone senior design projects that require design, fabrication, and assembly. This first phase was completed in 2017.

On April 12, 2018, the SIU Board of Trustees provided project and budget approval for Phase 2 which included the construction of additional faculty offices to accommodate faculty growth, student design lab spaces, restrooms and a conference room. The cost of Phase 2 is \$1.2 million and is fully funded by private donations.

Bids received on August 2, 2018, were favorable and awarding the contracts is requested. A summary of bids received is attached for review.

In accordance with 6 Policies of the Board B(2)(a)(1), the Board of Trustees is requested to approve the naming of the Fowler Student Design Center at SIUE, in order to recognize the Fowler family's \$ 1,250,000 gift to complete the renovation of the facility and provide certain equipment.

The Chancellor, the Vice Chancellor for Administration, and the Vice Chancellor for University Relations, SIUE, have recommended this matter to the President.

Considerations Against Adoption

Construction of Phase 2 of the Engineering Building Student Project Design Center will cause a temporary inconvenience to the faculty and students in the building. Directional signage will help mitigate the inconvenience.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The contracts to perform construction services for Phase 2 of the Engineering Building Student Project Design Center, SIUE, be and are hereby awarded to the following:

| | | |
|------------------------|--------------|-----------------|
| a. <u>General Work</u> | Base bid | \$436,500 |
| | Alternate #1 | <u>\$17,623</u> |
| | Total | \$454,123 |

Limbaugh Construction Co, Inc., Granite City, IL

| | |
|------------------------------------|-----------|
| b. <u>Electrical Work</u> | \$159,120 |
| K&F Electric, Inc., Belleville, IL | |

| | |
|--|----------|
| c. <u>Plumbing Work</u> | \$38,170 |
| Bel-O Sales and Service Inc., Belleville, IL | |


| | |
|---|----------|
| d. <u>Heating Work</u> | \$42,487 |
| France Mechanical Corporation, Edwardsville, IL | |

| | |
|-----------------------------------|----------|
| e. <u>Ventilation Work</u> | \$59,320 |
| JEN Mechanical, Inc., Godfrey, IL | |

(2) The Engineering Building Student Project Design Center, SIUE, be and is hereby named the Fowler Student Design Center.

(3) The Interim President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

ENGINEERING BUILDING SECOND FLOOR BUILD-OUT
(STUDENT PROJECT SPACE)
RFQ 80039



| | | |
|--|------------------|-----------------------|
|  | | |
| GENERAL CONTRACTORS | BASE BID | Add Alternate #G-1 |
| Limbaugh Construction Co., Inc. 4186 Highway 162 Granite City, IL 62040 | \$ 436,500.00 | \$ 17,623.00 |
| Korte & Luitjohan Contractors 12052 Highland Road Highland, IL 62249 | \$ 455,250.00 | \$ 17,200.00 |
| R.W. Boeker Company, Inc. 8719 State Route 140 Hamel, IL 62046 | \$ 471,500.00 | \$ 5,100.00 |
| R&W Builder's Inc. 109 Sir Lawrence Drive Belleville, IL 62221 | \$ 462,000.00 | \$ 18,400.00 |
| Calhoun Construction Inc. 6600 Rear W. Main Street Belleville, IL 62223 | \$ 480,551.00 | \$ 7,344.00 |
| Interior Construction Services Ltd. 2930 Market Street St. Louis, MO 63103 | \$ 483,191.00 | \$ 7,175.00 |
| Bruce Unterbrink Construction Inc. 915 A South Third Street Greenville, IL 62246 | \$ 486,000.00 | \$ 16,292.00 |



| ELECTRICAL CONTRACTORS | BASE BID |
|---|---------------|
| K&F Electric, Inc. 2622 West Blvd. Belleville, IL 62221 | \$ 159,120.00 |
| Lowry Electric Co. PO Box 530 Collinsville, IL 62234 | \$ 182,130.00 |
| Pyramid Electrical Contractors Inc. 300 Monticello Place Fairview Heights, IL 62208 | \$ 183,414.00 |
| Guarantee Electrical Contractors 3405 Bent Avenue St. Louis, MO 63116 | \$ 205,400.00 |



