

MINUTES OF THE SPECIAL MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
SEPTEMBER 11, 2019

Pursuant to notice, a special meeting was called by the Chair of the Board of Trustees of Southern Illinois University, and the meeting convened at 11:00 a.m., Wednesday, September 11, 2019, in the Board Room of Birger Hall, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Chair Gilbert. The following members of the Board were present:

Hon. J. Phil Gilbert, Chair  
Dr. Ed Hightower, Vice Chair  
Mr. Roger Tedrick, Secretary  
Mr. Ed Curtis - via teleconference  
Mr. Brione Lockett  
Ms. Mackenzie Rogers  
Dr. Subhash Sharma  
Ms. Amy Sholar  
Mr. John Simmons

The Executive Secretary reported and the Chair determined that a quorum was physically present.

Also present for the duration of the meeting were Dr. J. Kevin Dorsey, Interim President; Mr. Lucas Crater, General Counsel; and Ms. Misty Whittington, Executive Secretary of the Board.

Trustee Simmons made a motion to allow Trustee Curtis to join the meeting via teleconference. The motion was duly seconded by Trustee Sharma and was passed unanimously via voice vote

At approximately 11:04 a.m., Trustee Simmons moved that the Board go into closed session to consider pending, probable or imminent court proceedings against or on behalf of the Board; information regarding appointment, employment, compensation, discipline, performance or dismissal of specific employees of the public body; and review of minutes of meetings lawfully closed under the Open Meetings Act. The relevant sections of the Open Meetings Act Statute that allow for the closed session are 5 ILCS 120/2(c) (1), (11), and (21). The motion was duly seconded by Trustee Sholar. The motion carried by the following recorded vote: aye, Mr. Ed Curtis, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons, Mr. Roger Tedrick; nay, none.

At 1:51 p.m., Chair Gilbert made a motion to take a recess and reconvene in the Special Events Room.

At 2:02 p.m., the Board reconvened in open session in the Special Events Room.

Chair Gilbert explained the procedures for the public comment and question portion of the Board's agenda. No persons requested speaking time.

Ms. Judy Marshall, Vice Chancellor for Administration and Finance, SIUC, made her presentation to the Board. She reviewed the campus operating budget is \$355 million. Approximately half of the budget is funded by two sources: state appropriations and tuition revenues. She expressed gratitude that the Governor and legislators supported higher education with a five percent appropriation increase this year. With that increase, the amount appropriated to the SIU system is five percent below the FY15 funding level. Ms. Marshall reviewed the process of how the general operating revenue budgets are

developed. She spoke of other major revenue sources which include externally funded grants, revenue bond operations, and self-supporting funds and how those budgets are developed. Ms. Marshall reviewed current financial challenges to include that the campus net tuition budget was \$10 million less this year with enrollment remaining the biggest challenge. She reviewed waivers that the campus provides. In total, \$38 million in tuition was waived last year to include Illinois Veterans' Grants waivers totaling \$3.5 million. Institutional scholarships are underfunded by approximately \$5 million each academic year. Ms. Marshall reviewed that employee compensation is 76 percent of the general operating budget, and each one percent salary increase costs \$1 million from the general operating funds and \$1 million from other funds. She reported that the five percent increase in state appropriations was more than offset by the decrease in tuition revenues; however, adequate compensation for all employees remains a priority. She spoke of a related challenge in the increase in minimum wage with the impact this fiscal year to be approximately \$300,000, but in following years it will be \$1 million in additional salary costs each year with the cumulative impact with full implementation in Fiscal Year 2025 to be \$6 million annually. Ms. Marshall noted the estimates do not take into account salary compression.

Vice Chancellor Marshall reviewed unrestricted cash reserves. The tuition fund reserve was depleted in FY16 when the state appropriation for the campus was cut \$73.4 million. The resulting deficit of \$38.2 million at June 30, 2017, was reduced to \$25 million as of June 30, 2019. She anticipated the deficit would be covered within the next five years as agreed upon in the Financial Sustainability Plan approved by the Board of Trustees in July 2017. Since FY15, the campus has cut \$31 million in spending or 15

percent of the general operating budget. She noted that the campus is currently undergoing a \$5.1 million or three percent budget reduction exercise to reduce expenses to make sure it does not spend more funds than it receives in revenue during the course of the current fiscal year.

The Vice Chancellor shared positive news with the Board. She reported that the campus had not overspent its general operating budget with the exception of FY16 when appropriated funding was reduced \$73 million. The Forever SIU fundraising campaign met its \$75 million goal with seven months to go with over half of the donations designated to scholarships which will help reduce the reliance on tuition waivers for student support. The capital bill passed by the state will provide much-needed funding for building renovations and deferred maintenance, which will help reduce the reliance on university funds for those expenses. New programs including nursing, occupational therapy, physical therapy, business analytics and others will attract students and benefit the region. The campus is investing in faculty in key programs to position it for growth hiring 23 this year and planning for 35 next year. She reviewed that the Chancellor had remarked that the campus is gaining momentum that will lead to enrollment growth over time.

Vice Chair Hightower inquired about what type of relief the campuses would have with the approval of recent capital funding. Ms. Marshall estimated that once the funding started for a six year plan it would mean \$5 million to \$6 million per year for the campus and would be used for items such as busted water pipes and patching roofs that had been funded by monies that could be used for other purposes such as scholarships or staff compensation. Chancellor Dunn provided an example from his experience earlier in his career at another campus that monies were received to renovate a building, and they

concluded to build a new smaller building that reduced energy and maintenance costs was a better investment.

Chair Gilbert inquired whether SIU had received any capital funding. Ms. Marshall said SIU had not to date. The Chair asked if the funds come earmarked for certain projects. Ms. Marshall responded that some projects may be earmarked but some funds may be earmarked for deferred maintenance.

Chancellor Pembroke reviewed that SIUE has a deferred maintenance list and some items on the list are more urgent than others. He estimated that for SIUE, \$24 million of deferred maintenance funds would allow the campus to address the top 10 to 15 items. He reviewed that when funds are awarded to create new structures, it does not include funds to maintain the structures so the campuses need to build the maintenance costs into its budgets.

Trustee Simmons asked if Ms. Marshall would clarify about the funding of the Illinois Veterans' Grants waivers. Ms. Marshall reviewed that the state had not funded those grants since FY2012, and SIU is continuing to honor those grants for veterans. Those have cost \$3.5 million to \$5 million per year in recent years, and SIUC may be one of largest impacted in the state.

Trustee Sholar inquired what the campus' current deficit was in the income fund, and Ms. Marshall reviewed it to be \$25 million.

Mr. Bill Winter, Budget Director, SIUE, reviewed budget discussion materials for SIUE which were included in Board Agenda Item M for the Fiscal Year 2020 Budget. He reviewed that the campus had approximately \$303 million budgeted for expenditures. He noted that approximately 72 percent of the general operating budget is allocated for personal services which includes employee salaries as well as student worker wages. He clarified that although the planned operating results reflected on the schedule was a negative number being revenue minus expenses, it did not reflect overspending or a structural deficit. Rather, it showed timing of planned projects, and he noted there was a cash fund balance of nearly \$13 million in revenue bond operations and a cash fund balance of more than \$45 million in self-supporting activities. He went on to say the schedules were prepared at a certain snapshot of time. Mr. Winter noted that on Page 14, enrollment was projected to be down 481 students at the time the schedule was prepared, but actual tenth day enrollment was 261 students higher than budgeted; therefore, the campus would have higher levels of revenue. He noted that spring, fall, and summer semesters were traditional times to measure enrollment; however, the campus added a number of programs that occur in seven or eight week semesters and online programs that are new factors that can result in higher tuition. Total revenue increased over the prior year by approximately \$2.4 million. The increase reflected the one percent personal services salary increase approved by the Board during the prior fall. He discussed the minimum wage increase would impact SIUE by approximately \$300,000 for the year.

Mr. Winter noted that grants and awards had increased due to the state's additional support of the Monetary Assistance Program (MAP) and Aspirational Institutional Match Helping Illinois Grow Higher Education (AIM HIGH). He reported that the state's recent increase in appropriation would be paying for the proposed salary increase of 2.75 percent for SIUE employees on the Board's agenda for consideration for the next day. Should the Board approve that salary increase, that increase would be reflected in next year's budget schedule. He reviewed Table C that demonstrates how the campus spends its budget by function. He noted SIUE is increasing its investment in instruction and scholarships. The campus has under \$12.8 million which includes undergraduate waivers of approximately \$6.6 million. Mr. Winter reported that the average SIUE tuition waiver per student is \$581 while the state average is \$912 per student. He pointed out that SIUE was well below the state's average of waivers, but SIUE also has lower tuition rates than the other universities.

Mr. John Horvat, Associate Provost for Finance and Administration, SIU School of Medicine, reviewed budget discussion materials for the School of Medicine. He compared the increase in revenue and expenditures from 2019 to 2020. He noted that the state's increase in appropriation of \$1.6 million would be primarily paying for the proposed salary increase for employees on the Board's agenda for consideration at the next day's meeting. He reported that the School of Medicine also had some faculty promotions and union negotiated salary increases. He noted that the schedule reflected for 2020 \$13.3 million for tuition or a three percent tuition increase. Mr. Horvat reviewed that a component of indirect cost recovery is \$6.2 million in grants from SIU Health Care Federally Qualified Health Center it pays for services provided. Included in self-supporting funds of \$115

million is \$8.1 million from hospital partners for academic support which includes additional investment in pediatrics, psychiatry, neurology, and residency programs. He noted that \$5 million of the \$19 million increase in self-supporting activities comes from a pilot program with the Department of Corrections to provide healthcare to incarcerated populations in central and southern Illinois. Bond operations have increased \$3 million mostly coming from SIU Healthcare clinical operations.

Mr. Horvat reviewed categories of expenditures. For unrestricted funds, he noted the increase in personal services of \$12 million. Part is due to a shift of \$4.9 million in increased funding from hospital partners to support pediatrics, psychiatry, and neurology. It includes the costs of pay increases for clinic staff. It also includes \$1 million for the Department of Corrections pilot program. The decrease in equipment reflects a decrease due to the new building in Carbondale for Physician Assistant Program funded in 2019. Commodities and contractual services increased due to the Department of Corrections pilot program. For restricted funds, he reported an increase of \$3.6 million which includes additional staff in selected areas.

Trustee Sholar inquired about the pilot program with the Department of Corrections. Dean Kruse reported that the program is to deliver services to incarcerated individuals at Vienna, Pinckneyville, and Lincoln Women's Correctional Centers. He reported that the Medical School's long-term goal would be for the SIU School of Medicine to provide outpatient services for half of the Department of Corrections, and for the University of Illinois Chicago to provide the other half.



Chair Gilbert inquired about the services that the SIU School of Medicine would provide to the Department of Corrections versus those currently being provided by Wexford Health. Dean Kruse noted that the Medical School would work with Wexford Health to understand what services Wexford Health is providing and determine the best solution for services needed.

Vice President Stucky reviewed the central office functions. He reviewed positions in the President's Office funded by state funds of \$2 million. Due to decreases of state funding over time, Dr. Stucky reviewed units and positions that are funded by a mix of state funds of \$2.8 million and non-state funds of nearly \$2.2 million. He described the job functions of the staff and how costs are allocated to the campuses.

Vice Chair Hightower inquired about an example where if shared services were to become a responsibility under Vice President Stucky's area, would a budget increase be needed to address specific issues under the umbrella of central responsibilities. Dr. Stucky noted the purpose for shared services would be to not add staff but to reassign staff where needed and realize cost savings. He expressed agreement that there would need to be staff in the central office to oversee the shared service. Dr. Stucky spoke of a second model where a campus could be assigned responsibility for a shared service and the campus may report centrally for that item.

A ten-minute recess was taken.

Dr. Meera Komaraju, Interim Provost at SIU Carbondale, provided an enrollment update for the campus. For Fall 2019, the campus had 1,037 first-time freshmen. New transfer students were 1,268. There was a freshmen decline of 8.75 percent as compared to recent declines of 24 percent and 20 percent. Transfer students increased .6 percent from last year. She reported graduate enrollment was relatively flat at 2,683, which was less than a 1 percent decline as compared to an 8.29 percent decline the prior year. The campus had a yield rate of 29 percent as compared to prior rates of 25 percent and 23 percent. The freshmen average ACT score is 24.4 up from 23.65. The campus retention rate is 75 percent, an increase from 72.2 percent and 67 percent the last two years. She reviewed three overarching goals to be the following: (1) increase the number of potential students and high school counselors who visit campus, (2) apply a more strategic approach in how time, money and other recruitment resources are used, and (3) ensure students starting the application process follow through. Further, the Provost reviewed with the Board specific strategies to meet the goals to include student centered initiatives, technology, relationship building, and marketing and communication. She provided the yield rates for the campus, undergraduate and graduate enrollment, and on and off-campus headcounts for the period 1991 to 2019.

Trustee Sharma inquired if the campus is still involved in military bases. The Provost confirmed the campus is. Chancellor Dunn noted that after events of September 11, the University has less access to military bases. The Provost referred to a slide with on- and off-campus enrollment and noted that the off-campus numbers included military programs and also online enrollment.

Vice Chair Hightower provided praise to their team for the work they have done in their enrollment efforts. Chair Gilbert expressed agreement with Dr. Hightower's remarks.

Dr. Denise Cobb, Provost at SIU Edwardsville, provided an enrollment update for the campus. Tenth day enrollment is 13,061 for a decrease of 1.6 percent. Diversity was increased in the freshmen class. First time freshmen are 1,667 for a decrease of about 2 percent. Online enrollment has increased. She spoke of potential markets of adults with some college with no degree, and online courses will help those students. New transfer students are 1,047. New graduate students increased about 16 percent, and overall graduate students increased 10 percent. Those increases were seen, in particular, in areas of nursing and in business. There are 162 new international students. The freshmen first-time full-time retention rate is 78.69 percent. She spoke of a smaller pool and increased competition for high school graduates and efforts made with community college partners so that they know what is available at SIUE. The Provost reported that responsibilities were shifted around for a position in her office, and they were able to hire an Assistant Provost for Academic Equity, and Inclusive Excellence who works with advising and enrollment management, and this year that office has an African American Male Initiative. She reviewed areas they identified to increase enrollment which brought about 370 students. They offered a master's degree of public health. They offered an accelerated online master's in business administration program. They offered enhanced transfer scholarships. They asked for and the Board approved active military tuition rates. They provided STEM awards. They provided a summer science program. They provided a registered nurse to bachelor of science degree in nursing community college scholarship program. They

created a medical lab scientist corporate partnership. They provided transitional support to Lindenwood College at Belleville.

Trustee Tedrick inquired how the campus was able to achieve staying flat on international student enrollment. The Provost responded that the campus adopted the model to use international recruiting agents. She spoke of efforts made to acclimate the international students with international hospitality partners to be comfortable when they visit the campus. Chancellor Pembroke noted having the international partners to visit campus is important so that they can communicate to students that certain housing needs and access needs will be met.

Trustee Tedrick inquired how the campus increased its online master of business administration degree students. Dr. Cobb noted the campus followed best practices, marketed the program heavily on social media and also offered alternate start times during the year so as to not lose potential online MBA students.

Vice Chair Hightower praised Vice President Allen for bringing the presentation to the Board and noted SIU's commitment to tell its story to recruit students to its campuses. He asked what the Board can do to help move the initiative forward.

Chancellor Dunn reported recent positive news of state support and stability of the Board is helping the campus receive a positive response from the public. Chancellor Pembroke noted from the presentation that there were a number of complex ideas to increase enrollment, and it was not just one fix. He noted the need to continuously advocate for funding such as AIM HIGH grants to continue to restore confidence so that students stay in Illinois.

President Dorsey inquired if SIU would be prepared to take students from small liberal arts colleges that may close in the future. Chancellor Pembroke noted that universities need to be flexible to take a person who is 70 percent along in a program to accept most of their credits. Chancellor Dunn confirmed that the University could work with students for degree completion. President Dorsey inquired, and Vice President Allen confirmed that the Illinois Board of Higher Education now has a full-time staff member who works to assist with such matters as universities are streamlined.

Dr. Jerry Kruse, Dean and Provost, SIU School of Medicine, made a presentation on rural health for the future. He reviewed that all SIU campuses were doing some innovative things in rural health. He reported maternal mortality is rising in the United States as it declines elsewhere. Spending and primary care career choices of medical students, physician assistant students, and nurse practitioner students has declined over the 1998 to 2008 time period. Dean Kruse reported that premium rates for insurance plans are higher in rural areas, access is worse, and costs are higher.

Dean Kruse discussed some strategies to improve rural health and rural healthcare. He noted the need to train more healthcare professionals in rural sites, provide incentives for practice, reform the admission processes, target special problems, such as the opioid epidemic, rural mental health and suicide and barriers to care. Dr. Kruse said more innovation and scholarships are needed. He reported another area to improve is the on-site rural healthcare workforce and to provide physicians that can serve the rural area as well as physician assistants, nurse practitioners, social workers, pharmacists, physical therapists and psychiatrists. Dean Kruse stressed the essential need to have community health workers and noted that the School of Medicine has taken the lead in that

development. He discussed one of the essential pieces of the workforce is availability but not necessarily on site. Dr. Kruse recommended promoting advocacy through legislators, government, and in private areas. He reported the number of medical students who choose a career in primary care has drastically declined, and the Medical School is trying to counter the decline through the Lincoln Scholars program which will start in June of 2020 where we will have medical students who will study all four years in Carbondale, working in rural areas.

The Dean discussed the opioid crisis and illustrated how SIU is well positioned because of centers SIU has to treat the crisis. He reported the School of Medicine has a set of federally qualified health centers, and it is the largest number of sites, staff members, and providers who are full-time university employees of any medical school in the United States, with medical personnel certified and trained on the medical opioid disorder. He discussed the new residency program, which will start in 2021 in Alton in partnership with BJC Healthcare and Alton Memorial.

Dean Kruse noted for 66 counties, the Medical School has educational programs for opioid training for healthcare providers, and from the southernmost 33 counties the Medical School is responsible for the prescription monitoring program. The Dean reported that Dr. Wiley Jenkins, Science Director of Population Science and Policy, received a \$5.1 million grant over three years from the National Institutes of Health to study those who abuse substances and have infections from the substance abuse. Dr. Kruse stated the organizational vision would be collaboration among all programs at all SIU sites.

Vice Chair Hightower inquired about health care for impoverished communities such as Venice, Madison, Brooklyn, Cahokia, or East St. Louis. Dean Kruse noted that the presentation was about rural health; however, the Medical School may need to expand in metro east areas as well.

Trustee Tedrick asked Dean Kruse to discuss how students would be trained in each area. Dean Kruse reviewed those specialty programs available at Carbondale and at Edwardsville in which students would learn. Chancellor Pembroke reviewed that the Nursing School recently received a grant to train nurse practitioners in rural areas and noted coordination would be needed for this effort. Trustee Sholar noted the shortage of mental health services and, in particular, child psychiatrists in the region. The Chair noted rural health will be a Board initiative and at the following day's Board meeting he would appoint an Ad Hoc Committee to study health care needs in rural areas. Trustee Tedrick will chair the committee and Trustee Curtis will serve as a member. It will include the three Provosts and others as needed. In addition, the Chair reported that Senator Durbin appeared during the prior week in both the Carbondale and the Metro East area and talked about the critical healthcare needs facing millions in rural Illinois, and he felt the University has an obligation to help. Dean Kruse noted the importance of making rural health positions appealing. He also spoke of telehealth medicine where psychiatry, dermatology, and neurology have taken the lead on telemedicine where a physician can treat a patient long distance via video conference. He explained that the rural medicine initiative would not be the sole focus of the Medical School, but this is an expanded focus. President Dorsey noted such collaboration among the system may bring support from legislators.

