

SIU EXPORT CONTROLS MONTHLY

A RESOURCE FOR CURRENT EXPORT CONTROL ISSUES AND EVENTS THAT COULD AFFECT SIU AND ITS FACULTY

EXPORT CONTROL VIOLATION HOTLINE

If you suspect an export control violation, call:
618-650-2476



EXPORT CONTROL ARTICLES



CANADIAN NATIONAL SENTENCED TO 40 MONTHS FOR MULTIMILLION-DOLLAR EXPORT CONTROL SCHEME

Nikolay Goltsev, 38, of Montreal, Canada, was sentenced to 40 months in prison for conspiring to commit export control violations. Goltsev masterminded a global procurement scheme on behalf of sanctioned Russian companies, including Russian military companies.

CHINESE NATIONAL AND U.S. LEGAL PERMANENT RESIDENT CHARGED FOR ILLEGAL SCHEME TO EXPORT CONTROLLED DATA AND DEFRAUD THE DEPARTMENT OF DEFENSE

A four-count indictment was unsealed charging Hang Sun with conspiracy, wire fraud, smuggling, and a violation of the Arms Export Control Act for his role in an illegal scheme to send export-controlled defense-related technical data to China and to unlawfully supply the Department of Defense (DOD) with Chinese-origin rare earth magnets for aviation systems and military items.

MALIGN FOREIGN TALENT RECRUITMENT PROGRAMS (MFTRP)

The CHIPS and Science Act requires federal research funding agencies to establish a policy requiring “covered individuals” (senior/key personnel) to certify at proposal, and annually for the duration of the award, that they are not a party to a malign foreign talent recruitment program, and that the institution certify that such individuals have been made aware of the requirement.

The federal government’s definition of a MFTRP includes three main elements: (1) Sponsored by a “country of concern” as defined by the Secretary of State; (2) There is some form compensation or benefit (which does not have to be monetary, including the promise of a future benefit including in-kind contributions or honorary titles); and (3) Includes one or more of the problematic indicators in the federal government’s definition of a “malign” talent program.

Please refer to the [Export Controls](#) website for further information.

"TALENT PROGRAM" FAQs

What is a foreign "TALENTS" program?

Any foreign state sponsored attempt to acquire U.S.-funded scientific research through recruitment programs that target scientists, engineers, academics, researchers, and entrepreneurs of all nationalities working or educated in the United States.

These recruitment programs are often part of broader whole-of-government strategies to reduce costs associated with basic research while focusing investment on military development or dominance in emerging technology sectors. The Department of Energy issued an order on 7 July 2019 with fairly clear explanations of Talents programs [DOE O 486.1](#).

How does this affect SIU and me?

Association with a Talents Program can lead to ineligibility to receive Federal funding for your research. Currently there is no due process to challenge such a determination or limit to the time interval over which it is imposed. Past associations may also be concerning to the US Government.

CHINA DEFENSE UNIVERSITIES TRACKER

The Tracker is a tool created by ASPI's International Cyber Policy Centre to inform universities, governments and scholars as they engage with the entities from the People's Republic of China. It aims to build understanding of the expansion of military-civil fusion—the Chinese government's policy of integrating military and civilian efforts—into the education sector. The Tracker should be used to inform due diligence of Chinese institutions.

EQUIPMENT USED IN RESEARCH

If you use the following equipment/goods in your research or the below is your area of research, please call the Director of Export Controls at 618-650-2476.

Technology, for items controlled by 8A620, 8B620 or 8D620 8E620

Technology, gas turbine engine components.....9E003.c, 9E619

Technology, gas turbine engine components.....9E003.a, 9E619

Technology, helicopter power transfer systems.....9E003.d, 9E619

Technology, hetero-structure semiconductor development..... 3E003.b

Technology, high output type diesel engines..... 9E003.e

Technology, integration software for expert systems..... 2E003.e

Technology, "production" or "use" of "software" controlled by ECCN 6D201..... 6E202

"Technology" specially designed or modified for the "development", "production" or "use" of equipment controlled by 2A983, or the "development" of software controlled by 2D983..... 2E983

Technology "required" for the "development" or "production" of commodities controlled by ECCN 6A002.f - ROICs..... 6A002.f

SIU CLEAN LAPTOP PROGRAM

All SIU travel to the below countries WILL REQUIRE that an Informational Technology Services clean laptop be used during SIU business travel to those countries. Your SIU issued laptop computer SHALL NOT be transported to any of the below countries.

Iran	Russia	Sudan	Belarus
Syria	China	Cuba	North Korea
Cambodia	Venezuela	Ukraine (Crimea Region)	

U.S. EXPORT CONTROL REGULATIONS

- EXPORT ADMINISTRATION REGULATIONS (EAR) by the U.S. Department of Commerce Bureau of Industry and Security (BIS)
- INTERNATIONAL TRAFFIC IN ARMS REGULATIONS (ITAR) by the U.S. Department of State Directorate of Defense Trade Controls (DDTC)
- FOREIGN ASSETS CONTROL REGULATIONS (FACR) by the U.S. Department of Treasury Office of Foreign Assets Control (OFAC)

SIU EXPORT CONTROLS WEBSITE

SIU EXPORT CONTROL COMPLIANCE MANUAL

SIU EXPORT CONTROLS CONTACT INFORMATION

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NEWSLETTER CONTENT REQUESTS

If you find any articles, research, or other information relevant to export controls, or have a specific topic you think would be a great addition to this newsletter, please submit your ideas to bjmartin@siu.edu.