| PLUMBING CONTRACTOR | BASE BID |
|---|--------------|
| Bel-O Sales & Service Inc. 5909 Cool Sports Road Belleville, IL 62223 | \$ 38,170.00 |
| Bergmann-Roscow Plumbing Inc. 56 Empire Drive Belleville, IL 62220 | \$ 40,312.00 |
| Loellke Plumbing Inc. 22974 E. County Road Jerseyville, IL 62052 | \$ 48,867.00 |
| Kane Mechanical 170 E. Alton Avenue East Alton, IL 62024 | \$ 62,400.00 |

| | | |
|---|--------------|--|
|  | | |
| HEATING CONTRACTOR | BASE BID | |
| France Mechanical Corp. PO Box 646 Edwardsville, IL 62025 | \$ 42,487.00 | |
| Bel-O Sales & Service Inc. 5909 Cool Sports Road Belleville, IL 62223 | \$ 45,228.00 | |
| Kane Mechanical 170 E. Alton Avenue East Alton, IL 62024 | \$ 45,600.00 | |
| JEN Mechanical Inc. 2813 West Delmar Avenue Godfrey, IL 62035 | \$ 50,611.00 | |
|  | | |
| VENTILATION CONTRACTOR | BASE BID | |
| JEN Mechanical Inc. 2813 West Delmar Avenue Godfrey, IL 62035 | \$ 59,320.00 | |
| Hock, Inc. 512 South Breese Street Millstadt, IL 62260 | \$ 61,967.00 | |
| Bel-O Sales & Service Inc. 5909 Cool Sports Road Belleville, IL 62223 | \$ 66,906.00 | |
| France Mechanical Corp. PO Box 646 Edwardsville, IL 62025 | \$ 71,500.00 | |

APPROVAL OF SALARY AND APPOINTMENT: DEAN OF THE SCHOOL OF
BUSINESS, SIUE

Summary

This matter presents for approval the salary and appointment of the Dean of the School of Business at the Edwardsville campus.

Rationale for Adoption

Policies of the Board of Trustees require Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which six (6) candidates (five (5) external and one (1) internal) were recommended by the search committee for telephone interviews. Three (3) of the six (6) candidates were selected for on campus interviews. Searches for highly qualified Deans of Schools of Business are very competitive and the Edwardsville campus is fortunate to have a highly qualified and respected incumbent who has successfully served the University in the past as the Acting Dean of the School of Business, Chair of the UPBC, Associate Dean, and Chair of the University Congress and who is willing and eager to serve in this important leadership position in order to preserve continuity within the School and advance the innovative work he's already begun as Interim Dean. Dr. Timothy Schoenecker possesses the range of experience in education, research, service and administration to guide the School of Business at the Edwardsville campus as it continues to excel, making this request a high priority recruitment and retention goal.

Constituency Involvement

All appropriate University and System administrators were involved in this decision. Comments and recommendations were solicited from the School of Business faculty and were considered and weighed in making this recommendation.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: Dr. Timothy Schoenecker be appointed to the position of Dean of the School of Business with an annual salary of \$200,000, effective July 1, 2018 and continuing.

APPROVAL OF SALARY AND APPOINTMENT: CHIEF INFORMATION OFFICER
AND ASSOCIATE VICE CHANCELLOR FOR INFORMATION TECHNOLOGY
SERVICES, SIUE

Summary

This matter presents for approval the salary and appointment of the Chief Information Officer and Associate Vice Chancellor for Information Technology Services at the Edwardsville campus.

Rationale for Adoption

Policies of the Board of Trustees require Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which six (6) candidates (five (5) external and one (1) internal) were recommended by the search committee for telephone interviews. Three (3) of the six (6) candidates were selected for on campus interviews. Searches for highly qualified CIOs and Associate Vice Chancellors for ITS are very competitive and the Edwardsville campus is fortunate to have a highly qualified and respected incumbent who has successfully served the University since 1992 in various leadership roles including Coordinator of Audio Visual Services, Manager of Academic Computing and the Faculty Technology Center, and as the Interim CIO and Associate Vice Chancellor for ITS, leading that operation and making significant contributions and efficiencies during his tenure as the Interim CIO. Mr. Steven Huffstutler is eager to serve in this important leadership position in order to preserve continuity within the University and advance the innovative work he's already begun as Interim CIO and Associate Vice Chancellor for ITS. He possesses the depth of computing and technology experience in higher education, plus the range of service and administration to guide the Office of Information Technology Services at the Edwardsville campus as it continues to excel, making this request a high priority recruitment and retention goal.