Chair Gilbert circulated to the Board members the Ohio Valley Conference Certification. Chancellor Pembroke spoke to the document and notified the Board that the Chair signs the document annually for SIUE.

At approximately 4:40 p.m., a motion was made by Trustee Sholar and seconded by Trustee Simmons to adjourn the meeting. The motion passed by a voice vote.

No action was requested or taken during the closed session.

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Roger Tedrick, Secretary



MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES  
SOUTHERN ILLINOIS UNIVERSITY  
SEPTEMBER 12, 2019

The regular meeting of the Board of Trustees of Southern Illinois University convened on Thursday, September 12, 2019, at approximately 10:04 a.m. in the Conference Center, Morris University Center, Southern Illinois University Edwardsville, Edwardsville, Illinois. The meeting was called to order by Chair Gilbert. The following members of the Board were present:

Hon. J. Phil Gilbert, Chair  
Dr. Ed Hightower, Vice Chair  
Mr. Roger Tedrick, Secretary  
Mr. Brione Lockett  
Ms. Mackenzie Rogers  
Dr. Subhash Sharma  
Ms. Amy Sholar  
Mr. John Simmons

The following member of the Board was absent:

Mr. Ed Curtis

Executive Officers present were:

Dr. J. Kevin Dorsey, Interim President, SIU  
Dr. John M. Dunn, Interim Chancellor, SIU Carbondale  
Dr. Randall Pembroke, Chancellor, SIU Edwardsville  
Dr. Jerry Kruse, Provost and Dean, SIU School of Medicine  
Mr. Lucas Crater, General Counsel  
Dr. Jim Allen, Acting Vice President for Academic Affairs  
Dr. Duane Stucky, Senior Vice President for Financial and Administrative Affairs and Board Treasurer

Also present was Ms. Misty Whittington, Executive Secretary of the Board.

NOTE: Copies of all background documents furnished to the Board in connection with the following matters have been placed on file in the Office of the Board of Trustees.

Chair Gilbert led the group in the Pledge of Allegiance.

The Executive Secretary reported and Chair Gilbert determined that a quorum was physically present.

Chair Gilbert recognized former Board member Shirley Portwood was in attendance.

Chair Gilbert appointed the following to an Ad Hoc Committee to study shared services: Trustee Ed Curtis, Chair; Trustee Roger Tedrick, member; and Vice Chair Ed Hightower, alternate member. Support will be provided by Vice President for Academic Affairs Jim Allen and Senior Vice President for Administrative Affairs and Board Treasurer Duane Stucky.

Chair Gilbert appointed the following to an Ad Hoc Committee to study health care needs in rural areas: Trustee Roger Tedrick, Chair; Trustee Ed Curtis, member; Provost and Dean Jerry Kruse, member; Provost Denise Cobb, member; Provost Meera Komarraju, member; and Vice President for Administrative Affairs and Board Treasurer Duane Stucky, member. Support will be provided by the Dean of the Dental School, Medical School staff, as well as reaching out to local healthcare providers. Chair Gilbert stated that the committees are to have access to system and campus staff as needed so that they can undertake the studies.

Trustee Rogers moved that the Minutes of the Meetings held June 19, 2019; and July 17 and 18, 2019, be approved. Trustee Simmons seconded the motion, and the minutes were approved unanimously by voice vote.

Under Trustee Reports, Chair Gilbert provided a report of recent activities of the Board. He remarked on how the Board had become active and cohesive. He reviewed some projects underway to improve the Southern Illinois University system.

Related to shared services, he reviewed that at the Board's June retreat, Association of Governing Boards Consultant Dr. Carol Cartwright indicated that almost all university systems achieved savings through service consolidation and suggested that may be an area SIU would wish to examine. The Board requested President Dorsey provide a report on the feasibility of shared services in two critical areas where substantial efficiencies were most likely: information technology and enrollment management. The President provided the Board an inventory of what the campuses have and what else the campuses might do more efficiently together. Earlier in the day's full Board meeting, the Chair appointed an Ad Hoc Committee to study shared services. Their charge is to study best practices at other university systems in the areas of information technology and enrollment management and also marketing and communications at the system level. The committee should investigate how the four areas can be enhanced beyond what the campuses are already doing. The Chair noted that the Board is looking for ways to address economies of scale for the system and address opportunities for growth because it serves as fiduciary of the SIU system. A proposed model of shared services should be ready for Board consideration and action at the December Board meeting.

Related to rural health, the Chair reviewed that also at the June Board retreat, there was a discussion regarding instituting a rural health initiative to address the critical needs of health care services in the rural areas of Illinois and how the SIU System can be a leader in that endeavor. He reported that during the prior week Senator Durbin in both

the Carbondale and the Metro East area talked about the critical healthcare needs facing rural Illinois. The Chair noted that earlier during the day's meeting, he appointed an Ad Hoc Committee to study the issue and report back to the Board what the SIU System can do to address the health care needs in the rural areas in Illinois.

Related to allocation, the Chair reviewed that at the July Board meeting, the Board approved by Board action a split of new monies received to the University by a 50/50 allocation between the Carbondale and Edwardsville campuses for the year. It is the intent of the Board that the same allocation of new monies will be followed for three years. This action will serve as a template for the Board to evaluate future funding allocations beginning FY2022. Further, it will assist the Board and new President in evaluating the system operation including all campus funding allocations for operation, enrollment, and other needs. Also at the July Board meeting, the Chair appointed Finance Chair Roger Tedrick to be in charge of an allocation working group. That group is to look at advancing opportunities regarding allocation. He and Vice Chair Hightower are working with some system and campus staff to look at an allocation method that is fair to each campus. That group's work is underway, and it is to provide its findings to the Board by November 8.

Related to the presidential search, Vice Chair Hightower is chairing the Presidential Search Advisory Committee. The Committee met in Carbondale on September 10 to review applications, and Dr. Hightower indicated that he was pleased with the quality of candidates applying. Chair Gilbert reported that the full Board received an update on the search from its search consultant on the prior day, and the search is on track to have the new President on board by the end of December or early January. He reviewed qualifications the Board is looking for in a president. He thanked the Board for all of its hard

work. He did note that handouts regarding his report would be available following the day's press conference.

Under Committee Reports, Chair Gilbert reported that the Executive Committee had not met between Board meetings.

Under Executive Officer Reports, President Dorsey provided his report for the Southern Illinois University system. The President discussed some of the disruptive trends affecting institutions of higher education such as demographics and technology. He pointed out that the next system President would have an opportunity to assist the campuses in fulfilling the mission to serve the people of the region and to improve the lives of all who live here.

The President noted the commitment of Governor Pritzker and the legislators to reinvest in public higher education through the increase of five percent in state appropriations, the funding of the Monetary Assistance Program (MAP) and Aspirational Institutional Match Helping Illinois Grow Higher Education (AIM HIGH) students, the support of a capital bill for new construction and deferred maintenance, and requiring high school students to complete the Free Application for Federal Student Aid (FAFSA) to make them aware of federal funding available. In addition, the President reviewed that the Governor signed a bill to give both SIU Student Trustees a vote on the Board of Trustees. Dr. Dorsey stated that he believed they view higher education as an investment in the state and want to know how it is working and ensure each institution is doing its part.

President Dorsey reported that during the same day the first annual Veteran's Summit was being held in Mt. Vernon. The conference hopes to link providers and services with veterans so they may take advantage of those services. The President announced the Southern Illinois University Technology and Innovation Expo will be held October 10 in Chicago with this year's keynote speaker Sarah Robinson of Google. She will be discussing transforming healthcare with machine learning. President Dorsey noted the Salute to Illinois Scholars will occur on October 15 in Effingham. Further, the President discussed the initiative by Senator Andy Manar to create a university campus in downtown Springfield and the advantages it would offer both Southern Illinois University and the University of Illinois. In closing, President Dorsey reported that he and Dr. Wes McNeese, System Executive for Diversity Initiatives, had reviewed the membership of the Diversity Advisory Council and made appointments to it.

Dr. John Dunn, Interim Chancellor, SIU Carbondale, provided his report for the Carbondale campus. He discussed the exciting start to the school year through a number of events to include: matriculation exercises, move-in assistance by upperclassmen, Light Up the Lake event, Watermelon Fest, Quad Party, Involvement Fair, and Saluki Spirit Pep Rally. The Chancellor noted such events were opportunities for the campus to make sure students and their families are warmly welcomed, and the students get off to a great start meeting other students with shared interests, and to learn about opportunities on campus.

The Chancellor reviewed campus enrollment and noted that ACT scores had once again increased but reported the need to look at more than just test scores and look at all the talents of the students to enhance their opportunities to be successful in higher education. Dr. Dunn noted that SIUC has revised the AIM HIGH scholarships to be more supportive to students with mid-range scores, students who didn't receive scholarships in the past but deserve support to recognize their talents. He stated SIUC is working closely with community college partners such as Kaskaskia College with whom SIUC has 16 new program agreements. The Chancellor reported they had met with Shawnee College, Southeastern Illinois College, John A Logan College, and with The City Colleges of Chicago. He noted high demand programs like nursing and business analytics will help with increasing enrollment.

Chancellor Dunn spoke about some activities that support student life. He noted that the Marching Salukis have 171 members from 60 different majors. The Living-Learning Communities are groups where students live together with shared interests. The eSports arena was recently opened with over 44,000 views to its website. The Chancellor noted that SIU Carbondale was chosen in the Best *U.S. News World Report* rankings as well as the *Center for World University* rankings. He stated the *Wall Street Journal* and *The Times* higher education rankings had SIUC higher in the rankings from the prior year.

Dr. Dunn highlighted some faculty research being conducted. David Coulter, post-doctorate researcher, is conducting research on the decreased nurturing behavior of male fathead minnows due to polychlorinated biphenyls (PCBs). Shalane Scott, McNair Scholar, is researching triggers for domestic violence and how we can mitigate and hopefully eliminate some domestic violence. Law School Dean Cindy Buys received the

Richard H. Thies Legislative Support Award for work from the Illinois State Bar Association for her work early in securing the ratification of Equal Rights Amendment and improved consular notification in Illinois. Rob Lopez, Director of the School of Art and Design, was awarded the 2019-2020 Emerging Arts Administrator Fellowship by the National Council of Arts Administrators. Further, the Chancellor noted that SIUC is the first university in the state to earn Level II Arboretum Accreditation from the Arbnets Arboretum organization.

Chancellor Dunn noted he had the opportunity to greet the Governor at the Springfield Illinois State Fair as well as the DuQuoin State Fair. The Governor expressed interest in both the School of Medicine and SIUC that were being highlighted in the booth at each fair and purposefully stopped to visit. The Chancellor further noted that the Governor offered to write a personal letter to faculty we are recruiting to encourage them to accept the opportunity to come to the University. Dr. Dunn spoke of the 150th celebration and the many places he and staff have traveled to get out the message such as Pinckneyville, Springfield, DuQuoin, California, Florida, and New York.

Dr. Randall Pembroke, Chancellor, SIU Edwardsville, provided a report for the Edwardsville campus. Chancellor Pembroke reviewed some internal collaborations between Edwardsville, Carbondale and the School of Medicine. He discussed three Cooperative Ph.D. programs with SIUC: engineering, environmental resources and policy, and history, which has a total of 17 students enrolled within the three programs. The Chancellor discussed a system collaborative grant for new biomedical research. He reviewed some external collaborations: (1) BJC Healthcare and Gateway Regional Medical Center with the medical lab scientist cohort, (2) the School of Pharmacy created a Dangers and Consequences of Opioid Abuse Badge with the Boy Scouts of America, and (3) the



ES: Fueling Growth Program funded by the USDA partnership with the University of Illinois Extension and the Monroe County Economic Development Corporation.

The Chancellor reviewed enrollment data through strategic plan goals. He discussed the effects of recruitment and retention and reported on tenth day enrollment as well as some of the effects on the student's academic experience. Dr. Pembroke reported this was the first year for SIUE to be in the Carnegie Doctoral Professional category and *Washington Monthly* rated SIUE in the top 30 percent. He reported the School of Nursing online educator and online informatics master's programs received a top ten ranking in the affordable category. Chancellor Pembroke noted Andrew Ahlers, SIUE alumni, was named to the *St. Louis Business Journal's* 30 under 30 class of 2019, and the School of Engineering solar car team received the Battery Pack Design Award. Dr. Pembroke discussed some co-curricular experiences on campus. He reported on some of the new fall activities, the academic convocation, class photo, and volunteer hours to make it a success by dedicated Cougar guides.

Chancellor Pembroke reported a collective bargaining agreement was reached with the Faculty Association for FY19 to FY22. He stated support for new faculty hires for the next three years would be funded by the Strategic Diversity Hiring Fund. He reviewed some faculty awards: Terri Poirier, School of Pharmacy Senior Scholar and Professor of Pharmacy Practice, received the 2019 American Association of Colleges of Pharmacy Distinguished Teaching Scholar Award, and Dr. Diana Jason Isaacs, SIUE School of Pharmacy alumna was named Educator of the Year by the American Association of Diabetes Educators.

The Chancellor reviewed some of the community partnerships, the two plus two partnerships with ten community colleges regarding the online registered nurse to bachelor of science program, the Access Program, previously Disability Services, working with other institutions such as Washington University and University of Missouri St. Louis (UMSL) through bridge programs and disability rights. He highlighted some fundraising partnerships within the community, the Enterprise Holdings Foundation provided a \$1.5 million donation, and the fraternity/sorority life chapter provided a \$101,938 donation. The Chancellor discussed that 22 camps were offered to 1,732 youth. The Athletics corporate partnership with First Community Bank, as well as the community service project the men's and women's basketball teams had participated in throughout the Metro East School Readiness Programs.

The Chancellor reported on the stewardship of maintaining the university's physical and financial assets. He stated the Science East building received a Leadership in Energy and Environmental Design (LEED) certification at the silver level, the Engineering Building second floor fit-out addition is complete, and University Housing recycled 24.43 tons of material last year. He reviewed upcoming events with the Board. The Bronze Boot men's soccer game will be held September 13. Campus homecoming activities will occur the week of September 23 through 28. Former Board of Trustees Member Shirley Portwood will be honored on September 27 at the Alumni Hall of Fame event, and the second annual Diversity Day will be on October 15.

Dr. Jerry Kruse, Dean and Provost, SIU School of Medicine, provided his report. Dr. Kruse discussed some faculty recognitions. He reported Dr. Don Caspary, Professor of Pharmacology and Otolaryngology, received a grant for \$504,000. Dr. Wiley Jenkins, Science Director of Population Science and Policy, received a UH3 award from the National Institute on Drug Abuse and a \$5.1 million three-year grant for ending transmission of HIV, Hepatitis C, sexually transmitted diseases, and overdose in communities of people who inject drugs. Dr. Anna Cianiolo, Professor of Medical Education, tripled the journalistic impact factor and put the School of Medicine in the top five of medical education journals in the world.

Dean Kruse reported on success of the Equity, Diversity, and Inclusion Pipeline Program established by Dr. Wes McNeese from various high schools in the Springfield 186 District. He noted the SIU School of Medicine received its fifth Aspire to Excellence Award from the Association of Medical Boards of Europe in Vienna, Austria, at the annual conference. The SIU School of Medicine is one of two medical schools in the world to hold Aspire Awards of Excellence in five areas. Dr. Kruse reported Dr. Susan Hingle, Associate Dean and Professor for the Center for Human and Organizational Potential, was chosen as the Georgetown School of Medicine commencement speaker. He reviewed the School of Medicine has two new endowed professorships, bringing the total to eight with David L. Griffen and Christopher McDowell as the newest. He also stated John Flack, Department of Internal Medicine, has been named as the endowed professorship for Internal Medicine.

Dr. Kruse provided highlights of community involvement. He reported that Meghan Golden, Hope Cherry, and Tracey Smith have established a partnership with

Sangamon County Probation and three courts to meet a gap in services needed by court-involved individuals ensuring that medical and psychiatric care is provided. The Dean noted the Department of Population Science and Policy received the Equity Award from the American Public Health Association which will be presented on November 5 in Philadelphia. Lastly, he reported Dr. Vidya Prakash, Associate Professor of Internal Medicine and Infectious Disease, was named the Chair of the Health and Health Care Committee for the State of Illinois, Illinois Council of Women and Girls.

The Chair thanked Chancellor John Dunn for coming to SIUC to assist the University after the passing of Chancellor Carlo Montemagno. He noted that a search for SIUC's permanent Chancellor will be launched soon. Dr. Marc Morris will serve as Chair of the Search Committee. Vice President Jim Allen will staff that search. It is expected the search to take six months, and the new President will have input on the next Chancellor hire.

Chair Gilbert explained the procedures for the public comment and question portion of the Board's agenda.

Mr. Phillip Powe made his presentation to the Board. He spoke of holding master's degrees from both SIUC and SIUE. He spoke of concerns about his experience in applying to a Ph.D. program at SIUC. He provided documentation to the Chair and requested review of the matter.

Chair Gilbert explained the procedure for the Board's omnibus motion.

The listing of items proposed for the omnibus motion were as follows:

CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUC

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Ahmed, Khaled R	Assistant Professor	School of Computing	08/16/2019	\$ 9,540.00/MO \$ 85,860.00/AY
2.	Bae, Chilman	Assistant Professor	Electrical & Computer Engineering	08/16/2019	\$ 9,874.00/MO \$ 88,866.00/AY
3.	Fakhraei, Habibollah	Assistant Professor	Civil & Environmental Engineering	08/16/2019	\$ 9,500.00/AY \$ 85,500.00/AY
4.	Frumkin, Rebekah A	Assistant Professor	English	08/16/2019	\$ 7,112.00/MO \$ 64,008.00/AY
5.	Huang, Chun-Hsi	Director (100%)/ Professor (0%)	School of Computing	08/16/2019	\$ 14,970.00/MO \$ 179,640.00/FY
6.	Hickert, Audrey O	Assistant Professor	Criminology & Criminal Justice	08/16/2019	\$ 7,250.00/MO \$ 65,250.00/AY
7.	Islam, Md Shariful	Assistant Professor (previously Lecturer)	Accountancy	08/16/2019	\$ 20,445.00/MO \$ 184,005.00/AY
8.	Jayakody, Thelhawadigedara	Assistant Professor	Microbiology/ Plant Biology/ Fermentation Science	08/16/2019	\$ 7,778.00/MO \$ 70,002.00/AY
9.	Kamran Disfani, Omid	Assistant Professor	Marketing	08/16/2019	\$ 16,556.00/MO \$ 149,004.00/AY
10.	Lee, Bumsu	Assistant Professor	Physics	08/16/2019	\$ 8,334.00/MO \$ 75,006.00/AY

11.	Lindberg, Lynn A	Interim Executive Director of Innovation & Economic Development (previously Director)	Office of Economic & Regional Development	06/01/2019	\$ 10,000.00/MO \$ 120,000.00/FY
12.	Love, Lanel	Assistant Dean of Students (previously Assistant Director)	Dean of Students (previously Student Multicultural Resource Center)	07/01/2019	\$ 4,882.00/MO \$ 58,584.00/FY
13.	Prozesky, Corn�	Director (previously Associate Director – Facilities)	Recreational Sports & Services	07/12/2019	\$ 7,916.67/MO \$ 95,000.04/FY
14.	Rahimi, Shahriar	Assistant Professor	College of Applied Arts & Sciences – School of Information Systems & Applied Technologies	08/16/2019	\$ 9,000.00/MO \$ 81,000.00/AY
15.	Ryoo, Yuhosua	Assistant Professor	School of Journalism		\$ 7,112.00/MO \$ 64,008.00/AY
16.	Van Alfen, Tyson D	Assistant Professor	Finance	08/16/2019	\$ 21,334.00/MO \$ 192,006.00/AY

B. Leaves of Absence with Pay—

<u>Name</u>	<u>Type of Leave</u>	<u>Department</u>	<u>% of Leave</u>	<u>Date</u>
1. Anterola, Aldwin	Sabbatical	Plant Biology	50%	01/01/2020- 12/31/2020

Purpose: Dr. Anterola will be developing methods for detecting and quantifying pesticides, mycotoxins, heavy metals, residual solvents, cannabinoids, terpenes and microbial contaminants in industrial hemp products, and investigate the browning reaction in avocados. These activities will provide him new skills and collaborative opportunities that he can use to support and enhance cannabis-related education (e.g. course materials and internships), the industrial hemp research program (e.g. partnerships and funding from industry) and his other research projects in SIU. Additional outcomes from these activities would include two manuscripts related to cannabis laboratory testing and avocado browning, respectively, which will be submitted to peer-reviewed scientific journals.

