

SIU EXPORT CONTROLS MONTHLY

A RESOURCE FOR CURRENT EXPORT CONTROL ISSUES AND EVENTS THAT COULD AFFECT SIU AND ITS FACULTY

EXPORT CONTROL VIOLATION HOTLINE

If you suspect an export control violation, call:
618-650-2476



EXPORT CONTROL ARTICLES



U.S. DEPARTMENT OF STATE CONCLUDES \$20,000,000 SETTLEMENT OF ALLEGED EXPORT VIOLATIONS BY 3D SYSTEMS CORPORATION

The Department of State and 3D have reached an agreement to address alleged ITAR violations involving unauthorized exports of technical data to Germany, unauthorized exports and retransfers of technical data to the People's Republic of China (PRC), a proscribed destination, unauthorized reexports of technical data to Taiwan, unauthorized exports of technical data to foreign-person employees, and the failure to maintain ITAR records.

COMMERCE TAKES FURTHER ACTIONS TO TARGET RUSSIAN STRATEGIC INDUSTRIES AND PUNISH ENABLERS OF AGGRESSION

The U.S. Commerce Department, through its Bureau of Industry and Security (BIS), has taken two additional actions in response to Russia's brutal assault on the sovereignty of Ukraine. The new regulations target Russia's oil refining sector with new stringent export controls and identify 91 entities that support Russian military activities.

INTERNATIONAL COLLABORATIONS AND CONDUCTING RESEARCH ABROAD

Collaborating internationally with another researcher or foreign institution may have export control implications. Please contact the Export Controls Office for any of these scenarios.

The exchange of scientific information with researchers and administrators abroad can trigger control requirements such as end user screening and export licensing for tangible items and software.

The International Traffic in Arms (ITAR) regulations include controls on providing a "defense service" - providing advice, training assistance, and other release of technical data to a foreign national with respect to an article on the U.S. Munitions List (USML) or providing same to a foreign national for a military/defense objective with respect to any article, whether or not listed on the USML.

Visiting scholars/researchers who visit SIU as part of the collaboration need to be screened and restrictions to certain export-controlled equipment or data may apply.

"TALENT PROGRAM" FAQs

What is a foreign "TALENTS" program?

Any foreign state sponsored attempt to acquire U.S.-funded scientific research through recruitment programs that target scientists, engineers, academics, researchers, and entrepreneurs of all nationalities working or educated in the United States.

These recruitment programs are often part of broader whole-of-government strategies to reduce costs associated with basic research while focusing investment on military development or dominance in emerging technology sectors. The Department of Energy issued an order on 7 July 2019 with fairly clear explanations of Talents programs [DOE O 486.1](#).

How does this affect SIU and me?

Association with a Talents Program can lead to ineligibility to receive Federal funding for your research. Currently there is no due process to challenge such a determination or limit to the time interval over which it is imposed. Past associations may also be concerning to the US Government.

CHINA DEFENSE UNIVERSITIES TRACKER

The Tracker is a tool created by ASPI's International Cyber Policy Centre to inform universities, governments and scholars as they engage with the entities from the People's Republic of China. It aims to build understanding of the expansion of military-civil fusion—the Chinese government's policy of integrating military and civilian efforts—into the education sector. The Tracker should be used to inform due diligence of Chinese institutions.

EQUIPMENT USED IN RESEARCH

If you use the following equipment/goods in your research or the below is your area of research, please call the Director of Export Controls at 618-650-2476.

Shoes, protective 1A004 or 1A995

Shot pistols or shotguns with shoulder stock removed and pistol grip attached 0A502

Shortwave radio technology5E001.c.4.c

Shotgun shells (non-buckshot) 0A505.c

Shotgun shell manufacturing/production equipment 0B505

Shotgun shells, buckshot 0A505.b

Shotgun shells, technology for the development or production of 0E502

Shotguns 0A502

Shotguns made in or before 1898EAR99

Shotgun trigger mechanisms, magazines, magazine extension tubes, receivers 0A502

Shrink fit machines for rotor fabrication/assembly 2B228.a

Side Scan Sonar & Synthetic Aperture Sonar (SAS) designed for seabed imaging ... 6A001.a.1.a.3 Sidelooking airborne radar (SLAR) 6A008.d

Sights and sighting devices for firearms 0A504

SIU CLEAN LAPTOP PROGRAM

All SIU travel to the below countries WILL REQUIRE that an Informational Technology Services clean laptop be used during SIU business travel to those countries. Your SIU issued laptop computer SHALL NOT be transported to any of the below countries.

Iran

Russia

Ukraine (Crimea Region)

Sudan

Syria

China

Cuba

North Korea

Venezuela

Cambodia

Belarus

U.S. EXPORT CONTROL REGULATIONS

- EXPORT ADMINISTRATION REGULATIONS (EAR) by the U.S. Department of Commerce Bureau of Industry and Security (BIS)
- INTERNATIONAL TRAFFIC IN ARMS REGULATIONS (ITAR) by the U.S. Department of State Directorate of Defense Trade Controls (DDTC)
- FOREIGN ASSETS CONTROL REGULATIONS (FACR) by the U.S. Department of Treasury Office of Foreign Assets Control (OFAC)

SIU EXPORT CONTROLS CONTACT INFORMATION

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SIU EXPORT CONTROLS WEBSITE

SIU EXPORT CONTROL COMPLIANCE MANUAL

NEWSLETTER CONTENT REQUESTS

If you find any articles, research, or other information relevant to export controls, or have a specific topic you think would be a great addition to this newsletter, please submit your ideas to bjmartin@siu.edu.