Constituency Involvement

All appropriate University and System administrators were involved in this decision. Comments and recommendations were solicited from the staff of the Office of Information Technology Services and other campus staff and faculty, and were considered and weighed in making this recommendation.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: Mr. Steven Huffstutler be appointed to the position of Chief Information Officer and Associate Vice Chancellor for Information Technology Services with an annual salary of \$175,000, effective July 1, 2018 and continuing.

APPROVAL: ADD TWELVE PARKING LOTS, SIUE, TO THE HOUSING AND
AUXILIARY FACILITIES SYSTEM

Summary

This matter seeks to add twelve parking lots to the Housing and Auxiliary Facilities System. The specific parking lots are as follows: In University Park, SIUE – 1 North Research Drive (leased to the Chamber of Commerce,) 245 South Research Drive (Pharmacy Classroom,) 195 University Park Drive (Dental Clinic,) 200 University Park Drive (Multi-tenant Building,) 220 University Park Drive (Pharmacy Labs,) 400 University Park Drive (NCERC,) and 420 University Park Drive (Labs and GIS); additional campus lots including – Lot CF (ERTC), Lot G (Birger Hall), Lot CU (SIUE Credit Union), Lot KS (Korte Stadium) and The Gardens parking lot.

Rationale for Adoption

In 1997, financing through the Housing and Auxiliary Facilities System was established for the construction and renovation of all parking lots on the SIUE campus, the School of Dental Medicine lots in Alton and the East St. Louis Center parking lots. Since that time, other lots have been added to the Revenue Bond System as they were constructed with additional debt financing. However, the twelve parking lots listed were either purchased, donated to, or constructed by SIUE using non-system funds and are currently not part of the revenue bond system. The transfer of the parking lot at 195 University Park Drive from University Park, SIUE, Inc., to the University is pending the completion of the Quit Claim Deed. University funds will not be spent on the lot until the transfer is complete.

SIUE is seeking approval to add these twelve parking lots to the Housing and Auxiliary Facilities System so that proper maintenance support can be provided. A map of the campus, with the lots circled, is attached.

If approved, revenue generated from the sale of parking permits and lease arrangements will be deposited into the Parking Operations fund, which is part of the Housing and Auxiliary Facilities System, and will provide Parking Operations with funding for maintenance of all parking lots.

The Chancellor and Vice Chancellor for Administration, SIUE, have recommended this matter to the Interim President.

Considerations Against Adoption

In the future, any funds used to maintain these additional lots would come from either Parking Operations or Parking Repair and Replacement Reserve Funds.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The following eleven parking lots be added to the Housing and Auxiliary Facilities System: 1 North Research Drive, 245 South Research Drive, 200 University Park Drive, 220 University Park Drive, 400 University Park Drive, 420 University Park Drive, Lot CF, Lot G, Lot CU, Lot KS, and The Gardens parking lot.

(2) Pending completion of the transfer of 195 University Park Drive from University Park, SIUE, Inc., to the University, it will be added to the Housing and Auxiliary Facilities System.