2. Hahn, Robert	Sabbatical	Philosophy	100%	01/01/2020- 5/15/2020 (This leave supersedes previous leave reported on 08/16/2019- 08/15/2020 at 50%)
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C. Awards of Tenure—

<u>Name</u>	<u>Title</u>	<u>Department</u>	<u>Effective Date</u>
1. Huang, Chun-Hsi	Professor	School of Computing	08/16/2019

D. Promotions—None to be reported.

The following changes in faculty-administrative payroll at the School of Medicine/Springfield campus are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Dean and Provost. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

- A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Barbaian, Kara	Assistant Professor	Surgery-SMS	11/04/2019	\$19,583.33/MO \$234,999.96/FY
2.	Barnard, Melanie	Assistant Professor of Clinical Surgery	Surgery-SMS	09/23/2019	\$14,583.33/MO \$174,999.96/FY
3.	Dunkley, Camille	Assistant Professor of Clinical Family and Community Medicine	Family and Community Medicine/ Springfield/SMS	10/07/2019	\$16,667.00/MO \$200,004.00/FY
4.	Fogleman, Amanda	Senior Research Project Coordinator (previously Senior Research Development Coordinator)	Population Science and Policy-SMS	07/01/2019	\$5,218.34 /MO \$62,620.08 /FY
5.	Franklin, Jeff	Director, Center for Rural Health and Social Service Development (previously Rural Health Project Coordinator)	Office of External Relations-SMS (previously Center for Rural Health-SMC)	06/17/2019	\$7,500.00/MO \$90,000.00/FY
6.	Graham, Amanda	Certified Nurse Practitioner	Internal Medicine-SMS	09/09/2019	\$7,500.00/MO \$90,000.00/FY



7.	Hamilton, Christy	Assistant Professor of Clinical Family and Community Medicine (previously Senior Mental Health Coordinator)	Family and Community Medicine/ Carbondale- SMS (previously Student Health Services)	07/08/2019	\$8,050.00/MO \$96,600.00/FY
8.	Ingram, DorDana	Licensed Clinical Social Worker	Psychiatry-SMS	10/07/2019	\$2,916.67/MO \$35,000.04/FY
9.	Jones, Patrice	Education Instructor (previously Assistant Director)	Internal Medicine-SMS (previously Office of Regional Programs)	07/01/2019	\$5,625.00/MO \$67,500.00/FY
10.	Kessel, Laura	Director of Telehealth Networks and Programs (previously Assistant Director)	Office of External Relations (previously Office of Regional Programs)	06/17/2019	\$7,333.33/MO \$87,999.96/FY
11.	Rangel, Deandra	Licensed Clinical Social Worker	Family and Community Medicine/Quincy Clinic-SMS	08/12/2019	\$4,166.66/MO \$49,999.92/FY
12.	Rodriguez, Christofer	Research Project Coordinator (previously Clinical Research Specialist)	Population Science and Policy-SMS	07/01/2019	\$3,750.00/MO \$45,000.00/FY
13.	Scheer, Anne	Assistant Professor (previously Post Doctoral Fellow)	Population Science and Policy-SMS (Previously Population Science & Policy-SMS)	07/01/2019	\$7,166.66/MO \$85,999.92/FY
14.	Smith, Teresa	Executive Director of Human Resources	Human Resources-SMS	07/01/2019	\$12,333.33/MO \$147,999.96/FY

15.	Swied, Abdul Monem	Associate Professor of Clinical Internal Medicine	Internal Medicine-SMS	10/07/2019	\$25,000.00/MO \$300,000.00/FY
16.	Tennill, Robert	Assistant Professor	Emergency Medicine-SMS	07/29/2019	\$19,386.50/MO \$232,638.00/FY

B. Leaves of Absence with Pay: None to report

C. Awards of Tenure: None to report

### CHANGES IN FACULTY-ADMINISTRATIVE PAYROLL – SIUE

The following changes in faculty-administrative payroll are submitted to the Board of Trustees for ratification in accordance with the Board Policy on Personnel Approval (2 Policies of the Board B). Additional detailed information is on file in the Office of the Chancellor. Where appropriate, salary is reported on a monthly basis and on either an academic year (AY) or fiscal year (FY) basis.

A. Continuing Appointment (If the person previously had a University appointment, it is so noted. Otherwise, the person is a new University employee.)

	<u>Name</u>	<u>Rank/Title</u>	<u>Department</u>	<u>Effective Date</u>	<u>Salary</u>
1.	Atkins, Kelly	Assistant Director (previously: Academic Advisor)	Academic Advising	7/01/2019	\$4,616.08/mo \$55,392.96/FY (previously: \$3,055.72/mo \$36,668.64/FY)
2.	Burgdorf, James	Associate Director	Bursar	6/25/2019	\$7,291.68/mo \$87,500.16/FY
3.	Caul, Katie	Counselor	Counseling Services	7/08/2019	\$3,333.00/mo \$39,996.00/FY
4.	Choi, Yohan	Assistant Professor	Management & Marketing	8/12/2019	\$12,445.00/mo \$112,005.00/AY
5.	Cutright, Kelsey	Academic Advisor	Student Affairs	7/15/2019	\$3,025.46/mo \$36,305.52/FY
6.	DeShazer, Ramon	Admissions Counselor	Admissions	7/08/2019	\$2,583.34/mo \$31,000.08/FY

7.	Early, Sam	Hall Director	Housing	7/01/2019	\$2,833.32/mo \$33,999.84/FY
8.	Erwin, Jennifer	Assistant Professor	Social Work	8/12/2019	\$5,888.90/mo \$53,000.10/AY
9.	Gultepe, Eren	Assistant Professor	Computer Science	8/12/2019	\$8,250.00/mo \$74,250.00/AY
10.	Hankins, Michael	Assistant Professor	Chemistry	8/12/2019	\$6,150.00/mo \$55,350.00/AY
11.	Harvey, Elise	Academic Advisor	CAS Advisement	7/08/2019	\$3,025.46/mo \$36,305.52/FY
12.	Hawkins, Carlee	Assistant Professor	Psychology	8/12/2019	\$6,223.00/mo \$56,007.00/AY
13.	Hepner, Abbey	Assistant Professor	Art & Design	8/12/2019	\$5,778.00/mo \$52,002.00/AY
14.	Hochreiter, Wendy	Assistant Professor	Primary Care	8/12/2019	\$6,600.00/mo \$59,400.00/AY
15.	Ibroscheva, Elza	Associate Provost	Office of the Provost	7/01/2019	\$12,084.00/mo \$145,008.00/FY
16.	Jiang, Yi	Assistant Professor	Mathematics & Statistics	8/12/2019	\$6,111.12/mo \$55,000.08/AY
17.	Jones, Ariel	Assistant Professor	Social Work	8/12/2019	\$6,111.12/mo \$55,000.08/AY
18.	Jones, Micah	Admissions Counselor	Admissions	7/08/2019	\$2,583.34/mo \$31,000.08/FY
19.	Kaviani, Sepideh	Assistant Professor	Nutrition	8/12/2019	\$6,223.00/mo \$56,007.00/AY
20.	McClery, Rachel	Admissions Counselor	Admissions	7/08/2019	\$2,583.34/mo \$31,000.08/FY
21.	McGuire, Kelley	Assistant Professor	Primary Care	8/12/2019	\$6,600.00/mo \$59,400.00/AY
22.	Meng, Diane	Researcher	Institutional Research	6/03/2019	\$3,696.66/mo \$44,359.92/FY
23.	Plemmons, Alicia	Assistant Professor	Economics & Finance	8/12/2019	\$10,000.00/mo \$90,000.00/AY
24.	Rogers, Taylor	Counselor	Counseling Services	6/04/2019	\$3,333.00/mo \$39,996.00/FY

25.	Staley, Kayla	Admissions Counselor	Admissions	8/24/2019	\$2,583.34/mo \$31,000.08/FY
26.	Temko, Ezra	Assistant Professor	Sociology	8/16/2019	\$5,666.68/mo \$51,000.12/AY
27.	Toberman, Ian	Assistant Director (previously: Academic Advisor)	Honors	6/10/2019	\$4,616.18/mo \$55,394.16/FY (previously: \$3,340.58/mo \$40,086.96/FY)
28.	Wesemann, Andrew	Assistant Professor	Public Administration & Policy Analysis	8/12/2019	\$6,111.12/mo \$55,000.08/AY
29.	Whetstone, Rodrick	Assistant Professor	Art & Design	8/12/2019	\$5,777.78/mo \$52,000.02/AY

B. Leaves of Absence With Pay – None to report

C. Awards of Tenure- None to report

D. Awards of Promotion- None to report

REVISED AND AMENDEDSCHEDULE OF MEETINGS OF THE BOARD OF TRUSTEES FOR 2020

As a traditional practice and for convenience in meeting certain provisions of the Open Meetings Act, Board meetings have been scheduled on an annual basis. Custom has called for scheduling alternate meetings on alternate campuses of the University. Approval is requested for the schedule listed below:

February 13	Southern Illinois University Edwardsville
April 30	Southern Illinois University Carbondale
July 9	Southern Illinois University Carbondale School of Medicine, Springfield
September 17	Southern Illinois University Edwardsville School of Dental Medicine, Alton
December 3	Southern Illinois University Carbondale

The following schedule reflects deadlines and mailing dates for the 2020 Board of Trustees meetings:

<u>Deadline Dates for Receipt of Proposed Agenda Items</u>	<u>2020 Mailing Dates Agenda and Matters</u>	<u>2020 Meeting Dates Board of Trustees</u>
Monday, January 13	Friday, January 31	SIUE – February 13
Monday, March 30	Friday, April 17	SIUC – April 30
Monday, June 8	Wednesday, June 26	SOM - July 9
Monday, August 17	Friday, September 4	SDM - September 17
Monday, November 2	Friday, November 20	SIUC – December 3

APPROVAL OF PURCHASE: LIMESTONE, CARBONDALE CAMPUS, SIUCSummary

This matter seeks to enter into a purchase order for the acquisition of limestone for the Power Plant facility on the SIU Carbondale campus. The contract for this service is based on the maximum value of \$1,812,470.00 or for the contract term ending September 30, 2024, whichever expires first.

Rationale for Adoption

To meet the future needs of the Power Plant, the University wishes to establish a professional relationship with the selected vendor to provide quality limestone. A five-year contract will provide the University with the opportunity to establish a consistent price for a daily-use commodity. In accordance with the Illinois Procurement Code and the SIU Board of Trustees Policies, a Request for Quotation (RFQ) was issued for purchase of limestone to be used at the SIUC Power Plant facility. There was one vendor that responded to the request. Funds for this purchase are available from the Utilities Purchased Services account.

This matter is recommended for adoption by the Interim Chancellor and the Vice Chancellor for Administration and Finance, SIUC.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The purchase order for the acquisition of limestone for the SIU Carbondale Power Plant facility is hereby awarded to Mississippi Limestone Company of Ste. Genevieve, MO, in the amount of \$1,812,470.00 or for the contract term ending September 30, 2024, whichever expires first.

(2) The Board of Trustees hereby authorizes the purchase of goods and services associated with this award.

(3) Funding for this purchase will come from the Utilities Purchased Services Account.

(4) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

PROJECT AND BUDGET APPROVAL: GREENHOUSE RENOVATION AND  
EXPANSION, SIUE

Summary

This matter seeks project and budget approval for the Greenhouse Renovation and Expansion on the Edwardsville campus. Improvements consist of renovation of the existing 1,500 square feet of the Science Complex Greenhouse, addition of a second 1,500 square foot wing of the Greenhouse plus a new 600 square foot head house that will serve as a workspace and control center. The total project estimate is \$2,550,856 and is being funded through the Capital Development Board (CDB) at \$2,038,834 and SIUE at \$512,022 through private donations and University reserves.

Rationale for Adoption

The Biology Greenhouse improvements would complete the Science Complex renovations on campus. The first two components included the construction of a new Science West building and the renovation of the original Science East building. The renovated and enlarged greenhouse will better enable the Department of Biological Sciences and the Department of Environmental Sciences to perform research, using state-of-the-art greenhouse systems and controls to create and sustain four distinct plant environments.

The Governor's Office of Management and Budget (GOMB) has approved the project. The CDB has released the remaining Science Complex project funds totaling \$2,038,834 to support the Greenhouse project and SIUE will fund the remaining \$512,022 with private donations and University reserves. The Chancellor and the Vice Chancellor for Administration, SIUE, have recommended this matter to the Interim President.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The project and budget of \$2,550,856 for Greenhouse Renovation and Expansion, SIUE, be and is hereby approved.

(2) The Interim President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

APPROVAL OF PROPOSED AMENDMENTS TO BOARD LEGISLATION  
[AMENDMENTS TO BYLAWS, POLICIES, AND STATUTES OF THE BOARD OF  
TRUSTEES]

Summary

This matter requests approval for the Board of Trustees to amend its Legislation, namely various components of the Bylaws, Policies, and Statutes of the Board in order to change the reporting line for the Dean of the School of Pharmacy at SIU Edwardsville.

Rationale for Adoption

In December 2015, the SIU Board of Trustees approved reporting line changes for the Deans of the Schools of Dental Medicine and School of Pharmacy at SIU Edwardsville. The Deans were moved from being a direct report of the Provost to the Chancellor. The move was made to be consistent with the recommendation for similar reorganizations of reporting lines for the SIUC first-professional schools.

In February 2019, the SIU Board of Trustees reversed the SIU Carbondale reporting lines decision to respond to a recommendation by the Higher Learning Commission (HLC). Likewise, SIUE is requesting to return the reporting line of the SIU Edwardsville Dean of the School of Pharmacy to the Provost of the Edwardsville campus. This move will align the School of Pharmacy with other SIUE academic units. This request is made in consultation with the Dean of the School of Pharmacy.

The School of Dental Medicine (SDM) reporting status is being reviewed in 2019-2020 to determine if it should use the traditional academic unit model and have the Dean report to the Provost or, because of its unique situation (e.g. separate campus) continue reporting to the Chancellor, similar to the SIU School of Medicine. After the SDM completes its accreditation process in Fall 2019 and pending the review, if a recommendation for a different reporting structure is made, it will be brought forward for board action in 2020.

While Board of Trustees Policy 1.B.2 delegates authority to the President of SIU for “administrative reorganizations which do not effectively increase the number of units of instruction” (which this change does not propose to do), the support of the Board is nonetheless requested for this matter given its significance and import across groups of professional, community, and alumni constituencies.



### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Effective retroactive to July 1, 2019, the reporting line for the Dean of the SIUE School of Pharmacy shall be to the SIUE Provost and Vice Chancellor for Academic Affairs, and;

(2) The Board Legislation as attached shall be amended and hereby approved, and;

(3) The Interim President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

### AMENDMENTS TO BOARD BYLAWS

#### **Article III. Committees of the Board**

##### *Section 3. Finance Committee*

Purpose: The committee shall be concerned with investments; financial reports submitted to and issued by the Board; external financing; and the planning and development of major policies related to operating budgets.

Authority: The Committee shall:

1. Approve general philosophies and strategies for investment practices;
2. Review investment reports;
3. Suggest improvements in financial reporting to the Board;
4. Represent the Board in preliminary discussions of and planning for issuance of revenue bonds and other external financing;
5. Review and recommend to the Board the annual operating budgets, guidelines for salary administration, tuition increases, general student fees increases, and other policies related to major financial decisions; and
6. Review and approve the initial appointment and any subsequent salary and compensation changes, except for raises from salary pools established during budget development, for all Professional Staff receiving an annual salary of \$150,000 or more, all Board-appointed officers, all Administrative and Professional Staff Employees in the Office of the President, the Vice Chancellors at SIUC and SIUE, the Dean and Provost of the SIU School of

Medicine, and the Dean of the SIU School of Dental Medicine, ~~and the Dean of the SIU School of Pharmacy.~~

Composition: The Finance Committee shall consist of not less than three members of the Board appointed by the Board Chair, who shall also designate one member as the Committee Chair. Members shall be appointed annually at the meeting when Board officers are elected, with vacancies to be filled by appointment by the Board Chair as they occur. The Committee shall be staffed through the Office of the President. The Chair of the Committee will call meetings as appropriate, with the Executive Secretary handling the scheduling and appropriate notices.