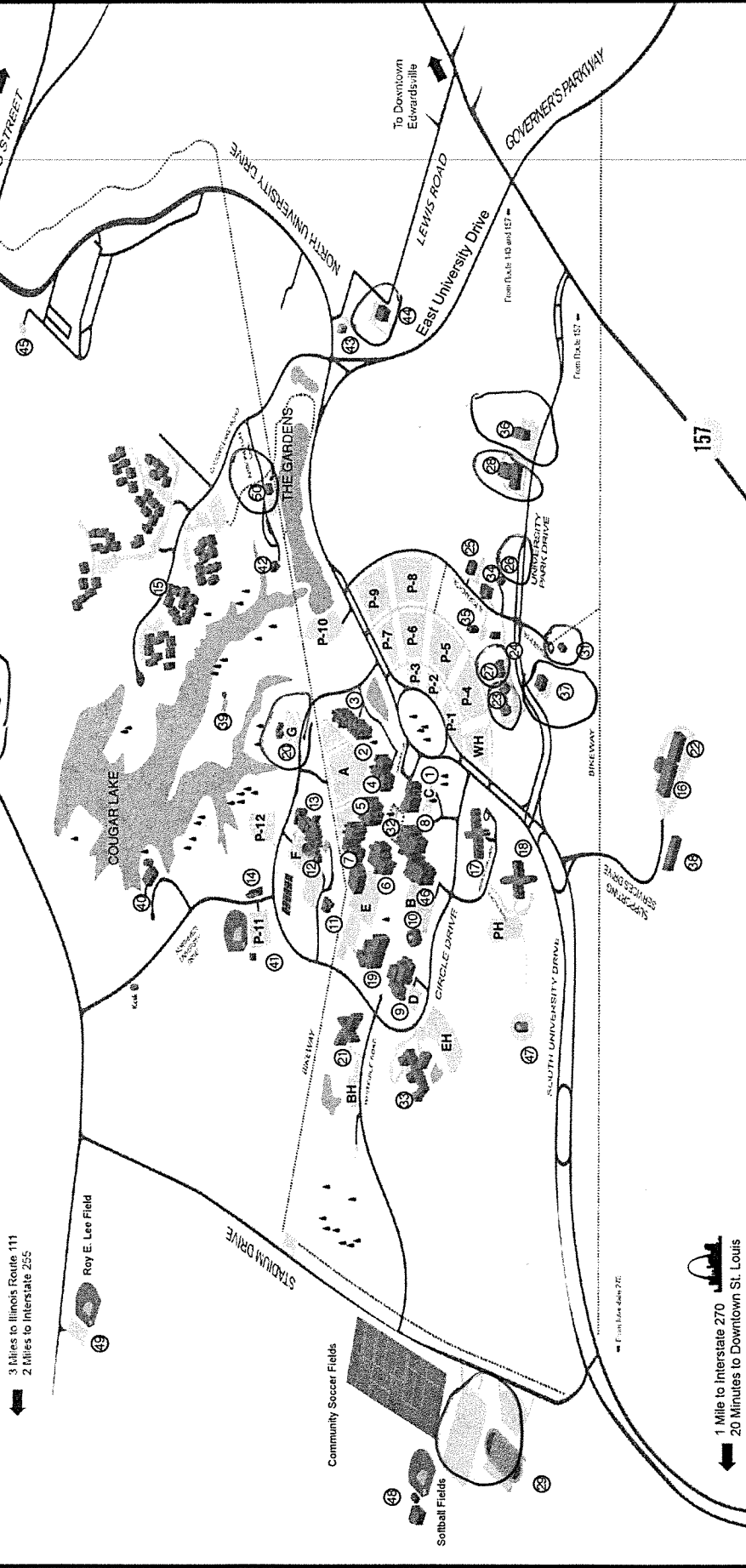
(3) The Interim President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

Campus Map

3 Miles to Illinois Route 111
2 Miles to Interstate 255

1 Mile to RL 143
To Southern Illinois University
School of Dental Medicine

1 Mile to
Downtown
Edwardsville



Key to Campus

1. Rendleman Hall (RH)
2. Founders Hall (FH)
3. Alumni Hall (AH)
4. Peck Hall (PH)
5. Lovejoy Library (LB)
6. Dunham Hall (DH)
7. Science Buildings (SL)
8. Morris University Center (MUC)
9. Art and Design (AD) & Art and Design West (ADW)
10. Religious Center (RC)
11. Metcalf Student Experimental Theater (ST)
12. Student Fitness Center (SC)
13. Vadalabene Center (VC)
14. Early Childhood Center (ECC)
15. Cougar Village Commons (CC)
16. Supporting Services (SS) / University Police (UPOL)
17. Woodland Residence Hall (WR)
18. Prairie Residence Hall (PR)
19. Engineering Building (EB)
20. B. Barnard Birger Hall (BH)
21. Bluff Residence Hall (BR)
22. Museum Operations (MO)
23. 200 University Park (U2)
24. 100 North Research Dr.
25. 95 North Research Dr.
26. University Park Administration (U1)
27. School of Pharmacy Lab (PL)
28. National Corn-to-Ethanol Research Center (NC)
29. Ralph Korte Stadium (KS)
30. Environmental Resources Training Center (ERTC)
31. Technology and Management Center (TC)
32. Siratton Quadrangle (SQ)
33. Evergreen Residence Hall (ER)
34. 47 North Research Dr.
35. 110 North Research Dr.
36. Biotechnology Laboratory Incubator (BL)
37. 195 University Park
38. Library Storage Facility (LS)
39. Swimming Pool (SW)
40. Heating & Refrigeration Plant (HR)
41. Outdoor Recreational Sports Complex (OR)
42. University Housing/FIC
43. Emergency Management & Safety
44. SIUE Credit Union (CU)
45. Shaw Sky Lab (SH)
46. Student Success Center
47. Physics Observatory
48. Fulginiti Indoor
49. Simmons Baseball Complex
50. The Gardens Support Center

Parking Information: Visitors may park in Lot B or metered portions of other lots.

PROPOSED BOARD OF TRUSTEES
EXPORT CONTROL POLICY
[ADDITION OF 1 POLICY OF THE BOARD SECTION 7N]

Summary

This matter seeks approval by the SIU Board of Trustees to incorporate the Export Control Policy into Board Policy as Policy of the Board 7N. The Export Control Policy serves as a guide for the Board and SIU System with respect to all Export Control decisions.

Rationale for Adoption

The SIU System has never adopted an Export Control Policy. The Carbondale campus and the Edwardsville campus currently have and had previously created Export Control Policies that are inconsistent with each other. Due to the importance of the Export Control Policy in establishing the Board's direction for the Export Control for the SIU System, it is recommended at this time that this Export Control Policy be approved by the full Board and be made a part of Board Policy. The Export Control Policy serves as the Board's directive to the SIU System with respect to the SIU System's Export Control strategy. It provides guidance to govern Export Control issuance, provide a framework for Export Control procedures and internal controls, and Export Control management activities.

In addition, this matter will supersede the Export Control Policies of the Carbondale and Edwardsville campuses and clarify roles and responsibilities related to the Export Control functions and to provide other clarification as needed.

Considerations Against Adoption

University officers are aware of none.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Export Control Policy of the Board is hereby added with the addition of the attached Export Control Policy as Section 7N.

(2) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution.

N. EXPORT CONTROL POLICY

1. Purpose

To address the Southern Illinois University – System (SIU-S) obligations to comply with federal export controls. This policy applies to the SIU-S and each of its campuses-- Southern Illinois University Carbondale (SIUC), Southern Illinois University Edwardsville (SIUE), and the Southern Illinois University School of Medicine (SIU-SOM). The policy codifies SIU's commitment to compliance with U.S. export controls, identifies the corresponding responsibilities of SIU-S personnel, and establishes the administrative foundation for the SIU-S export controls compliance program. These steps are required to ensure SIU-S compliance with U.S. export controls.

2. Scope

This policy applies to all members of the SIU-S community, including but not limited to employees, tenure-and non-tenure-track faculty, lecturers, students, postdoctoral fellows, postdoctoral scholars, and other postdoctoral personnel, independent researchers, visiting scholars, visiting scientists, contractors, subcontractors, and volunteers.

3. Policy

SIU-S is committed to complying with U.S. export controls including without limitation the Arms Export Control Act ("AECA"), 22 U.S.C. 2751 *et seq.*; the International Traffic in Arms Regulations ("ITAR"), 22 C.F.R. 120 *et seq.*; the Export Administration Regulations ("EAR"), 15 C.F.R. 730 *et seq.*; the Foreign Assets Control Regulations ("FACR"), 31 C.F.R. 500 *et seq.*; and all campus policies and procedures related to export controls.

SIU-S recognizes the importance of these laws and regulations and expects compliance from all of its personnel. No members of the SIU-S community may engage in any activity or commit the University to engage in any activity that violates U.S. export control laws and regulations. Individuals involved in research and academic projects that are subject to export controls must follow the requirements that are appropriate for the roles they serve. These individuals are responsible for reviewing the materials on the SIU-S Export Control Website and consulting with the Director of Export Controls when export controls apply.

SIU-S export control compliance efforts, screening, and training are coordinated through the Director of Export Controls. Export Control procedures will be drafted by the Director of Export Controls in conjunction with each campus. It is the responsibility of the Principal Investigator/Project Director (PI/PD) on any project or contract to be aware of this policy and to notify the Director of Export Controls of potential export control issues.

Violations can result not only in significant civil or criminal liabilities for SIU-S, and potentially the individuals involved, up to and including termination of employment, but also in damage to national security and to the University's standing as an institution of research and learning.

4. Definitions

- **Deemed Export:**

Any release of controlled technology or source code subject to the Export Administration Regulations ("EAR") to a foreign person in the U.S. is "deemed" an export to the person's country or countries of nationality. This deemed export rule does not apply to persons lawfully admitted for permanent residence in the U.S. and does not apply to persons who are protected individuals under the Immigration and Naturalization Act. Refer to section 734.2(b) of the EAR. A "deemed" export situation can occur by access/use in research or training, visual inspection, or an oral exchange of information.