(11/08/07, 03/22/12, 3/24/16, 12/13/18, 3/28/19)

## AMENDMENTS TO BOARD STATUTES

### **Article II: Guidelines for the Functions of the Board, the President of SIU, and the Chancellors**

#### *Section 3. Chancellors*

The chancellors are the chief executive officers of Southern Illinois University at Carbondale and Southern Illinois University at Edwardsville and report directly to the president and through that officer to the Board of Trustees. The chancellors are empowered to execute all documents and exercise all powers necessary to discharge their duties. The chancellors are responsible to the president and through that officer to the Board for the effective discharge of their responsibilities, including but not limited to the following:

1. assist in formulating policies for adoption by the Board of Trustees;
2. carry out approved policies, guidelines, and regulations governing the management of academic, business, and student affairs, delegating execution to administrative aides and heads of appropriate functional areas;
3. develop and recommend to the president a mission and focus statement for Southern Illinois University at Carbondale or Southern Illinois University at Edwardsville;
4. develop and recommend budgets to the president and control the allocation of expenditures for SIUC or SIUE within the framework of budgets approved by the president and the Board;
5. assume primary responsibility for the internal organization of SIUC or SIUE administration, including academic, business, and student affairs, and the development and management of the physical plant and auxiliary services;
6. provides necessary leadership in educational development, such leadership to be consistent with appropriate internal delegation of policy responsibility to the faculty and other constituencies, with encouragement and advice from these groups wherever delegation is not appropriate;

7. appoint all academic and nonacademic employees within the limitation of powers delegated by the Board of Trustees and the president;
8. inform the president of all matters important to operation, management, control, and maintenance;
9. at the direction of the president, represent SIUC or SIUE in its relationship to external agencies in local, state, and federal government;
10. assume primary responsibility for the external relations activities of fund raising and alumni relations.
11. the SIUE Chancellor shall have the primary reporting relationship to, and direct oversight of the Dean of the SIU School of Dental Medicine ~~and the Dean of the SIU School of Pharmacy.~~

(3/24/16, 3/28/19, 7/18/19)

## AMENDMENTS TO BOARD POLICIES

### Section 2: Faculty and Staff Services

#### B. *Appointment to Positions and Position Approval*

2. Creation of Positions and Approval or Ratification of Appointments, Title Changes, Tenure, and Leaves
  - a. The Board of Trustees shall approve the creation and appointment of a position of employment reporting directly to it. (3/13/03)
  - b. Prior to its public announcement, the Board of Trustees shall approve a position of employment created by the President which is within two reporting levels of the President, but which is neither under the jurisdiction of a Chancellor nor a part-time, term, Civil Service or student appointment. The appointment to any such position is tentative pending ratification by the Board. If a search waiver request is generated or initiated by the President, such search waiver must be reviewed and approved by the respective equal opportunity office at the campus that will otherwise process the position's appointment. In addition, such search waiver request generated or initiated by the President must be signed, or otherwise approved in writing, by the Chair of the Board of Trustees or Trustee designated by the Chair. (3/13/03, 12/08/11, 3/28/19)
  - c. Upon recommendation for approval by the Finance Committee, Board of Trustees approval shall be obtained prior to the appointment of professional staff receiving an annual salary of \$150,000 or more. The authority of the Finance Committee is retained to recommend approval of all Board appointed officers, all professional staff in the Office of the President, the Vice Chancellors at SIUC and SIUE, the Dean and Provost of the School of

Medicine, and the Dean of the SIU School of Dental Medicine, ~~and the Dean of the SIU School of Pharmacy.~~ (12/08/11, (3/24/16, 3/28/19)

- d. Upon recommendation for approval by the Finance Committee, Board of Trustees approval shall be obtained prior to increasing the annual salary of professional staff to \$150,000 or more. The authority of the Finance Committee is retained to recommend approval of all increases for all Board appointed officers, all professional staff in the Office of the President, the Vice Chancellors at SIUC and SIUE, the Dean and Provost of the School of Medicine, and the Dean of the SIU School of Dental Medicine, ~~and the Dean of the SIU School of Pharmacy,~~ except for annual raises from salary pools established during budget development. (12/08/11, (3/24/16, 3/28/19)
- e. The President shall approve prior to its public announcement a position of employment created by the Chancellor which is within two reporting levels of a Chancellor or which reports directly to a Vice Chancellor, but is not a part-time, term, Civil Service or student appointment. The appointment to any such position is tentative pending ratification by the President, and by the Board if required under h. (3/13/03)
- f. The Chancellors have delegated authority to take final action of employment administration for SIUC and SIUE employees except as otherwise provided by Board policy. The President has delegated authority to take final action on employment administration for employees of the Office of the President except as otherwise provided by Board policy. (3/13/03)
- g. The Board of Trustees shall approve prior to its public announcement the title change in a position of employment which is changed by the President within two reporting levels of the President. The President shall approve prior to its public announcement the title change in a position of employment which is changed by a Chancellor within two reporting levels of a Chancellor or which reports directly to a Vice Chancellor. The change in title is tentative pending ratification by the President and the Board. (3/13/03, 3/28/19)
- h. The following personnel actions are also tentative pending ratification by the Board:
  - 1. initial appointments of faculty to tenured and tenure track positions;
  - 2. promotions of tenured and tenure track faculty;
  - 3. the grant of academic tenure;
  - 4. initial appointments and promotions of professional staff;
  - 5. the grant of a leave with pay.
 (3/13/03)

- i. A tentative appointment is an appointment made by an executive officer pending ratification by the Board or the President or both. A tentative appointment will expire if it has not been ratified, as required, as of the close of the day of the second Board meeting following the inception of the appointment, unless extraordinary circumstances have been demonstrated to and certified by the President. No reappointment of the same individual may then be made without prior Board approval, unless extraordinary circumstances have been demonstrated to and certified by the President.

## PROPOSAL TO NAME PHYSICAL COMPONENT: ENTERPRISE HOLDINGS FOUNDATION ATRIUM, SIUE

### Summary

This matter seeks approval to name the atrium in the Engineering building on the Edwardsville campus, the Enterprise Holdings Foundation Atrium.

### Rationale for Adoption

In accordance with 6 Policies of the Board B(2)(a)(1), the Board of Trustees is requested to approve the naming of the atrium of the Engineering Building on the Edwardsville campus, Enterprise Holdings Foundation Atrium, in order to recognize gifts and pledges from Enterprise Holdings Foundation totaling \$1.5 million. The gifts will provide support for maintaining and upgrading the atrium, including but not limited to infrastructure, computing and communications equipment and services, furniture, and signage. The Chancellor and the Vice Chancellor for University Relations, SIUE, have recommended this matter to the Interim President.

### Constituency Involvement

The SIUE Foundation has reviewed this item and supports adoption.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The atrium of the Engineering Building on the Edwardsville campus be and is hereby named the Enterprise Holdings Foundation Atrium.

(2) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

## APPROVAL OF PURCHASE: VARIOUS BUS TRANSPORTATION SERVICES, SIUE

### Summary

This matter seeks approval to purchase bus transportation services, on an as-needed basis, for various needs of Southern Illinois University Edwardsville. The contract for transportation is based on the maximum value of \$3,500,000 or the contract term ending June 30, 2029, whichever expires first. The services would be funded from the department budget requesting bus transportation.

### Rationale for Adoption

SIUE utilizes bus transportation services for various purposes: Student, Faculty, Staff and/or Guest transportation for a variety of occasions using charter, school and/or shuttle buses. Transportation examples include, but are not limited to: TRIO Upward Bound Program participants to after-school programs, field trips, college tours, etc.; student athletes to competitions when SIUE Charter buses are unavailable; Club sports athletes to competitions; staff/faculty and guests to various activities/events to and from multiple locations.

Multiple contracts will be awarded to assure adequate coverage for SIUE's needs. Contracts would be awarded to the four bidders that responded as outlined in the attached summary of bids. The awardees are: Vandalia Bus Line, Caseyville, IL and Chancer, LLC, Moweaqua, IL, North America Central School Bus, Joliet, IL and First Student, Inc., Glen Carbon, IL.

The initial contracts would be effective October 1, 2019 to June 30, 2020. Beginning July 1, 2020, the contracts could be renewed for up to nine consecutive one-year periods upon demonstration of satisfactory service, continued need, favorable market conditions, and funds appropriated.

Although purchase orders would be considered an open order contract, the work for each trip would be bid to each firm as the itinerary is identified. The Chancellor and the Vice Chancellor for Administration, SIUE, have recommended this matter to the Interim President.

### Consideration Against Adoption

University officers are aware of none.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) The award of open-service contracts to provide bus transportation services for SIUE, be and are hereby approved to:

- a. Vandalia Bus Line, Caseyville, IL
- b. Chancer, LLC, Moweaqua, IL
- c. North America Central School Bus, Joliet, IL
- d. First Student, Inc., Glen Carbon, IL

(2) The Interim President of Southern Illinois University be and is hereby authorized to take all action required in the execution of this resolution in accordance with established policies and procedures.

**VARIOUS BUS TRANSPORTATION SERVICES - BID #7350**  
**October 1, 2019 through June 30, 2029**

SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE		Cost/Mile	Cost/Hour	Trip Minimum	Trip Maximum	Fuel Surcharge	Day Rate	Weekend Rate
COACH BUS SERVICES - Standard Size								
Vandalia Bus Line 312 Morris Avenue Caseyville, IL 62232		\$3.55 Loaded \$3.35 Empty	4 hours - \$600 > 4 hrs. = \$80	\$600.00	\$1,550.00	\$.02/mile	\$1,550.00	\$1,550.00
Chancer, LLC dba Timi's Tours Transportation 230 S. Main Street Moweaqua, IL 62550		\$3.80 Loaded \$1.20 Empty	N/A	\$900.00	\$1,900.00	\$0.00	\$900.00	\$900.00
COACH BUS SERVICES - Smaller Size								
Vandalia Bus Line 312 Morris Avenue Caseyville, IL 62232		\$3.80 Loaded \$3.65 Empty	4 hours - \$660 > 4 hrs. = \$95	\$660.00	\$1,700.00	\$.02/mile	\$1,700.00	\$1,700.00
SCHOOL BUS SERVICES								
North America Central School Bus 78 North Chicago St., 2nd Floor Joliet, IL 60432		N/A	\$52.35	3 hours = \$157.05	N/A	N/A	N/A	\$52.35/hr
First Student, Inc. 17 Commercial Court Glen Carbon, IL 62034		N/A	\$58.00	N/A	N/A	N/A	N/A	N/A

APPROVAL OF SALARY AND APPOINTMENT: ASSISTANT DEAN FOR CLINICAL  
AFFAIRS; SCHOOL OF DENTAL MEDICINE, SIUE

Summary

This matter presents for approval the salary and appointment of the Assistant Dean for Clinical Affairs, School of Dental Medicine, SIUE.

Rationale for Adoption

Policies of the Board of Trustees require Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows approval for an internal search for the Assistant Dean for Clinical Affairs, School of Dental Medicine.

The applicant, Dr. Kathy Shafer, has been a faculty member at the School of Dental Medicine for many years. She held the position of Director of Clinical Education from January 1, 2014 until July 1, 2017, when she was appointed Interim Assistant Dean for Clinical Affairs. Dr. Shafer has proven herself to be an effective leader in all capacities, but particularly in her current position.

Constituency Involvement

Following approved posting of the position announcement for over 30 days, Dr. Shafer was ultimately the only candidate who submitted application materials. Upon review of Dr. Shafer's application, the search committee unanimously voted to recommend her for the position. The search committee requested and gained approval from the SIUE campus for a waiver of the external search process.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, that: Dr. Kathy Shafer be appointed to the position of Assistant Dean for Clinical Affairs of the School of Dental Medicine with an annual salary of \$170,000, effective July 1, 2019.



APPROVAL OF SALARY AND APPOINTMENT: ASSOCIATE DEAN FOR ACADEMIC  
AFFAIRS; SCHOOL OF DENTAL MEDICINE, SIUE

Summary

This matter presents for approval the salary and appointment of the Associate Dean for Academic Affairs, School of Dental Medicine, SIUE.

Rationale for Adoption

Policies of the Board of Trustees require Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which fifteen candidates (thirteen external and two internal) were evaluated by the search committee for credential-based and phone-based interviews. Four of the fifteen candidates (three external and one internal) were invited to participate in campus-wide interviews. One external candidate declined. Searches for highly qualified administrators in Schools of Dental Medicine are very competitive and the SIU School of Dental Medicine is fortunate to have found a highly qualified and respected candidate in Dr. Saulius (Sonny) Drukteinis. Dr. Drukteinis received his DMD from Tufts University. He went on to gain a certificate in Periodontology and a Ph.D. in materials engineering from the University of Alabama. He hails most recently from Nova Southeastern University where he was a faculty member and Director of predoctoral periodontology. Dr. Drukteinis was very involved in administrative activities at Nova Southeastern which prepared him well to serve in the capacity.

Constituency Involvement

All constituencies of the School of Dental Medicine campus were afforded the opportunity to participate in an interview process through open public forums and through multiple group interviews.

Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, that: Dr. Saulius Drukteinis be appointed to the position of Associate Dean for Academic Affairs of the School of Dental Medicine with an annual salary of \$175,000, effective July 1, 2019.

## APPROVAL OF SALARY AND APPOINTMENT: DIRECTOR OF ATHLETICS, SIUE

### Summary

This matter presents for approval the salary and appointment of the Director of Athletics at the Edwardsville campus.

### Rationale for Adoption

Policies of the Board of Trustees require Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which eleven candidates (ten external and one internal) were recommended by the search committee for video interviews. Four of the eleven candidates (three external and one internal) participated in in-person interviews. Searches for highly qualified Directors of Athletics are very competitive and the Edwardsville campus is fortunate to have found a highly qualified and respected external candidate in Mr. Timothy (Tim) Hall, an experienced and successful fundraiser and athletics administrator.

Hall's career began in development, as he was an assistant director of athletics development at Youngstown (Ohio) State in 1997 before moving to Saint Xavier (Illinois) University as assistant director of development in 1999. Hall brings previous experience in the OVC at Eastern Kentucky University, where he began as the university director for development in 2000.

Hall moved to the University of Missouri Kansas City (UMKC) after serving as the associate athletics director for development at Kent State (Ohio) University from 2003-07. Taking over as director of athletics at UMKC in February 2007, Hall led the Kangaroos to unprecedented NCAA Division I heights in competitiveness, academic success, fundraising and community service efforts. He also led UMKC into a new era, as it joined the Western Athletic Conference (WAC) in 2013. In 2014, he joined the University of Maryland-Baltimore County (UMBC). During his tenure at UMBC, the Retrievers posted nine conference championships. After reaching the 2017 College Insider Tournament, the men's basketball program made history by becoming the first 16-seed to upset a No. 1 seed when UMBC stunned Virginia in the 2018 NCAA Tournament.

Hall earned a bachelor's in sports administration from the University of Toledo in 1994 and achieved a master's in sports administration from Kent State University in 1998. Mr. Hall possesses the range of experience in athletics and administration to guide SIUE Athletics as it continues to grow and excel, making this request a high priority recruitment, retention and advancement goal.

### Constituency Involvement

All constituencies of the Edwardsville campus were afforded the opportunity to participate in the interview process through open public forums and through multiple group interviews.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: Timothy Hall be appointed to the position of Director of Athletics at SIUE with an annual salary of \$199,500, effective August 5, 2019.

### APPROVAL OF EXTENSION OF THE APPOINTMENT OF THE SPECIAL ASSISTANT TO THE PRESIDENT FOR THE SOUTHERN ILLINOIS UNIVERSITY SYSTEM

### Summary

The matter presents for approval the extension of the temporary appointment of a Special Assistant to the Interim President for the Southern Illinois University System.

### Rationale for Adoption

Policies of the Board of Trustees require the approval of appointment of professional staff in the Office of the President. This matter seeks approval to extend the appointment of Gary Giacomelli as Special Assistant to the President on a part-time basis (.6 FTE) for an additional three (3) month term, at a monthly salary of \$6,944.45, beginning on October 1, 2019 and ending December 31, 2019. This position will provide coordination, assistance, and organization to the President's office.

The Board previously approved Mr. Giacomelli to serve the Interim President in the capacity of Special Assistant to the President for the period April 1, 2019 through September 30, 2019. With the Interim President's continued service while the search for a permanent president of the Southern Illinois University System has been extended, the need for this position remains.

Interim President Dorsey recommends approval of this matter.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That:

(1) Gary Giacomelli is re-appointed to the position of Special Assistant to the Interim President for the Southern Illinois University System on a part-time basis for three months at a total salary of \$20,833.35, effective October 1, 2019.

(2) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

### SALARY INCREASE PLAN FOR FISCAL YEAR 2020, SIU

#### Summary

This matter presents for Board approval a salary increase plan for Southern Illinois University for Fiscal Year 2020. The plan sets forth general policies and parameters within which employee salary increases may be granted.

#### Rationale for Adoption

Board policy requires the approval of a salary increase plan. This plan does not set forth specific salary recommendations for each employee, but rather establishes the general parameters for the distribution of the salary increase funds. In addition, this plan does not address changes in salaries established through collective bargaining.

Adequate salaries for our faculty and staff are a high priority for the University. For FY20, an amount may be distributed to provide eligible employees an average salary increase pool of 2.75 percent to Southern Illinois University Edwardsville (effective July 1, 2019), and 2 percent to the SIU School of Medicine (effective July 1, 2019). All increases will be implemented under the guidelines and eligibility requirements issued by the Interim President.

The recent history of Board approved salary increase plans is attached.

#### Constituent Involvement

The plan is reflective of conversations the Chancellors and Dean/Provost have had with leaders of the campus constituency groups and in numerous other conversations with employees across campus.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in a regular meeting assembled, That:

(1) The Interim President of Southern Illinois University is hereby authorized to grant an amount providing a salary increase pool of 2.75 percent to Southern Illinois University Edwardsville, and 2 percent to the Southern Illinois University School of Medicine for Fiscal Year 2020 with an effective date of July 1, 2019.

(2) The Interim President of Southern Illinois University be and is hereby authorized to issue guidelines and eligibility requirements for Fiscal Year 2020 salary increases for staff who are not represented by a recognized bargaining agent and for non-physician faculty at the School of Medicine.

(3) The Interim President of Southern Illinois University be and is hereby authorized to take whatever action may be required in the execution of this resolution in accordance with established policies and procedures.

### BOARD APPROVED SALARY INCREASE POOLS BY CAMPUS

FY	BOT MTG DATE	EFFECTIVE DATE	Office of the President	Carbondale	School of Medicine	Edwardsville
2009	9/11/2008	7/1/2008	3.00%	3.00%	*	3.00%
2010	9/10/2009	7/1/2009	3.50%	3.50%		3.50%
2011	7/14/2011	7/1/2011				2.50%
2012	9/8/2011	7/1/2011				3.00%
	**12/8/2011	1/1/2012	1.00%	1.00%	*	
2013	9/13/2012	7/1/2012	1.00%	1.00%	*	2.50%
2014	9/12/2013	7/1/2013	2.00%	2.00%	*	2.00%
2015	10/29/2014	7/1/2014			2.00%	
2016						
2017	***9/14/2017	7/1/2016				2.00%
2018	***9/14/2017	7/1/2017				2.00%
	2/8/2018	3/1/2018			2.00%	
2019	9/13/2018	7/1/2018	1.00%	1.00%		1.00%
		10/1/2018			2.00%	

\* Included with Carbondale.

\*\*The Board matter of 12/8/2011 stated that salary increases of 1% and 2% are expected in FY 13 and FY 14 respectively for SIUC.

\*\*\*The Board matter of 9/14/17 proposing salary increases for both FY 17 and FY 18 of 2%

was approved, giving a salary increase for the previous fiscal year.

Trustee Tedrick moved for approval of the ratification of Changes in Faculty-Administrative Payroll for SIUC and SIUE; Schedule of Meetings of the Board of Trustees for 2020 as Amended; Approval of Purchase: Limestone, Carbondale Campus, SIUC; Project and Budget Approval: Greenhouse Renovation and Expansion, SIUE; Approval of the Reorganization of Reporting Line for the SIUE School of Pharmacy [Amendments to Bylaws, Policies, and Statutes of the Board of Trustees]; Proposal to Name Physical Component: Enterprise Holdings Foundation Atrium, SIUE; Approval of Purchase: Various Bus Transportation Services, SIUE; Approval of Salary and Appointment: Assistant Dean for Clinical Affairs; School of Dental Medicine, SIUE; Approval of Salary and Appointment: Associate Dean for Academic Affairs; School of Dental Medicine, SIUE; Approval of Salary and Appointment: Director of Athletics, SIUE; Approval of Extension of the Appointment of the Special Assistant to the President for the Southern Illinois University System; and Salary Increase Plan for Fiscal Year 2020, SIU. The motion was duly seconded by Trustee Sharma. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons, Mr. Roger Tedrick; nay, none.

The following item was presented:

REPORTS OF PURCHASE ORDERS AND CONTRACTS,  
JUNE AND JULY 2019, SIUC

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of June and July 2019 were mailed to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for

information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

Trustee Sholar made a motion to approve the item. The motion was duly seconded by Trustee Rogers. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons; nay, none. Mr. Roger Tedrick recused himself from voting.

REPORTS OF PURCHASE ORDERS AND CONTRACTS,  
JUNE AND JULY 2019, SIUE

In accordance with 3 Bylaws 1 and 5 Policies of the Board C, summary reports of purchase orders and contracts awarded during the months of June and July 2019 were mailed to the members of the Board in advance of this meeting, copies were placed on file in the Office of the Board of Trustees, and these reports are hereby submitted for information and entry upon the minutes of the Board with respect to the actions of the Executive Committee.