- **Export Administration Regulations ("EAR"):**

Regulations implemented by the U.S. Department of Commerce, which control the export of dual-use technologies (i.e., items used or having the potential to be used for both military and commercial purposes that could adversely affect national security if exported).

- **International Traffic in Arms Regulations (ITAR):**

Regulations implemented by the U.S. Department of State to regulate military or defense-related articles, technologies, and services.

- **Office of Foreign Asset Control (OFAC) Sanctions:**

A department of the U.S. Treasury that enforces economic and trade sanctions against countries and groups of individuals involved in terrorism, narcotics, and other disreputable activities enforced by the Office of Foreign Asset Control of the U.S. Department of Treasury.

- **Public Domain as defined by EAR:**

Covers published information and software. Information is "published" when it becomes generally accessible to the interested public in any form, including but not limited to:

- publication in periodicals, books, print, electronic, or other media available for general distribution, either free or at a price that does not exceed the cost of reproduction and distribution
- available at libraries open to the public or university libraries
- issued patents or open patent applications published and available at any governmental patent office
- released or publicly discussed at an open conference, meeting, seminar, trade show, or other open gathering

- **Public Domain as defined by ITAR:**

Covers published information generally accessible or available to the public through:

- sales at newsstands and bookstores
- subscriptions available without restriction
- second class mailing privileges granted by the U.S. government
- libraries open to the public or from which the public can obtain documents
- patents available at any patent office
- through unlimited distribution at a conference, meeting, seminar, trade show or exhibition, generally accessible to the public, in the U.S.
- public release (i.e., unlimited distribution) in any form
- fundamental research in science and engineering at accredited institutions of higher learning in the U.S., where the resulting information is ordinarily published and shared broadly in the scientific community

5. Export Controls Record Retention

SIU-S must comply with regulatory requirements regarding export control-related recordkeeping. These records must be organized to be available when requested by U.S. governmental authorities or for internal audit purposes. Records include printed and hard copy documents, as well as electronic records (including e-mail, e-mail attachments and other electronic files). All records will be retained for a minimum period of **five (5) years** from the date of export or from the date of license expiration, as per the requirements of ITAR Section 123.22 and EAR Section 762.6. Departments, researchers and all SIU-S offices must keep soft or hard copies of all their export documentation, including Restricted Party Screens, financial records such as purchase orders, and shipping documentation (commercial/pro forma invoices, packing lists, FedEx and other international freight forwarder/courier documents), in their research project files for a period of five years from the date of the export, re-export, or controlled deemed export.

(8-15-18)

APPROVAL OF PURCHASE:
LEGAL SERVICES FOR INTELLECTUAL PROPERTY, CARBONDALE CAMPUS,
EDWARDSVILLE CAMPUS, SCHOOL OF MEDICINE CAMPUS

Summary

This matter seeks approval to enter into contracts for legal services associated with intellectual property matters. The contracts for this service are based on the estimated value of \$4,000,000 for all campuses, over a ten (10) year period.

Rationale for Adoption

The University wishes to establish a professional relationship with law firms to provide assistance to the SIU System Office of Technology Transfer for the evaluation, preparation, and filing of patent and trademark applications and miscellaneous intellectual property (IP) matters. Other possible services include copyright work, negotiating and drafting licensing agreements, and advocating intellectual property related interests.

Protecting intellectual property created and generated by researchers/scholars of Southern Illinois University requires specialized technical and legal expertise. IP counsel services were also previously procured approximately ten (10) years ago utilizing the RFP process, and those contracts expired earlier this year.

The University issued RFP #L121817 and a selection committee, which included the Director of Technology Transfer, Senior Technology Management Coordinator, Director of Export Controls, and the General Counsel, evaluated eleven (11) responsive proposals. The committee selected and recommended the following three (3) successful bidders: Senniger Powers; Greer, Burns & Crain; and Husch Blackwell. Awarding a contract to multiple firms is recommended to ensure expertise is available in a broad range of fields. The contracts will be funded by non-appropriated funds. With approval of this matter, execution of the contracts is subject to final negotiation of their terms and approval by the state's Procurement Policy Board.