Trustee Sholar made a motion to approve the item. The motion was duly seconded by Trustee Sharma. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons; nay, none. Mr. Roger Tedrick recused himself from voting.

The following item was presented:

## APPROVAL OF FISCAL YEAR 2020 OPERATING BUDGET

### Summary

This matter submits for approval the annual operating budget for Southern Illinois University for Fiscal Year 2020. The attached budget document includes all operating funds, both appropriated and non-appropriated.

The Fiscal Year 2020 operating budget reflects the final actions of the Governor and the Illinois General Assembly on the Fiscal Year 2020 State general funds budget.

Budget tables provide totals for all current funds by line items and major fund groups (Table A). Supporting schedules provide detailed projected revenues and expenditures of unrestricted and restricted funds (Table B) and details of projected expenditures by NACUBO functions (Table C). Table C is helpful in state and federal reporting requirements.

### Rationale for Adoption

The annual budget is a management tool for planning, funding priorities, communicating direction, and monitoring revenue and expenditures.

### Constituency Involvement

Development of the University budget involves discussions with constituencies.

### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: the Southern Illinois University FY 2020 Operating Budget as presented in the budget document is hereby approved.



# **Fiscal Year 2020 Operating Budget**

SIU Carbondale & School of Medicine  
SIU Edwardsville | SIU System Offices



**July 1, 2019 - June 30, 2020**

# FY 2020 Operating Budget

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## Fiscal Year 2020 Annual Operating Budget

### Executive Summary

The Southern Illinois University Fiscal Year 2020 (FY20) operating budget is an essential planning and management tool that covers activities occurring within a single fiscal year. The consolidated SIU System budget is the result of multiple budget development activities at the campus and system office levels. Activities include planning that involves campus constituencies, projection of enrollment and other revenue sources, projection of expenses, analysis of current year results compared to the budget, and the development of budget proposals.

The capital budget, which supports physical assets such as buildings and infrastructure, is not included within the operating budget.

The FY20 budget represents a \$5.8 million, or less than one percent, increase over FY19. Major revenue categories include tuition and fees, state appropriations, indirect cost recovery, externally funded grants and contracts, revenue bond operations, and self-supporting activities.

#### REVENUES:

The following table is a summary of SIU's FY20 operating revenue budget:

#### Southern Illinois University Revenue Budget

	<b>FY2019 Operating Revenue</b>	<b>FY2020 Operating Revenue</b>	<b>Amount Change</b>	<b>Percent Change</b>
Unrestricted Funds				
State appropriation (tax dollars)	\$ 185,781,000	\$ 194,899,600	\$ 9,118,600	4.91%
Tuition (income fund)	203,097,600	189,588,500	(13,509,100)	-6.65%
Indirect cost recovery	16,717,963	15,144,744	(1,573,219)	-9.41%
Self-supporting activities	202,142,679	215,392,228	13,249,549	6.55%
Subtotal Unrestricted Funds	<u>\$ 607,739,242</u>	<u>\$ 615,025,072</u>	<u>\$ 7,285,830</u>	<u>1.20%</u>
Restricted Funds				
External grants and contracts	\$ 128,363,819	\$ 128,640,524	\$ 276,705	0.22%
Revenue bond operations/auxiliaries	131,452,309	129,643,690	(1,808,619)	-1.38%
Subtotal Restricted Funds	<u>\$ 259,816,128</u>	<u>\$ 258,284,214</u>	<u>\$ (1,531,914)</u>	<u>-0.59%</u>
Total Operating Revenue Budget	\$ 867,555,370	\$ 873,309,286	\$ 5,753,916	0.66%

## **Unrestricted Funds**

**State Appropriations** – The appropriation for general operations is provided by the State of Illinois from the Education Assistance Fund and the General Revenue Fund. SIU's FY20 state appropriation for general operations totals \$191,491,000, an increase of \$9.1 million from FY19, or five percent. The level of increase is consistent with the amount allocated for higher education in the FY20 state budget.

SIU also receives a number of appropriations designated for specific purposes:

1. SIU Simmons Cancer Center, Springfield, \$1,076,800
2. Daily Egyptian, Carbondale, \$62,800
3. National Corn to Ethanol and Ethanol Research Center, Edwardsville, \$1,000,000
4. Pharmacy Practice Education or Training Programs, Edwardsville, \$1,250,000
5. License Plate Sales, scholarships, \$19,000

For FY20, the amount of appropriated funding for special purposes is unchanged from the prior year.

The total of all SIU appropriations for FY20 is \$194,899,600, an increase of 4.68 percent from FY19.

**Income Fund (tuition revenue)** – The proposed FY20 budget for tuition revenue is \$189.6 million, a decrease of \$13.5 million (a decrease of \$10.7 million for Carbondale and \$3.7 million for Edwardsville), or 6.6 percent, from FY19. The budget includes \$157.6 million for tuition generated from on-campus courses and \$27.3 million from distance education. Distance education includes face-to-face course delivery at off-campus sites as well as on-line classes. The income fund budget is net of statutorily mandated and discretionary institutional waivers of \$54.6 million. During FY19, 45 percent of the tuition waivers were granted to undergraduates and 55 percent to graduate and professional students; FY 20 is expected to be similar.

**Indirect Cost Recovery** - Indirect cost recovery funds primarily result from federally-funded sponsored research and public service programs.

**Self-supporting activities** – Revenue for self-supporting activities is generally derived from student fees or the sale of services. These units may be self-supporting in whole or in part. The activities include a wide range of operations which are directly related to instruction, research or public service or support the overall objectives of the University. Examples include SIU Press, University farms, Broadcasting Service, WSIE Radio, Information Technology fee, Facilities Maintenance fee, School of Medicine clinical support, Dental clinic and Textbook Services.

## **Restricted Funds**

**External grants and contracts** – These programs include federally-funded research and public service grants as well as programs sponsored by state, other governmental, and private agencies. The category also includes student financial aid, including federally funded Pell and SEOG grants and state funded MAP (Monetary Assistance Program) and AIM High grants.

**Revenue bond operations (auxiliaries)** – Mandatory student fees which are paid in support of facilities funded by the Housing and Auxiliary Facilities System bonds are subject to external restrictions and therefore budgeted as restricted funds. This includes revenues from residence and dining halls, athletic facilities, student centers, student health facilities, student recreation centers, parking, and others. Revenue bond operations also includes the activity of the Medical Facilities System.

## EXPENSES:

Operating expenses are grouped according to classifications which are generally based on expenditure categories defined in the State Finance Act. Expenses from restricted funds are made in accordance with the provisions of the external contract, agreement, or other document created in support of the funding.

The following table provides a summary of SIU's FY20 operating expense budget:

### Southern Illinois University Expense Budget

	<b>FY2019 Operating Expense</b>	<b>FY2020 Operating Expense</b>	<b>Amount Change</b>	<b>Percent Change</b>
Unrestricted Funds				
Personal services	\$ 395,023,854	\$ 405,974,108	\$10,950,254	2.77%
Equipment	12,313,016	11,669,235	(643,781)	-5.23%
Commodities/supplies	15,711,548	17,412,073	1,700,525	10.82%
Contractual Services	105,673,530	102,546,951	(3,126,579)	-2.96%
Awards and grants	31,098,764	30,407,433	(691,331)	-2.22%
Other expenses	47,012,284	55,989,991	8,977,707	19.10%
Transfers to debt service and reserves	287,286	296,286	9,000	3.13%
Subtotal Unrestricted Funds	<u>\$ 607,120,282</u>	<u>\$ 624,296,077</u>	<u>\$17,175,795</u>	<u>2.83%</u>
Restricted Funds				
Personal services	\$ 80,485,190	\$ 84,989,259	\$ 4,504,069	5.60%
Equipment	2,408,631	2,765,194	356,563	14.80%
Commodities/supplies	8,384,635	8,398,281	13,646	0.16%
Contractual Services	55,905,304	53,827,856	(2,077,448)	-3.72%
Awards and grants	62,175,016	59,387,135	(2,787,881)	-4.48%
Other expenses	26,140,896	27,725,422	1,584,526	6.06%
Transfers to debt service and reserves	27,916,861	28,528,619	611,758	2.19%
Subtotal Restricted Funds	<u>\$ 263,416,533</u>	<u>\$ 265,621,766</u>	<u>\$ 2,205,233</u>	<u>0.84%</u>
Total Operating Expense Budget	\$ 870,536,815	\$ 889,917,843	\$19,381,028	2.23%

It should be noted that funds which are classified in the budget as unrestricted are used with some discretion by each campus to fulfill its mission except for campus-specific fees and other specific charges. In contrast, restricted funds are used solely for purposes stipulated by external providers.

Expenses for personal services are 65 percent of the unrestricted funds budget. This budget line includes salaries paid to faculty, staff and student employees. Taken as a whole, 55 percent of SIU's operating budget is devoted to personal services expenses.

After personal services, the largest single line item in the restricted funds budget is awards and grants. This primarily consists of student scholarship payments funded by federal Pell and SEOG grants as well as the State of Illinois MAP and AIM High grant programs.

### **Operating Budget Schedules**

The operating budget includes a series of tables presented for Southern Illinois University, Carbondale, School of Medicine, Edwardsville, and Administration and University Wide System Offices that provides budgets by line item and major fund groups, revenues and expenses by unrestricted and restricted funds, the operating budget by NACUBO functions and major fund groups, and tuition and fee waivers budgets. A copy of the FY20 appropriations bill is also included.

For expenditure purposes, revenues from state appropriated funds and the income fund (tuition revenue) are combined in the “general operating budgets” allocated to campus units.

The operating budget of the university does not include budgets associated with the university’s eight related organizations, including the foundations, alumni associations, research parks, SIU Physicians & Surgeons, Inc., and the SIUE East St. Louis Charter School.

The budget also does not include an amount for state payments on behalf of SIU. These payments represent the employer’s share of the cost of pension and health benefits for university employees.

In table C, expenditures are listed by function within major revenue categories. The National Association of College and University Business Officers ([NACUBO](#)) created functional classifications for university expenditures in order to standardize the reporting across colleges and universities. The standard classifications are listed below:

**Instruction** – Expenditures for all activities that are part of the university’s instruction program, including credit and noncredit courses.

**Research** – Expenditures for activities specifically organized to produce research whether funded by an external agency or separately budgeted by an organizational unit with SIU. This function also includes research centers.

**Public service** – Expenditures for activities established primarily to provide non-instructional services beneficial to individuals and groups external to the university. It includes community services and public broadcasting services.

**Academic support** – Expenditures to provide support services for the university’s primary missions of instruction, research, and public service. It includes libraries, museums, academic administration, (I will add to this)

**Student services** – Expenditures for activities which have the primary purpose of contributing to the student’s well-being and intellectual, cultural and social development outside the context of the formal instruction program. It includes student services such as admissions and records, financial aid administration, registrar, counseling, career services, and student health services.

**Institutional support** – Expenditures for central activities concerned with the management and long-range planning of the SIU system; fiscal operations including business and audit functions; human resources administration; safety and security functions; and development and alumni relations including fund raising.

**Operation and maintenance of plant** – Expenditures for the administration, supervision, operation, maintenance and protection of the university's physical plant, including building maintenance, utilities, landscape and grounds maintenance, and major repairs and renovations.

**Scholarships and fellowships** – Expenditures in the form of grants to students selected by the university or from other programs, including stipends and awards.

**Auxiliary enterprises** – Expenditures for operations which are not directly related to instruction, research, or public service units but which exist to furnish goods or services to students, faculty and staff, Examples include residence halls, food service, bookstores, student unions, student health centers, and student recreation facilities.

# Southern Illinois University

## FY 2020 Operating Budget

### by Line Items and Major Fund Groups

	Appropriated Funds	Income Funds	Grants and Contracts (1)	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals
<b>Revenue</b>							
General Revenue (Tax Dollars)	\$194,899,600	\$0	\$0	\$0	\$0	\$0	\$194,899,600
Tuition	0	184,960,700	0	0	0	0	184,960,700
Student Fees	0	2,071,000	0	0	24,389,889	46,684,656	73,145,545
Interest Income	0	269,500	165,200	290,000	245,746	1,864,922	2,835,368
Sales & Services / Other Income	0	2,287,300	128,475,324	14,854,744	105,008,055	166,842,650	417,468,073
<b>Total Revenue</b>	<b>\$194,899,600</b>	<b>\$189,588,500</b>	<b>\$128,640,524</b>	<b>\$15,144,744</b>	<b>\$129,643,690</b>	<b>\$215,392,228</b>	<b>\$873,309,286</b>
<b>Expenditures</b>							
Personal Services	\$187,256,985	\$95,357,697	\$29,716,555	\$2,367,346	\$55,272,704	\$120,992,080	\$490,963,367
Travel	33,077	1,884,850	865,304	380,080	168,730	4,728,931	8,060,972
Equipment	15,600	5,871,510	1,688,618	287,986	1,076,576	5,494,139	14,434,429
Commodities	23,000	6,995,249	3,090,517	541,977	5,307,764	9,851,847	25,810,354
Contractual Services	626,566	46,208,801	18,105,055	3,230,877	35,722,801	52,480,707	156,374,807
Group Insurance	2,145,200	2,396,400	0	46,300	1,190,459	1,062,166	6,840,525
Operation of Automotive Equip	11,400	903,901	449,361	19,000	96,536	349,795	1,829,993
Telecommunication	28,350	2,161,281	271,905	39,522	1,611,695	1,219,689	5,332,442
Social Security/Medicare	1,413,622	3,385,639	0	0	490,275	1,082,816	6,372,352
Permanent Improvements	0	793,409	9,240	200,000	0	14,648,587	15,651,236
Other Expenses	3,328,800	185,000	15,075,734	1,442,990	7,496,183	12,099,186	39,627,893
Awards & Grants	17,000	25,154,363	59,368,235	0	18,900	5,236,070	89,794,568
Transfers to Debt Service & Reserves	0	0	0	0	28,528,619	296,286	28,824,905
<b>Total Expenditures &amp; Transfers</b>	<b>\$194,899,600</b>	<b>\$191,298,100</b>	<b>\$128,640,524</b>	<b>\$8,556,078</b>	<b>\$136,981,242</b>	<b>\$229,542,299</b>	<b>\$889,917,843</b>
Planned Operating Results (+/-)	0	(1,709,600)	0	6,588,666	(7,337,552)	(14,150,071)	(16,608,557)
			<b>Fund Balance Available</b>	<b>\$25,493,923</b>	<b>\$22,540,864</b>	<b>\$38,518,760</b>	

Notes:

(1) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.



# Southern Illinois University Carbondale

## FY 2020 Operating Budget

### by Line Items and Major Fund Groups

	Appropriated Funds	Income Funds	Grants and Contracts (1)	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals
<b>Revenue</b>							
General Revenue (Tax Dollars)	\$96,935,300	\$0	\$0	\$0	\$0	\$0	\$96,935,300
Tuition	0	74,500,000	0	0	0	0	74,500,000
Student Fees	0	2,000,000	0	0	16,433,700	22,082,300	40,516,000
Interest Income	0	0	165,200	15,000	91,300	680,300	951,800
Sales & Services / Other Income	0	0	68,273,800	2,604,400	25,639,400	29,592,100	126,109,700
<b>Total Revenue</b>	<b>\$96,935,300</b>	<b>\$76,500,000</b>	<b>\$68,439,000</b>	<b>\$2,619,400</b>	<b>\$42,164,400</b>	<b>\$52,354,700</b>	<b>\$339,012,800</b>
<b>Expenditures</b>							
Personal Services	\$93,437,500	\$33,396,300	\$14,267,600	\$251,900	\$14,067,900	\$20,857,200	\$176,278,400
Travel	0	877,300	586,600	110,200	11,600	2,930,900	4,516,600
Equipment	0	2,491,200	1,007,200	124,900	222,200	1,698,500	5,544,000
Commodities	0	4,394,900	1,378,800	175,500	3,414,800	3,212,400	12,576,400
Contractual Services	0	23,278,300	7,851,500	1,515,100	17,734,200	19,766,900	70,146,000
Group Insurance	2,114,900	75,900	0	43,700	338,800	142,300	2,715,600
Operation of Automotive Equip	0	449,000	412,200	16,000	26,700	244,700	1,148,600
Telecommunication	0	839,000	92,800	8,200	277,200	548,700	1,765,900
Social Security/Medicare	1,365,900	643,700	0	0	119,500	116,500	2,245,600
Permanent Improvements	0	0	0	0	0	4,000,000	4,000,000
Other Expenses	0	185,000	8,792,500	373,900	96,800	5,138,900	14,587,100
Awards & Grants	17,000	9,869,400	34,049,800	0	18,900	3,754,200	47,709,300
Transfers to Debt Service & Reserves	0	0	0	0	11,530,700	0	11,530,700
<b>Total Expenditures &amp; Transfers</b>	<b>\$96,935,300</b>	<b>\$76,500,000</b>	<b>\$68,439,000</b>	<b>\$2,619,400</b>	<b>\$47,859,300</b>	<b>\$62,411,200</b>	<b>\$354,764,200</b>
Planned Operating Results (+/-)	0	0	0	0	(5,694,900)	(10,056,500)	(15,751,400)
<b>Fund Balance Available</b>				<b>\$3,561,900</b>	<b>\$6,794,700</b>	<b>(\$20,411,300)</b>	

Notes:

(1) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.

# Southern Illinois University School of Medicine

## FY 2020 Operating Budget

### by Line Items and Major Fund Groups

	Appropriated Funds	Income Funds	Grants and Contracts (1)	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals
<b>Revenue</b>							
General Revenue (Tax Dollars)	\$35,953,600	\$0	\$0	\$0	\$0	\$0	\$35,953,600
Tuition	0	13,348,800	0	0	0	0	13,348,800
Student Fees	0	71,000	0	0	0	0	71,000
Interest Income	0	19,500	0	275,000	15,000	100,200	409,700
Sales & Services / Other Income	0	0	14,000,000	8,781,400	45,245,900	115,815,700	183,843,000
<b>Total Revenue</b>	<b>\$35,953,600</b>	<b>\$13,439,300</b>	<b>\$14,000,000</b>	<b>\$9,056,400</b>	<b>\$45,260,900</b>	<b>\$115,915,900</b>	<b>\$233,626,100</b>
<b>Expenditures</b>							
Personal Services	\$34,876,800	\$3,847,200	\$4,636,200	\$1,421,100	\$29,728,000	\$84,438,000	\$158,947,300
Travel	0	208,100	163,200	36,500	99,200	735,200	1,242,200
Equipment	0	517,800	127,000	69,200	518,500	1,019,400	2,251,900
Commodities	0	442,300	1,203,500	219,100	1,162,200	4,209,800	7,236,900
Contractual Services	0	7,783,900	5,635,000	880,100	10,243,500	20,446,200	44,988,700
Group Insurance	0	620,500	0	0	663,600	725,000	2,009,100
Operation of Automotive Equip	0	46,000	200	0	0	6,000	52,200
Telecommunication	0	339,000	17,400	26,900	546,400	244,300	1,174,000
Social Security/Medicare	0	577,600	0	0	368,600	922,500	1,868,700
Permanent Improvements	0	0	0	0	0	100,000	100,000
Other Expenses	1,076,800	0	2,217,500	19,300	0	600	3,314,200
Awards & Grants	0	766,500	0	0	0	66,800	833,300
Transfers to Debt Service & Reserves	0	0	0	0	1,930,900	0	1,930,900
<b>Total Expenditures &amp; Transfers</b>	<b>\$35,953,600</b>	<b>\$15,148,900</b>	<b>\$14,000,000</b>	<b>\$2,672,200</b>	<b>\$45,260,900</b>	<b>\$112,913,800</b>	<b>\$225,949,400</b>
Planned Operating Results (+/-)	0	(1,709,600)	0	6,384,200	0	3,002,100	7,676,700
			<b>Fund Balance Available</b>	<b>\$20,237,600</b>	<b>\$2,806,400</b>	<b>\$12,819,300</b>	

Notes:

(1) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.

# Southern Illinois University Edwardsville

## FY 2020 Operating Budget

### by Line Items and Major Fund Groups

	Appropriated Funds	Income Funds	Grants and Contracts (1)	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals
<b>Revenue</b>							
General Revenue (Tax Dollars)	\$58,491,000	\$0	\$0	\$0	\$0	\$0	\$58,491,000
Tuition	0	97,111,900	0	0	0	0	97,111,900
Student Fees	0	0	0	0	7,956,189	24,602,356	32,558,545
Interest Income	0	250,000	0	0	139,446	850,990	1,240,436
Sales & Services / Other Income	0	2,287,300	46,201,524	3,398,944	34,122,755	19,810,784	105,821,307
<b>Total Revenue</b>	<b>\$58,491,000</b>	<b>\$99,649,200</b>	<b>\$46,201,524</b>	<b>\$3,398,944</b>	<b>\$42,218,390</b>	<b>\$45,264,130</b>	<b>\$295,223,188</b>
<b>Expenditures</b>							
Personal Services	\$55,928,000	\$58,114,197	\$10,812,755	\$694,346	\$11,476,804	\$14,670,093	\$151,696,195
Travel	0	799,450	115,504	232,380	57,930	1,032,331	2,237,595
Equipment	0	2,862,510	554,418	92,886	335,876	2,759,439	6,605,129
Commodities	0	2,158,049	508,217	146,377	730,764	2,422,647	5,966,054
Contractual Services	311,000	15,146,601	4,618,555	771,277	7,745,101	11,655,138	40,247,672
Group Insurance	0	1,700,000	0	0	188,059	187,849	2,075,908
Operation of Automotive Equip	0	408,901	36,961	3,000	69,836	98,170	616,868
Telecommunication	0	983,281	161,705	4,422	788,095	420,689	2,358,192
Social Security/Medicare	0	2,164,339	0	0	2,175	43,816	2,210,330
Permanent Improvements	0	793,409	9,240	200,000	0	10,548,587	11,551,236
Other Expenses	2,252,000	0	4,065,734	1,049,790	7,399,383	6,809,686	21,576,593
Awards & Grants	0	14,518,463	25,318,435	0	0	1,415,070	41,251,968
Transfers to Debt Service & Reserves	0	0	0	0	15,067,019	296,286	15,363,305
<b>Total Expenditures &amp; Transfers</b>	<b>\$58,491,000</b>	<b>\$99,649,200</b>	<b>\$46,201,524</b>	<b>\$3,194,478</b>	<b>\$43,861,042</b>	<b>\$52,359,801</b>	<b>\$303,757,045</b>
Planned Operating Results (+/-)	0	0	0	204,466	(1,642,652) *	(7,095,671) **	(8,533,857)
			<b>Fund Balance Available</b>	<b>\$1,403,022</b>	<b>\$12,939,764</b>	<b>\$45,429,613</b>	

Notes:

(1) Grants and Contracts - Revenues received from external sources match expenditures over the life of the grant, therefore the cash balance is reflected as zero.

\* The negative Planned Operating Result for Revenue Bond Operations includes \$525,000 in Board approved non-mandatory transfers to RRR and planned draw down of prior year cash reserves in Housing.

\*\* The negative Planned Operating Result for Self-Supporting Activities is due to a planned draw down of prior year cash reserves in order to complete previously approved projects paid for from the Facilities Fee.

# Southern Illinois University Administration & University Wide

## FY 2020 Operating Budget

### by Line Items and Major Fund Groups

	Appropriated Funds	Income Funds	Grants and Contracts	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals
<b>Revenue</b>							
General Revenue (Tax Dollars)	\$3,519,700	\$0	\$0	\$0	\$0	\$0	\$3,519,700
Tuition	0	0	0	0	0	0	0
Student Fees	0	0	0	0	0	0	0
Interest Income	0	0	0	0	0	233,432	233,432
Sales & Services / Other Income	0	0	0	70,000	0	1,624,066	1,694,066
<b>Total Revenue</b>	<b>\$3,519,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$70,000</b>	<b>\$0</b>	<b>\$1,857,498</b>	<b>\$5,447,198</b>
<b>Expenditures</b>							
Personal Services	\$3,014,685	\$0	\$0	\$0	\$0	\$1,026,787	\$4,041,472
Travel	33,077	0	0	1,000	0	30,500	64,577
Equipment	15,600	0	0	1,000	0	16,800	33,400
Commodities	23,000	0	0	1,000	0	7,000	31,000
Contractual Services	315,566	0	0	64,400	0	612,469	992,435
Group Insurance	30,300	0	0	2,600	0	7,017	39,917
Operation of Automotive Equip	11,400	0	0	0	0	925	12,325
Telecommunication	28,350	0	0	0	0	6,000	34,350
Social Security/Medicare	47,722	0	0	0	0	0	47,722
Permanent Improvements	0	0	0	0	0	0	0
Other Expenses	0	0	0	0	0	150,000	150,000
Awards & Grants	0	0	0	0	0	0	0
Transfers to Debt Service & Reserves	0	0	0	0	0	0	0
<b>Total Expenditures &amp; Transfers</b>	<b>\$3,519,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$70,000</b>	<b>\$0</b>	<b>\$1,857,498</b>	<b>\$5,447,198</b>
Planned Operating Results (+/-)	0	0	0	0	0	0	0
<b>Fund Balance Available</b>				<b>\$291,401</b>	<b>\$0</b>	<b>\$681,147</b>	

## Southern Illinois University

### Revenues and Expenses by Unrestricted/Restricted Funds

Revenues by Sources	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
State appropriations (tax dollars)	\$ 185,781,000	\$ 194,899,600	9,118,600	4.9%
Tuition (Income fund)				
On-Campus	170,136,700	157,616,800	(12,519,900)	-7.4%
Off-Campus	27,382,300	27,343,900	(38,400)	-0.1%
Other Income	5,578,600	4,627,800	(950,800)	-17.0%
Indirect cost recovery	16,717,963	15,144,744	(1,573,219)	-9.4%
Self-supporting activities	<u>202,142,679</u>	<u>215,392,228</u>	<u>13,249,549</u>	<u>6.6%</u>
Subtotal Unrestricted Funds	607,739,242	615,025,072	7,285,830	1.2%
<b>Restricted Funds</b>				
External grants and contracts	128,363,819	128,640,524	276,705	0.2%
Revenue Bond operations/auxiliaries	<u>131,452,309</u>	<u>129,643,690</u>	<u>(1,808,619)</u>	<u>-1.4%</u>
Subtotal Restricted Funds	259,816,128	258,284,214	(1,531,914)	-0.6%
<b>CAMPUS TOTAL INCOME</b>	<b>\$ 867,555,370</b>	<b>\$ 873,309,286</b>	<b>5,753,916</b>	<b>0.7%</b>

Expenditures	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
Personal services	\$ 395,023,854	\$ 405,974,108	10,950,254	2.8%
Equipment	12,313,016	11,669,235	(643,781)	-5.2%
Commodities/supplies	15,711,548	17,412,073	1,700,525	10.8%
Contractual services	105,673,530	102,546,951	(3,126,579)	-3.0%
Awards and grants	31,098,764	30,407,433	(691,331)	-2.2%
Other expenses	47,012,284	55,989,991	8,977,707	19.1%
Transfers to debt service and reserves	<u>287,286</u>	<u>296,286</u>	<u>9,000</u>	<u>3.1%</u>
Subtotal Unrestricted Funds	607,120,282	624,296,077	17,175,795	2.8%
<b>Restricted Funds</b>				
Personal services	80,485,190	84,989,259	4,504,069	5.6%
Equipment	2,408,631	2,765,194	356,563	14.8%
Commodities/supplies	8,384,635	8,398,281	13,646	0.2%
Contractual services	55,905,304	53,827,856	(2,077,448)	-3.7%
Awards and grants	62,175,016	59,387,135	(2,787,881)	-4.5%
Other expenses	26,140,896	27,725,422	1,584,526	6.1%
Transfers to debt service and reserves	<u>27,916,861</u>	<u>28,528,619</u>	<u>611,758</u>	<u>2.2%</u>
Subtotal Restricted Funds	263,416,533	265,621,766	2,205,233	0.8%
<b>CAMPUS TOTAL EXPENDITURES</b>	<b>\$ 870,536,815</b>	<b>\$ 889,917,843</b>	<b>19,381,028</b>	<b>2.2%</b>

# Southern Illinois University Carbondale

## Revenues and Expenses by Unrestricted/Restricted Funds

Revenues by Sources	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
State appropriations (tax dollars)	\$ 93,192,900	\$ 96,935,300	3,742,400	4.0%
Tuition (Income fund)				
On-Campus	65,794,000	56,000,000	(9,794,000)	-14.9%
Off-Campus	18,652,000	18,500,000	(152,000)	-0.8%
Other Income	2,713,000	2,000,000	(713,000)	-26.3%
Indirect cost recovery	2,696,000	2,619,400	(76,600)	-2.8%
Self-supporting activities	59,083,700	52,354,700	(6,729,000)	-11.4%
Subtotal Unrestricted Funds	242,131,600	228,409,400	(13,722,200)	-5.7%
<b>Restricted Funds</b>				
External grants and contracts	71,862,000	68,439,000	(3,423,000)	-4.8%
Revenue Bond operations/auxiliaries	45,546,900	42,164,400	(3,382,500)	-7.4%
Subtotal Restricted Funds	117,408,900	110,603,400	(6,805,500)	-5.8%
<b>CAMPUS TOTAL INCOME</b>	<b>\$ 359,540,500</b>	<b>\$ 339,012,800</b>	<b>(20,527,700)</b>	<b>-5.7%</b>

Expenditures	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
Personal services	\$ 151,100,650	\$ 147,942,900	(3,157,750)	-2.1%
Equipment	4,040,400	4,314,600	274,200	6.8%
Commodities/supplies	7,300,900	7,782,800	481,900	6.6%
Contractual services	49,737,500	44,560,300	(5,177,200)	-10.4%
Awards and grants	14,244,150	13,640,600	(603,550)	-4.2%
Other expenses	15,884,300	20,224,700	4,340,400	27.3%
Transfers to debt service and reserves	0	0	0	0.0%
Subtotal Unrestricted Funds	242,307,900	238,465,900	(3,842,000)	-1.6%
<b>Restricted Funds</b>				
Personal services	28,163,350	28,335,500	172,150	0.6%
Equipment	855,450	1,229,400	373,950	43.7%
Commodities/supplies	4,847,200	4,793,600	(53,600)	-1.1%
Contractual services	26,393,500	25,585,700	(807,800)	-3.1%
Awards and grants	39,606,550	34,068,700	(5,537,850)	-14.0%
Other expenses	8,809,950	10,754,700	1,944,750	22.1%
Transfers to debt service and reserves	11,730,100	11,530,700	(199,400)	-1.7%
Subtotal Restricted Funds	120,406,100	116,298,300	(4,107,800)	-3.4%
<b>CAMPUS TOTAL EXPENDITURES</b>	<b>\$ 362,714,000</b>	<b>\$ 354,764,200</b>	<b>(7,949,800)</b>	<b>-2.2%</b>

# Southern Illinois University School of Medicine

## Revenues and Expenses by Unrestricted/Restricted Funds

Revenues by Sources	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
State appropriations (tax dollars)	\$ 34,292,800	\$ 35,953,600	1,660,800	4.8%
Tuition (Income fund)				
On-Campus	12,585,000	13,348,800	763,800	6.1%
Off-Campus	0	0	0	0.0%
Other Income	48,000	90,500	42,500	88.5%
Indirect cost recovery	10,311,900	9,056,400	(1,255,500)	-12.2%
Self-supporting activities	<u>96,328,300</u>	<u>115,915,900</u>	<u>19,587,600</u>	<u>20.3%</u>
Subtotal Unrestricted Funds	153,566,000	174,365,200	20,799,200	13.5%
<b>Restricted Funds</b>				
External grants and contracts	14,000,000	14,000,000	0	0.0%
Revenue Bond operations/auxiliaries	<u>42,251,200</u>	<u>45,260,900</u>	<u>3,009,700</u>	<u>7.1%</u>
Subtotal Restricted Funds	56,251,200	59,260,900	3,009,700	5.4%
<b>CAMPUS TOTAL INCOME</b>	<b>\$ 209,817,200</b>	<b>\$ 233,626,100</b>	<b>23,808,900</b>	<b>11.3%</b>

Expenditures	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
Personal services	\$ 112,477,900	\$ 124,583,100	12,105,200	10.8%
Equipment	3,125,100	1,606,400	(1,518,700)	-48.6%
Commodities/supplies	3,693,500	4,871,200	1,177,700	31.9%
Contractual services	25,789,000	29,110,200	3,321,200	12.9%
Awards and grants	941,800	833,300	(108,500)	-11.5%
Other expenses	5,877,800	5,684,300	(193,500)	-3.3%
Transfers to debt service and reserves	<u>1,000</u>	<u>0</u>	<u>(1,000)</u>	<u>-100.0%</u>
Subtotal Unrestricted Funds	151,906,100	166,688,500	14,782,400	9.7%
<b>Restricted Funds</b>				
Personal services	30,764,100	34,364,200	3,600,100	11.7%
Equipment	606,000	645,500	39,500	6.5%
Commodities/supplies	2,182,100	2,365,700	183,600	8.4%
Contractual services	16,879,900	15,878,500	(1,001,400)	-5.9%
Awards and grants	0	0	0	0.0%
Other expenses	3,932,600	4,076,100	143,500	3.6%
Transfers to debt service and reserves	<u>1,886,500</u>	<u>1,930,900</u>	<u>44,400</u>	<u>2.4%</u>
Subtotal Restricted Funds	56,251,200	59,260,900	3,009,700	5.4%
<b>CAMPUS TOTAL EXPENDITURES</b>	<b>\$ 208,157,300</b>	<b>\$ 225,949,400</b>	<b>17,792,100</b>	<b>8.5%</b>

## Southern Illinois University Edwardsville

### Revenues and Expenses by Unrestricted/Restricted Funds

Revenues by Sources	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
State appropriations (tax dollars)	\$ 54,848,300	\$ 58,491,000	3,642,700	6.6%
Tuition (Income fund)				
On-Campus	91,757,700	88,268,000	(3,489,700)	-3.8%
Off-Campus	8,730,300	8,843,900	113,600	1.3%
Other Income	2,817,600	2,537,300	(280,300)	-9.9%
Indirect cost recovery	3,640,063	3,398,944	(241,119)	-6.6%
Self-supporting activities	<u>44,871,128</u>	<u>45,264,130</u>	<u>393,002</u>	<u>0.9%</u>
Subtotal Unrestricted Funds	206,665,091	206,803,274	138,183	0.1%
<b>Restricted Funds</b>				
External grants and contracts	42,501,819	46,201,524	3,699,705	8.7%
Revenue Bond operations/auxiliaries	<u>43,654,209</u>	<u>42,218,390</u>	<u>(1,435,819)</u>	<u>-3.3%</u>
Subtotal Restricted Funds	86,156,028	88,419,914	2,263,886	2.6%
<b>CAMPUS TOTAL INCOME</b>	<b>\$ 292,821,119</b>	<b>\$ 295,223,188</b>	<b>2,402,069</b>	<b>0.8%</b>

Expenditures	2019	2020	\$ Change	% Change
<b>Unrestricted Funds</b>				
Personal services	\$ 127,415,035	\$ 129,406,636	1,991,601	1.6%
Equipment	5,115,616	5,714,835	599,219	11.7%
Commodities/supplies	4,686,148	4,727,073	40,925	0.9%
Contractual services	29,230,219	27,884,016	(1,346,203)	-4.6%
Awards and grants	15,912,814	15,933,533	20,719	0.1%
Other expenses	24,883,613	29,732,100	4,848,487	19.5%
Transfers to debt service and reserves	<u>286,286</u>	<u>296,286</u>	<u>10,000</u>	<u>3.5%</u>
Subtotal Unrestricted Funds	207,529,731	213,694,479	6,164,748	3.0%
<b>Restricted Funds</b>				
Personal services	21,557,740	22,289,559	731,819	3.4%
Equipment	947,181	890,294	(56,887)	-6.0%
Commodities/supplies	1,355,335	1,238,981	(116,354)	-8.6%
Contractual services	12,631,904	12,363,656	(268,248)	-2.1%
Awards and grants	22,568,466	25,318,435	2,749,969	12.2%
Other expenses	13,398,346	12,894,622	(503,724)	-3.8%
Transfers to debt service and reserves	<u>14,300,261</u>	<u>15,067,019</u>	<u>766,758</u>	<u>5.4%</u>
Subtotal Restricted Funds	86,759,233	90,062,566	3,303,333	3.8%
<b>CAMPUS TOTAL EXPENDITURES</b>	<b>\$ 294,288,964</b>	<b>\$ 303,757,045</b>	<b>9,468,081</b>	<b>3.2%</b>



# Southern Illinois University Administration & University Wide Revenues and Expenses by Unrestricted/Restricted Funds

Revenues by Sources	2019	2020	<u>\$ Change</u>	<u>% Change</u>
<b>Unrestricted Funds</b>				
State appropriations (tax dollars)	\$ 3,447,000	\$ 3,519,700	72,700	2.1%
Tuition (Income fund)				
On-Campus	0	0	0	n/a
Off-Campus	0	0	0	n/a
Other Income	0	0	0	n/a
Indirect cost recovery	70,000	70,000	0	0.0%
Self-supporting activities	<u>1,859,551</u>	<u>1,857,498</u>	<u>(2,053)</u>	<u>-0.1%</u>
Subtotal Unrestricted Funds	5,376,551	5,447,198	70,647	1.3%
<b>Restricted Funds</b>				
External grants and contracts	0	0	0	0.0%
Revenue Bond operations/auxiliaries	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0%</u>
Subtotal Restricted Funds	0	0	0	0.0%
<b>CAMPUS TOTAL INCOME</b>	<b>\$ 5,376,551</b>	<b>\$ 5,447,198</b>	<b>70,647</b>	<b>1.3%</b>

Expenditures	2019	2020	<u>\$ Change</u>	<u>% Change</u>
<b>Unrestricted Funds</b>				
Personal services	\$ 4,030,269	\$ 4,041,472	11,203	0.3%
Equipment	31,900	33,400	1,500	4.7%
Commodities/supplies	31,000	31,000	0	0.0%
Contractual services	916,811	992,435	75,624	8.2%
Awards and grants	0	0	0	0.0%
Other expenses	366,571	348,891	(17,680)	-4.8%
Transfers to debt service and reserves	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0%</u>
Subtotal Unrestricted Funds	5,376,551	5,447,198	70,647	1.3%
<b>Restricted Funds</b>				
Personal services	0	0	0	0.0%
Equipment	0	0	0	0.0%
Commodities/supplies	0	0	0	0.0%
Contractual services	0	0	0	0.0%
Awards and grants	0	0	0	0.0%
Other expenses	0	0	0	0.0%
Transfers to debt service and reserves	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0%</u>
Subtotal Restricted Funds	0	0	0	0.0%
<b>CAMPUS TOTAL EXPENDITURES</b>	<b>\$ 5,376,551</b>	<b>\$ 5,447,198</b>	<b>70,647</b>	<b>1.3%</b>