The law firms selected pursuant to the RFP will perform services for each of the SIU campuses on an "as needed" basis via contracts commencing November 1, 2018, through June 30, 2023, with an option to renew for an additional five (5) years, at the University's discretion. In other words, fees will be payable only if services are performed. The extended ten (10) year cost for these IP counsel services is estimated to total \$4,000,000 (\$2M for School of Medicine, \$1M for SIUC, and \$1M for SIUE). An exact amount for each contract is not assigned because services are on an as needed basis, and it is impossible to predict what discoveries may develop in the years to come. But as the discoveries come forth, the law firm with the best expertise in a given field, with the most cost effective approach, would be selected for such work.

This matter is recommended for adoption by the Interim President, General Counsel, and Director of Technology Transfer.

Considerations Against Adoption

Funds used for these services will not be available for other projects or purchases.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University In regular meeting assembled, That:

(1) Contracts for the period November 1, 2018, through June 30, 2023, with an option for an additional five (5) years at the University's discretion, for legal services associated with intellectual property matters be and are hereby awarded to Senniger Powers; Greer, Burns & Crain; and Husch Blackwell, respectively.

(2) The Board of Trustees hereby authorizes the purchase of services associated with this award for a total amount not to exceed \$4,000,000, on an as needed basis.

(3) Purchase of these services will be funded by non-appropriated funds.

(4) The President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

Trustee Britton moved for approval of the receipt of Reports of Purchase Orders and Contracts, March, April, May, June and July, 2018, SIUC and SIUE; and Approval of New Programs, Reasonable and Moderate Extensions, Approval of Off-Campus Program Locations, and Academic Program Eliminations and Suspensions, July 1, 2017, through June 30, 2018; the ratification of Changes in Faculty-Administrative Payroll for SIUC, SIUE, Office of the President and University Wide Services; Schedule of Meetings of the Board of Trustees for 2019; Executive Summary: Resource Allocation and Management Program (RAMP) Planning, Operations, and Capital Budget Request,

Fiscal Year 2020; Salary Increase Plan for Fiscal Year 2019, SIU; Approval of Fiscal Year 2019 Operating Budget; Approval of Purchase: Identity Management System, Carbondale Campus, SIUC; Approval for Grant of Right of Way Easement on McLafferty Road and Pleasant Hill Road to Delta Communications, LLC, Carbondale Campus, SIUC; Approval for an Easement: University Press Drive, Carbondale Campus, SIUC; Approval of Purchase: HVAC Maintenance Services, School of Medicine Campus, SIUC; Award of Contracts and Proposal to Name Physical Components: Fowler Student Design Center, SIUE; Approval of Salary and Appointment: Dean of the School of Business, SIUE; Approval of Salary and Appointment: Chief Information Officer and Associate Vice Chancellor for Information Technology Services, SIUE; Approval: Add Twelve Parking Lots, SIUE, to the Housing and Auxiliary Facilities System; Proposed Board of Trustees Export Control Policy [Addition of 1 Policy of the Board Section 7N]; and Approval of Purchase: Legal Services for Intellectual Property, Carbondale Campus, Edwardsville Campus, School of Medicine Campus. The motion was duly seconded by Trustee Ryan. The motion passed by the following recorded vote: aye, Mr. Tom Britton, Hon. J. Phil Gilbert, Mr. Brione Lockett, Dr. Shirley Portwood, Dr. Marsha Ryan, Mr. Joel Sambursky, Ms. Amy Sholar; nay, none.

The following item was presented: Consideration of Selection of Funding Allocation Consultant.

Chair Sholar made a motion to accept the Association of Governing Boards (AGB) proposal to provide the study for the University and further authorize the President to take whatever action may be required in execution of the matter in accordance with established policies and procedures to retain AGB for this purpose. The motion was duly

seconded by Trustee Ryan. The motion passed by the following recorded vote: aye, Mr. Tom Britton, Hon. J. Phil Gilbert, Mr. Brione Lockett, Dr. Shirley Portwood, Dr. Marsha Ryan, Mr. Joel Sambursky, Ms. Amy Sholar; nay, none.

Chair Sholar announced that the next Board of Trustees meeting would be held December 13, 2018, at Southern Illinois University Carbondale. The Chair reported that a news conference would be held upon adjournment of the meeting at the Board table following the meeting of the Members of the Corporation of University Park, Southern Illinois University at Edwardsville, Inc.

Vice Chair Gilbert made a motion to adjourn the meeting. Trustee Sambursky seconded the motion. The motion passed unanimously by voice vote.

The meeting adjourned at 11:30 a.m.

Misty D. Whittington, Executive Secretary