# Southern Illinois University

## FY 2020 Operating Budget

### by NACUBO Functions and Major Fund Groups

	Appropriated & Income Fund	Grants and Contracts	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals	All Funds 2019 Totals
Instruction	\$ 195,046,253	\$ 4,783,800	\$ 1,664,275	\$ 0	\$ 22,512,086	\$ 224,006,414	\$ 221,023,918
Research	9,572,471	22,587,732	2,213,328	0	3,152,850	37,526,381	35,315,338
Public Service	4,719,862	40,891,926	185,700	0	8,868,552	54,666,040	49,828,922
Academic Support	41,363,525	2,795,000	3,034,675	38,456,500	111,873,965	197,523,665	185,755,323
Student Support	18,352,516	858,066	93,000	0	38,708,265	58,011,847	55,680,842
Institutional Support	43,212,756	334,000	1,312,200	0	13,044,777	57,903,733	59,133,277
Operation & Maintenance of Plant	49,166,131	0	52,900	6,804,400	17,262,496	73,285,927	67,582,219
Independent Operations	0	587,000	0	91,720,342	12,354,308	104,661,650	107,987,530
Scholarships and Fellowships	24,764,186	55,803,000	0	0	1,765,000	82,332,186	88,229,446
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 386,197,700</b>	<b>\$ 128,640,524</b>	<b>\$ 8,556,078</b>	<b>\$ 136,981,242</b>	<b>\$ 229,542,299</b>	<b>\$ 889,917,843</b>	<b>\$ 870,536,815</b>

# Southern Illinois University Carbondale

## FY 2020 Operating Budget

### by NACUBO Functions and Major Fund Groups

	Appropriated & Income Fund	Grants and Contracts	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals	All Funds 2019 Totals
Instruction	\$ 94,371,200	\$ 2,788,000	\$ 53,500	\$ 0	\$ 9,509,700	\$ 106,722,400	\$ 103,646,100
Research	3,947,100	10,143,000	1,380,900	0	906,100	16,377,100	15,328,600
Public Service	1,467,600	22,371,000	140,000	0	3,247,500	27,226,100	25,053,050
Academic Support	17,592,400	295,000	184,600	0	4,836,100	22,908,100	25,954,700
Student Support	7,844,700	718,000	0	0	25,924,300	34,487,000	33,670,500
Institutional Support	14,436,200	334,000	807,500	0	5,074,000	20,651,700	23,116,100
Operation & Maintenance of Plant	23,727,300	0	52,900	0	5,272,800	29,053,000	30,442,450
Independent Operations	0	587,000	0	47,859,300	7,265,700	55,712,000	58,145,700
Scholarships and Fellowships	10,048,800	31,203,000	0	0	375,000	41,626,800	47,356,800
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 173,435,300</b>	<b>\$ 68,439,000</b>	<b>\$ 2,619,400</b>	<b>\$ 47,859,300</b>	<b>\$ 62,411,200</b>	<b>\$ 354,764,200</b>	<b>\$ 362,714,000</b>

# Southern Illinois University School of Medicine

## FY 2020 Operating Budget

### by NACUBO Functions and Major Fund Groups

	Appropriated & Income Fund	Grants and Contracts	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals	All Funds 2019 Totals
Instruction	\$ 25,852,500	\$ 1,331,400	\$ 1,282,500	0	\$ 518,200	\$ 28,984,600	\$ 29,623,800
Research	3,917,700	7,670,600	312,900	0	2,245,500	14,146,700	13,088,300
Public Service	2,250,000	4,998,000	20,900	0	3,018,500	10,287,400	8,870,800
Academic Support	5,397,000	0	1,035,900	38,456,500	105,756,000	150,645,400	136,726,500
Student Support	1,960,300	0	0	0	113,500	2,073,800	1,208,200
Institutional Support	6,548,300	0	20,000	0	1,262,100	7,830,400	6,699,700
Operation & Maintenance of Plant	4,684,200	0	0	6,804,400	0	11,488,600	11,450,900
Independent Operations	0	0	0	0	0	0	0
Scholarships and Fellowships	492,500	0	0	0	0	492,500	489,100
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 51,102,500</b>	<b>\$ 14,000,000</b>	<b>\$ 2,672,200</b>	<b>\$ 45,260,900</b>	<b>\$ 112,913,800</b>	<b>\$ 225,949,400</b>	<b>\$ 208,157,300</b>

# Southern Illinois University Edwardsville

## FY 2020 Operating Budget

### by NACUBO Functions and Major Fund Groups

	Appropriated & Income Fund	Grants and Contracts	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals	All Funds 2019 Totals
Instruction	\$ 74,822,553	\$ 664,400	\$ 328,275	\$ 0	\$ 12,484,186	\$ 88,299,414	\$ 87,754,018
Research	1,707,671	4,774,132	519,528	0	1,250	7,002,581	6,898,438
Public Service	1,002,262	13,522,926	24,800	0	2,602,552	17,152,540	15,905,072
Academic Support	18,374,125	2,500,000	1,814,175	0	1,281,865	23,970,165	23,074,123
Student Support	8,547,516	140,066	93,000	0	12,670,465	21,451,047	20,802,142
Institutional Support	18,708,556	0	414,700	0	4,851,179	23,974,435	23,940,926
Operation & Maintenance of Plant	20,754,631	0	0	0	11,989,696	32,744,327	25,688,869
Independent Operations	0	0	0	43,861,042	5,088,608	48,949,650	49,841,830
Scholarships and Fellowships	14,222,886	24,600,000	0	0	1,390,000	40,212,886	40,383,546
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 158,140,200</b>	<b>\$ 46,201,524</b>	<b>\$ 3,194,478</b>	<b>\$ 43,861,042</b>	<b>\$ 52,359,801</b>	<b>\$ 303,757,045</b>	<b>\$ 294,288,964</b>

# Southern Illinois University Administration & University Wide

## FY 2020 Operating Budget

### by NACUBO Functions and Major Fund Groups

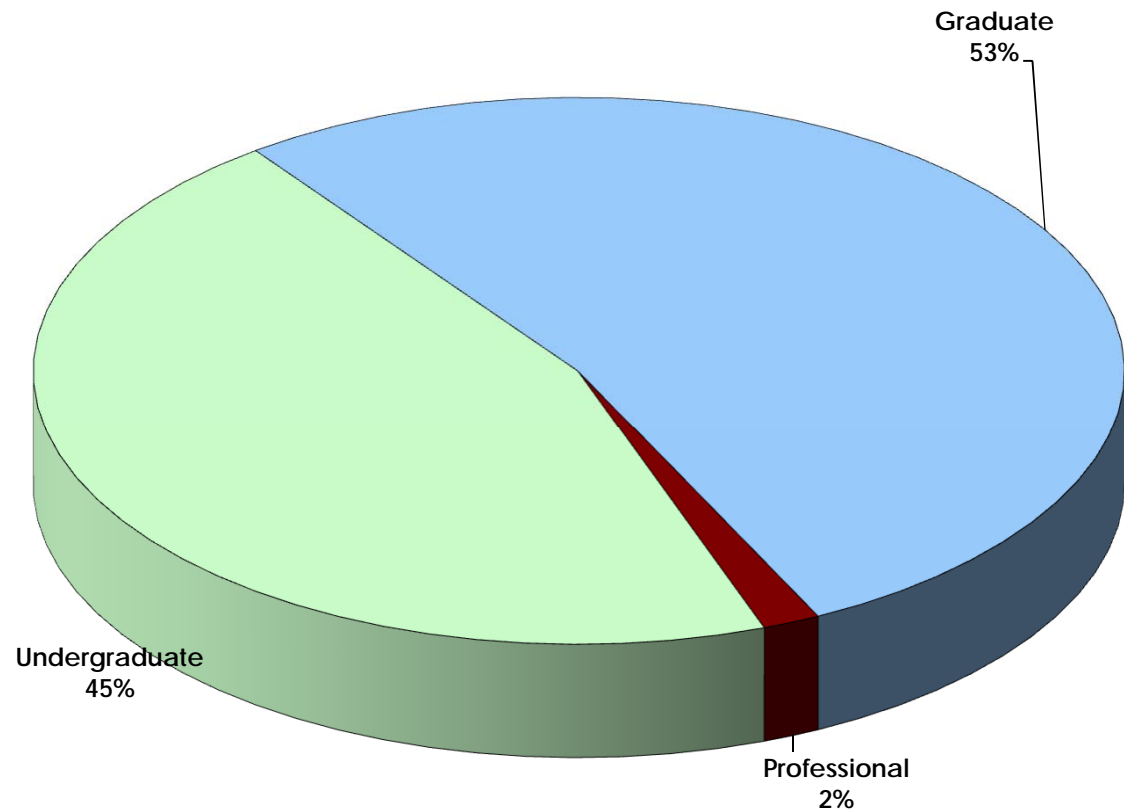
	Appropriated & Income Fund	Grants and Contracts	Indirect Cost Recovery	Revenue Bond Operations	Self-Supporting Activities	All Funds 2020 Totals	All Funds 2019 Totals
Instruction	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Research	0	0	0	0	0	0	0
Public Service	0	0	0	0	0	0	0
Academic Support	0	0	0	0	0	0	0
Student Support	0	0	0	0	0	0	0
Institutional Support	3,519,700	0	70,000	0	1,857,498	5,447,198	5,376,551
Operation & Maintenance of Plant	0	0	0	0	0	0	0
Independent Operations	0	0	0	0	0	0	0
Scholarships and Fellowships	0	0	0	0	0	0	0
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 3,519,700</b>	<b>\$ 0</b>	<b>\$ 70,000</b>	<b>\$ 0</b>	<b>\$ 1,857,498</b>	<b>\$ 5,447,198</b>	<b>\$ 5,376,551</b>

# Southern Illinois University

## FY 2020 Tuition & Fee Waivers Budget

*The University recognizes that waivers represent an institutional resource that should be included in the University's budget plan, which outlines the allocation of all institutional resources. A waiver represents an agreement between the University and the student to reduce or eliminate the tuition and/or fees that normally would be charged to the student. Waivers granted by State statute are also included. Waivers budget is not reflected in University budget totals.*

<b>Undergraduate</b>	<b><u>\$ 24,843,500</u></b>
SIUC	18,200,000
SIUE	6,643,500
<b>Graduate</b>	<b><u>\$ 28,864,300</u></b>
SIUC	23,000,000
SIUE	5,864,300
<b>Professional</b>	<b><u>\$ 933,000</u></b>
Dentistry	121,500
Law	155,000
Medicine	510,200
Pharmacy	146,300
<b>TOTAL</b>	<b><u>\$ 54,640,800</u></b>
SIUC	41,355,000
SIUC-SOM	510,200
SIUE	12,775,600



## APPENDIX

### DESCRIPTION OF MAJOR FUND GROUPS

#### **Appropriated Fund**

State appropriated funds include General Revenue Funds and Other State Funds, both of which come from Illinois tax dollars.

#### **Income Fund**

Income Fund revenue includes tuition revenue, off-campus program revenue, interest earnings on income fund deposits, and certain miscellaneous fee revenue. Tuition revenue is a direct result of student enrollment, student residency, credit hours, and tuition rates. Off-campus program revenue is based on charges sufficient to cover all instructional and administrative costs of the programs. LAC guidelines require that certain fee revenue such as application fees, course-specific fees and graduation fees be deposited into the income fund.

#### **Grants and Contracts**

Grants and contracts include funds received from governmental entities and private foundations and corporations for the support of various research projects, instructional and training programs, public service activities, student financial aid, and other programs. The specific use of these funds is restricted by contractual agreement with the sponsoring agency. Generally, revenues will equal expenditures over the life of the grant or contract.

#### **Indirect Cost Fund**

Indirect cost funds are recovered as overhead allowances on grants and contracts. They are used to help cover a share of expenses for such items as operation and maintenance, library services, sponsored project administration and general administration.

#### **Revenue Bond**

Operations of revenue bond financed facilities are reported in this fund group. Revenue Bond enterprises are funded primarily from student fees, operating charges, and sales and services of various activities and include such facilities as residence halls, student centers, and student recreation centers.

#### **Self-Supporting Activities**

Self-Supporting Activities include a wide range of operations, which are directly related to Instructional, Research, or Public Service units or support the overall objectives of the University. They may be Self-Supporting in whole or in part. Revenue is generally derived through student fees or sales of services. Examples include Shryock Auditorium, textbook services and athletic fees.



ARTICLE 91

Section 5. The sum of \$191,491,000, or so much thereof as may be necessary, is appropriated from the Education Assistance Fund to the Board of Trustees of Southern Illinois University to meet its operational expenses for the fiscal year ending June 30, 2020.

Section 10. The sum of \$62,800, or so much thereof as may be necessary, is appropriated from the General Revenue Fund to the Southern Illinois University for any costs associated with the Daily Egyptian Newspaper.

Section 15. The sum of \$1,000,000, or so much thereof as may be necessary, is appropriated from the General Revenue Fund to the Board of Trustees of Southern Illinois University for costs associated with the National Corn-to-Ethanol Research Center and ethanol research grants.

Section 20. The sum of \$1,076,800, or so much thereof as may be necessary, is appropriated from the Education Assistance Fund to the Board of Trustees of Southern Illinois University for all costs associated with the Simmons Cooper Cancer Center.

Section 25. The sum of \$19,000, or so much thereof as may be necessary, is appropriated from the State College and University Trust Fund to the Board of Trustees of Southern Illinois University for scholarship grant awards.

Section 30. The sum of \$1,250,000, or so much thereof as may be necessary, is appropriated from the General Professions Dedicated Fund to the Board of Trustees of Southern Illinois University for all costs associated with the development, support or administration of pharmacy practice education or training programs at the Edwardsville campus.

#### ARTICLE 92

Section 5. The sum of \$1,114,700, or so much thereof as may be necessary, is appropriated from the General Revenue Fund to the State Universities Civil Service System to meet its operational expenses for the fiscal year ending June 30, 2020.

#### ARTICLE 93

Section 5. The sum of \$3,500,000, or so much thereof as may be necessary, is appropriated to the Illinois Student Assistance Commission from the General Revenue Fund to meet its operational expenses for the fiscal year ending June 30, 2020.

Trustee Tedrick made a motion to approve the item. The motion was duly seconded by Trustee Sharma. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Mr. John Simmons, Mr. Roger Tedrick; nay, Ms. Amy Sholar.

The Chair reported the next item was a current and pending matter titled: Approval of Salary and Appointment: Executive Director of Compliance, School of Medicine, SIUC.

Trustee Sholar made a motion to discuss the matter. The motion was duly seconded by Trustee Simmons. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons, Mr. Roger Tedrick; nay, none.

The following item was presented:

APPROVAL OF SALARY AND APPOINTMENT:  
EXECUTIVE DIRECTOR OF COMPLIANCE, SCHOOL OF MEDICINE, SIUC

Summary

This matter presents for approval the salary and appointment of the preferred candidate for the position of Executive Director of Compliance at the School of Medicine, SIUC.

Rationale for Adoption

Policies of the Board of Trustees require Board of Trustees approval of salary and appointment of professional staff who have a proposed salary of \$150,000 or more. This request follows a national search in which four qualified candidates were interviewed. The Executive Director of Compliance functions as the School's chief compliance officer and has the primary function of providing regulatory oversight and compliance monitoring of the School's regulatory requirements and mandates related to its clinical, research, financial and administrative activities.

The recommended candidate, Ms. Katherine Cohen, currently serves as the Research Compliance Director for MedStar Health, a \$5.6 billion, not-for-profit healthcare organization that operates more than 120 entities, including ten hospitals in the Baltimore-Washington, D.C. area. She was previously the Research Compliance Officer at Kansas City's St. Luke's Hospital, which is the primary teaching hospital for the University of Missouri-Kansas City School of Medicine.

Ms. Cohen is certified in healthcare research compliance, a credential that is greatly beneficial to working with the School's faculty and staff as well as with external regulatory agencies. She has made numerous presentations at regional and national conferences on research compliance and has experience working in large multisite institutions that rely on strong community partnerships that are integral to the organization's operation. Ms. Cohen received her Juris Doctor from Florida International University in Miami, Florida in 2011 and her bachelor's degree from Colgate University in Hamilton, New York in 2007.

#### Constituency Involvement

Faculty and administrative staff at the School of Medicine were afforded the opportunity to participate in the interview process.

#### Resolution

BE IT RESOLVED, By the Board of Trustees of Southern Illinois University in regular meeting assembled, That: Katherine Cohen be appointed to the position of Executive Director of Compliance at the School of Medicine, SIUC, with an annual salary of \$180,000, with her appointment becoming effective between November 1 and December 31, 2019.

Trustee Sholar made a motion to approve the matter. The motion was duly seconded by Trustee Simmons. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons, Mr. Roger Tedrick; nay, none.

The Chair reported the next item was a current and pending matter titled: Approval of Amendment to and Extension of Chancellor Employment Agreement, SIUE.

Trustee Sholar made a motion to discuss the matter. The motion was duly seconded by Trustee Sharma. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons, Mr. Roger Tedrick; nay, none.

The following item was presented:

## CHANCELLOR EMPLOYMENT AGREEMENT

This Chancellor Employment Agreement ("Agreement") is made and entered into this 14<sup>th</sup> day of July, 2016, by and between the Board of Trustees of Southern Illinois University, a body politic and corporate of the State of Illinois, hereinafter the "University," and Dr. Randall Pembrook. The University and Dr. Pembrook may hereinafter be referred to, individually, as a "party" or, collectively, as the "parties."

### Article I

#### THE UNIVERSITY'S AGREEMENTS WITH THE CHANCELLOR

1.1 Employment. The University appoints and employs Dr. Randall Pembrook to be Chancellor of Southern Illinois University Edwardsville, hereinafter "Chancellor." The Chancellor shall serve as the chief executive and academic officer of Southern Illinois University Edwardsville, hereinafter "SIUE," under the supervision and direction of the President of Southern Illinois University, hereinafter the "President." The Chancellor accepts and agrees to such employment.

1.2 Duties. The University and Chancellor agree that as Chancellor of SIUE, the Chancellor shall perform those duties required of the Chancellor by the Bylaws, Charter, Policies, and Statutes of the Board of Trustees (collectively the "Board of Trustees Legislation"), applicable law, this Agreement, University guidelines, and custom and practice of the University as well as the following duties:

- a. Remain responsible to the University for the execution and enforcement of all policies and procedures of the Board of Trustees, President, and SIUE as they exist or may, from time to time, be amended, including carrying out approved policies, guidelines, and regulations governing the management of academic, business, and student affairs, delegating execution to administrative aides and heads of appropriate functional areas;
- b. Serve as chief executive and academic officer for SIUE, including but not limited to, providing general leadership and coordination for SIUE, its policies, programs, and operation;
- c. Develop and recommend budgets to the President and control the allocation of expenditures for SIUE within the framework of budgets approved by the President and the Board of Trustees;
- d. Assist in formulating policies for adoption by the Board of Trustees;
- e. Develop and recommend to the President a strategy for meeting the goals and objectives of SIUE;
- f. Assume primary responsibility for the internal organization of SIUE administration, including academic, business, and student affairs, and the development and management of physical plant and auxiliary services;
- g. Appoint all academic and non-academic employees within the limitation of powers delegated by the Board of Trustees and the President;
- h. Inform the President of all matters important to operation, management, control, and maintenance;
- i. At the direction of the President, represent SIUE in its relationship to external entities and agencies, as well as local, state, and federal government;

- j. Assume primary responsibility for the external relations activities of fund raising and alumni relations; and
- k. Perform such other duties as may be assigned by the President.

1.3 Compensation. The University and Chancellor agree that as consideration for the Chancellor's employment the Chancellor shall receive the following:

- a. Compensation in the form of an annual base salary in the amount of three hundred thousand dollars (\$300,000), payable in equal installments in accordance with the appropriate University payroll schedule. Salary shall include deductions for applicable local, state, and federal taxes and employee benefits;
- b. Retirement benefits, health insurance benefits, sick leave, paid vacation, and other usual and customary benefits accorded to administrative and professional employees of the University that are authorized by law or policy. Additionally, the University agrees to reimburse reasonable actual moving expenses not exceeding Fifteen Thousand Dollars (\$15,000.00);
- c. An automobile for SIUE business use. This automobile shall be maintained by the University and fuel for business use thereof shall be paid by the University;
- d. A tenured appointment at SIUE at the rank of professor in the College of Arts and Sciences, Department of Music. If his appointment of Chancellor terminates, other than pursuant to Section 4.1 herein, and an appointment as professor commences, the Chancellor shall be paid a salary amount that is consistent with other members of the faculty with similar rank and experience as determined by the Dean of the College. The Chancellor shall negotiate said salary with the Dean and Provost, whose decision shall be final.
- e. The University shall reimburse the Chancellor for expenditures that allow for reasonable entertainment, travel, and other expenses incurred by the Chancellor in the performance of his/her duties as Chancellor in accordance with University policy, as now or hereafter amended. Further, the Chancellor may attend educational conferences, conventions, seminars, and professional growth activities, and other meetings to advance the interests of the University, in accordance with University policy as now or hereafter amended, and the reasonable expenses connected herewith shall be reimbursed, including membership in appropriate professional, civic and social service organizations. Chancellor understands that travel and associated expenses of the Chancellor's spouse may be reimbursed with funds provided by the SIUE Foundation, in accordance with applicable University and Foundation policy, when such expense is incurred in University related activities and necessary to further the interests of the University, up to an amount of \$5,000 annually, and subject to Chancellor receiving pre-approval by the President. All expenses referenced herein shall be subject to audit by the University.

## Article II

### THE CHANCELLOR'S AGREEMENTS WITH THE UNIVERSITY

2.1 Acceptance of Chancellorship. For and in consideration of the promises of the University as stated in this Agreement, Dr. Pembroke agrees to serve as Chancellor of Southern Illinois University Edwardsville. The Chancellor agrees to remain accountable and responsible to the President for the execution of the duties enumerated in this Agreement, University's policies and

procedures, guidelines, and regulations as they exist or may, from time to time, be amended. The Chancellor agrees to discharge the authority and responsibilities delegated by the University and the duties set forth herein. The Chancellor agrees to regularly meet with and report to the President, as appropriate, the status and progress related to the implementation of major policy, budget, operational, and other material matters as determined by the President.

2.2 Devotion of Best Efforts. The Chancellor agrees to faithfully and industriously, with the best use of his effort, experience, ability, and talent with due regard for the mission of the University, perform all of the duties required under this Agreement and other reasonable requests made by the President.

2.3 Outside Employment. The Chancellor further agrees not to render services of any professional nature for any person or entity for remuneration or otherwise (other than the University) without the President's prior written consent. Further, the Chancellor shall comply with all policies, laws, rules, guidelines, and regulations related to conflict of interest or conflict of commitment. This provision does not prohibit the making of personal investments or the conduct of private business affairs so long as these activities do not conflict with the Chancellor's responsibilities or reflect negatively upon him or the University.

### Article III TERM OF APPOINTMENT

3.1 Initial Term. This Agreement shall be for a term of three (3) years, commencing on a mutually agreeable date but in no case later than August 8, 2016 and terminating on July 31, 2019 ("Initial Term"), subject to extension or to prior termination as provided in this Agreement.

3.2 Extension. Unless the Board of Trustees has otherwise taken action to extend or terminate this Agreement by July 31, 2019, this Agreement shall automatically extend for one additional year commencing August 1, 2019 and ending July 31, 2020 ("Extension Term").

3.3 Evaluation. Evaluation of the Chancellor's performance shall occur pursuant to the Board of Trustees Legislation.

### Article IV TERMINATION

4.1 Termination for Just Cause. The University may terminate this Agreement at any time for "just cause," which would result in the immediate termination of all University obligations under this Agreement. In addition to any of its other normally understood meanings in employment contracts, "just cause" shall include the following:

- a. A deliberate or serious violation of the duties set forth in this Agreement or refusal or unwillingness to perform such duties in good faith and to the best of Chancellor's abilities;
- b. A violation by Chancellor of any of the other terms and conditions of this Agreement not remedied after thirty (30) days' written notice thereof;
- c. Any conduct of the Chancellor that constitutes moral turpitude, or that would tend to bring public disrespect, contempt, or ridicule upon the University;



- d. A violation of Section 2.3 of this Agreement;
- e. A deliberate or serious violation of any law, rule, regulation, Constitutional provision, Board of Trustees Legislation, University policy and procedures or guidelines, Presidential directive, or local, state, or federal law, which violation may, in the sole judgment of the University, reflect adversely upon the University;
- f. Prolonged absence from duty without the President's consent.

4.2 Disability. Regardless of any other provision of this Agreement, this Agreement shall terminate automatically if Chancellor dies or becomes totally disabled, or totally incapacitated or incapable of carrying out the duties as Chancellor, as defined by the University.

- a. If the university deems the Chancellor disabled, totally incapacitated, or incapable of carrying out the duties as Chancellor, the University reserves the right to require Chancellor to submit to a medical examination, either physical or mental, or both.
- b. If Chancellor becomes incapable of carrying out the duties of office, due to permanent disability or incapacity and is terminated, if applicable the University shall be liable to Chancellor or Chancellor's personal representative, as the case may be, for any accrued but unpaid compensation together with a proportionate part of any other applicable benefits which would be due and payable to Chancellor, or personal representative, as the case may be, by reason of death or incapacity during employment by the University, if any. The payment liability of the University shall terminate as of the date of death or determination of permanent disability or incapacity.
- c. If the Chancellor becomes disabled or incapacitated, to the extent applicable the University shall provide all reasonable assistance to the Chancellor or his personal representative to enable him to secure all appropriate disability and related benefits, if any.

4.3 Termination Without Cause. The University may, in its sole discretion, terminate this Agreement in accordance with Board of Trustees Legislation. In connection with such a termination, the University may, in its sole discretion assign the Chancellor other responsibilities. In the event University terminates this Agreement without cause during the term of the Agreement, the University shall pay to the Chancellor the equivalent of one (1) year's annual base salary, as provided in Section 1.3(a), as his sole compensation and remedy for such cancellation. If termination occurs during the Initial Term with less than one (1) year remaining on the Initial Term, the University shall pay to the Chancellor the amount of annual base salary remaining in the Initial Term. If termination occurs during the Extension Term, the University shall pay to the Chancellor the amount of annual base salary remaining in the Extension Term. In the event the Chancellor elects to exercise his tenure rights at the University after termination without cause by the University, said amount payable under this Section shall be reduced by the amount of the faculty salary paid to the Chancellor. In the event the Chancellor obtains employment from a subsequent employer after termination without cause by the University, said amount payable under this Section shall be reduced by any compensation or salary paid to the Chancellor through such employment. In consideration of this payment by the University, the Chancellor expressly agrees to release, waive, and hold harmless the Board, the University, and its trustees, officers, agents, servants, employees, and representatives from any or all claims the Chancellor may have arising out of his employment or termination from employment.

4.4 Termination by Chancellor. This Agreement may be terminated without cause by Chancellor giving the President one hundred twenty (120) days advance written notice of the termination of employment. The Chancellor agrees that if he leaves without providing one hundred twenty (120) days advanced written notice of his/her resignation, unless a shorter period of notice is mutually agreed upon by the parties in writing, then the termination shall result in harm to the University. Although the harm and damage to the University cannot be accurately determined, the parties agree that the Chancellor shall pay to the University an amount equal to three (3) month's salary as provided in Section 1.3 (a) herein if he fails to provide the appropriate notification as detailed in this Section.

4.5 Exclusion of Consequential or Other Damages. The parties have bargained for and agreed to the foregoing provisions. In no case shall the University be liable to the Chancellor for any damages, or loss of any collateral business opportunities or any other benefits, or income from any other source. The provisions for payment upon termination as provided in this Agreement are the sole and exclusive legal and equitable remedies for termination and shall constitute adequate and reasonable compensation for any damages or injury suffered because of such termination by either party. Regardless of the damages provisions herein, the Chancellor agrees to mitigate the University's obligations to pay damages under this Agreement and to make reasonable and diligent efforts to obtain employment upon termination, including, but not limited to, exercising his right to the tenured faculty position at SIUE, as applicable.

## Article V OTHER MUTUAL AGREEMENTS

5.1 Entire Agreement. This Agreement constitutes the entire understanding of the parties hereto with regard to the subject matter and supersedes any and all prior or contemporaneous representations or agreements, whether written or oral, between the parties, and cannot be changed or modified unless in writing, signed by the parties hereto.

5.2 Choice of Law. This Agreement shall be interpreted and construed in accordance with the laws of the State of Illinois without regard to its choice of law provisions. The parties agree that all claims against the University are subject to the Illinois Court of Claims Act, and disputes against the University arising under this Agreement shall be exclusively brought before the Illinois Court of Claims.

5.3 Waiver. No delay or failure to enforce any provision of this Agreement shall constitute a waiver of limitation of rights enforceable under this Agreement.

5.4 Non-Assignment. This Agreement is not assignable but shall be binding upon heirs, administrators, representatives and successors of both parties.

5.5 Captions. The captions are for reference purposes only and have no force effect in determining the rights or obligations of any of the parties to this Agreement.

5.6 Severability. The terms of this Agreement are severable such that if any term or provision is declared by a court of competent jurisdiction to be illegal, void, or unenforceable, the remainder of the provisions of the Agreement shall continue to be valid and enforceable.

5.7 Interpretation. The parties acknowledge that (i) each party has reviewed the terms and provisions of this Agreement; (ii) the rule of construction to the effect that any ambiguities are resolved against the drafting party shall not be employed in the interpretation of this Agreement; and (iii) the terms and provisions of this Agreement shall be construed fairly as to both parties and not in favor or against any party, regardless of which party was generally responsible for the preparation of this Agreement.

5.8 Notices. Any notices required hereunder shall be hand delivered, or sent (i) via certified mail, return receipt requested, (ii) via a nationally recognized courier or delivery service, or (iii) via facsimile to the President or Chancellor, as applicable, with documentation of confirmed receipt to the following:

For the University:

President  
Southern Illinois University  
1400 Douglas Drive, MC 6801  
Carbondale, Illinois 62901  
618-536-3404 (fax)

Chancellor:

Office of the Chancellor  
Southern Illinois University Edwardsville  
3316 Rendleman Hall  
Campus Box 1151  
Edwardsville, Illinois 62026-1151  
618-650-2270 (fax)

5.9 Non-Disparagement. The parties agree not to make comments that injure or damage the reputation or character of the other party, or otherwise disparage the other party, during or after the term of this Agreement, or make comment about the circumstances giving rise to the termination of this Agreement except as otherwise required by law. Unless otherwise required by law, any related statement by the Chancellor must be agreed to in writing by the University.

5.10 Ratification by Board of Trustees. This Agreement shall be subject to ratification by the Board of Trustees of Southern Illinois University prior to it being enforceable against University. Upon said ratification, the Agreement shall be in full force and effect and shall bind each party to the terms and conditions herein as of the date of first written above.

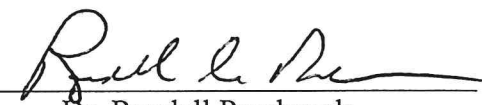
IN WITNESS WHEREOF, the University, acting through the President, and Dr. Randall Pembroke have executed this Chancellor Employment Agreement below.

BOARD OF TRUSTEES OF  
SOUTHERN ILLINOIS UNIVERSITY:

CHANCELLOR:

BY:

  
Dr. Randy Dunn, President 7/15/16

  
Dr. Randall Pembroke

## **AMENDMENT TO CHANCELLOR EMPLOYMENT AGREEMENT**

### **Amendment #1**

This Amendment #1 (“Amendment”) to the Chancellor Employment Agreement (“Agreement”) is entered into as of September 13, 2019 (“Effective Date”), by and between the Board of Trustees of Southern Illinois University, a body politic and corporate of the state of Illinois, (“University”) and Dr. Randall Pembroke (“Chancellor”). The University and Chancellor may hereinafter be referred to individually as a “Party” or collectively as the “Parties.”

WHEREAS, on or about July 14, 2016, the Parties entered into the Agreement, and;

WHEREAS, the Parties now mutually desire to extend the Agreement and amend it for corresponding considerations.

NOW THEREFORE, in consideration of the mutual promises and undertakings hereinafter set forth, the Parties hereto do mutually agree to amend the Agreement as follows:

1. Article I, Section 1.1 is deleted in its entirety and replaced with the following:  
“Employment. The University appoints and employs Dr. Randall Pembroke to be Chancellor of Southern Illinois University Edwardsville, hereinafter “Chancellor.” The Chancellor shall serve as the chief executive and academic officer of Southern Illinois University Edwardsville, hereinafter “SIUE” or “campus,” under the supervision and direction of the President of Southern Illinois University, hereinafter the “President.” The Chancellor accepts and agrees to such employment.”
2. In Article I, Section 1.2, subsection “I” is added as follows:  
“1. Understand and oversee SIUE’s obligations and responsibilities for its intercollegiate athletics program as required by the University, SIUE, the National Collegiate Athletic Association (“NCAA”), and any athletic conferences of which SIUE is a member, now or in the future.”
3. Article I, Section 1.3 is deleted in its entirety and replaced with the following:  
“1.3 Compensation. The University and Chancellor agree that as consideration for the Chancellor’s employment the Chancellor shall receive the following:
  - a. Compensation in the form of an annual base salary in the amount of three hundred forty thousand dollars (\$340,000), payable in equal installments in accordance with the appropriate University payroll schedule. Salary shall include deductions for applicable local, state, and federal taxes and employee benefits. On or before July 1, 2021, this annual base salary will be revisited by the University and Chancellor, subject to negotiation of a possible adjustment in his annual base salary. Nothing herein guarantees the Chancellor an increase in his annual base salary. Notwithstanding the foregoing, if the University approves an across the board salary increase plan for a fiscal year covered by this Agreement, the Chancellor shall be eligible to receive such approved salary increase, subject to the parameters and eligibility requirements for said approved plan.

- b. Retirement benefits, health insurance benefits, sick leave, paid vacation, and other usual and customary benefits accorded to administrative and professional employees of the University that are authorized by law or policy.
  - c. An automobile for SIUE business use. This automobile shall be maintained by the University and fuel for business use thereof shall be paid by the University;
  - d. A tenured appointment at SIUE at the rank of professor in the College of Arts and Sciences, Department of Music. If his appointment of Chancellor terminates, other than pursuant to Section 4.1 herein, and an appointment as professor commences, the Chancellor shall be paid a salary amount that is consistent with other members of the faculty with similar rank and experience as determined by the Dean of the College. The Chancellor shall negotiate said salary with the Dean and Provost, whose decision shall be final.
  - e. The University shall reimburse the Chancellor for expenditures that allow for reasonable entertainment, travel, and other expenses incurred by the Chancellor in the performance of his duties as Chancellor in accordance with University policy, as now or hereafter amended. Further, the Chancellor may attend educational conferences, conventions, seminars, and professional growth activities, and other meetings to advance the interests of the University, in accordance with University policy as now or hereafter amended, and the reasonable expenses connected herewith shall be reimbursed, including membership in appropriate professional service organizations. Chancellor understands that travel and associated expenses of the Chancellor's spouse may be reimbursed with funds provided by the SIUE Foundation, in accordance with applicable University and Foundation policy, when such expense is incurred in University related activities and necessary to further the interests of the University, and subject to Chancellor receiving pre-approval by the President. All expenses referenced herein shall be subject to audit by the University."
4. Article III, TERM OF APPOINTMENT is deleted in its entirety and replaced with the following:
- "3.1 Term. This Agreement shall be for a term commencing on September 13, 2019, and terminating on June 30, 2023 ("Term"), subject to prior termination as provided in this Agreement.
- 3.2 Retirement. Upon expiration of the Term of this Agreement and provided that Chancellor elects to retire from the University, he shall be entitled to be recognized as Chancellor Emeritus."
5. Article IV, Section 4.3 is deleted in its entirety and replaced with the following:
- "Termination without Cause. The University may, in its sole discretion, terminate this Agreement in accordance with Board of Trustees Legislation. In connection with such a termination, the University may, in its sole discretion assign the Chancellor other responsibilities, including but not limited to the responsibilities of a professor in the department in which the Chancellor holds tenure. In the event University terminates this Agreement without cause during the term of the Agreement, the University shall pay to the Chancellor the equivalent of twenty (20) weeks' worth of annualized base salary, reduced by any compensation the Chancellor receives as a professor at SIUE or any other position for the University, as provided in Section 1.3(a), as his sole compensation and

remedy for such cancellation. If termination occurs with less than twenty (20) weeks remaining on the Term, the University shall pay to the Chancellor the amount of annualized base salary, reduced by any compensation the Chancellor receives as a professor at SIUE or any other position for the University, remaining in the Term. Such compensation shall not be paid if termination is for cause, as reflected in Section 4.1. In the event the Chancellor elects to exercise his tenure rights at the University after termination without cause by the University, said amount payable under this Section shall be reduced by the amount of the faculty salary paid to the Chancellor. In the event the Chancellor obtains employment from a subsequent employer after termination without cause by the University, said amount payable under this Section shall be reduced by any compensation or salary paid to the Chancellor through such employment. In consideration of this payment by the University, the Chancellor expressly agrees to release, waive, and hold harmless the Board, the University, and its trustees, officers, agents, servants, employees, and representatives from any or all claims the Chancellor may have arising out of his employment or termination from employment.

6. This Amendment shall be effective on September 13, 2019.
7. Except as modified herein, the terms and conditions of the original Agreement and any subsequent amendments thereto shall remain in full force and effect.

IN WITNESS WHEREOF, the Parties have caused this Amendment #1 to the Chancellor Employment Agreement to be executed below.

BOARD OF TRUSTEES OF  
SOUTHERN ILLINOIS UNIVERSITY:

CHANCELLOR:

BY: \_\_\_\_\_  
Dr. J. Kevin Dorsey, Interim President

\_\_\_\_\_  
Dr. Randall Pembroke

Trustee Tedrick made a motion to approve the matter and to give President Kevin Dorsey the authority to sign the contract. The motion was duly seconded by Trustee Sholar. The motion carried by the following recorded vote: aye, Hon. J. Phil Gilbert, Dr. Ed Hightower, Ms. Mackenzie Rogers, Dr. Subhash Sharma, Ms. Amy Sholar, Mr. John Simmons, Mr. Roger Tedrick; nay, none.

Chair Gilbert announced that the next Board of Trustees meeting would be held December 5, 2019, at Southern Illinois University Carbondale. The Chair reported that a news conference would be held upon adjournment of the meeting at the Board table.

Trustee Sholar made a motion to adjourn the meeting. Trustee Simmons seconded the motion. The motion passed unanimously by voice vote.

The meeting adjourned at 11:16 a.m.

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Misty D. Whittington, Executive Secretary