

SIU EXPORT CONTROLS MONTHLY

A RESOURCE FOR CURRENT EXPORT CONTROL ISSUES AND
EVENTS THAT COULD AFFECT SIU AND ITS FACULTY

EXPORT CONTROL VIOLATION HOTLINE

If you suspect an export control violation, call:
618-650-2476



EXPORT CONTROL ARTICLES



AMERICAN CITIZEN WHO WORKED AS AN AGENT FOR THE PRC SENTENCED TO TWO YEARS IN PRISON

Thomas Weir Pauken II, 51, an American citizen who lived and worked in the People's Republic of China (PRC), was sentenced to two years in prison and 36 months of supervised release with no overseas travel for acting as an agent of a foreign government within the United States.

RUSSIAN NATIONAL PLEADS GUILTY TO SMUGGLING U.S. AEROSPACE GOODS TO RUSSIA

Andrei Samuilovski, 32, who has citizenship in Estonia, Switzerland, and Russia, pleaded guilty in connection with a scheme to illegally export U.S.-origin aviation and aerospace parts to Russia in violation of U.S. sanctions.

INTERNATIONAL COLLABORATIONS AND CONDUCTING RESEARCH ABROAD

Collaborating internationally with another researcher or foreign institution may have export control implications.

The exchange of scientific information with researchers and administrators abroad can trigger control requirements such as end user screening and export licensing for tangible items and software.

Visiting scholars/researchers who visit SIU as part of the collaboration need to be screened and restrictions to certain export-controlled equipment or data may apply.

Additional considerations must be assessed if you are traveling abroad to conduct your research, or for any other reason, including what items you plan to take abroad, who you will be meeting with, and the type of actions you will be taking.

Please contact the Export Controls Office for any of these scenarios.

FOREIGN TALENT PROGRAM

A foreign talent program is any foreign state sponsored attempt to acquire U.S.-funded scientific research through recruitment programs that target scientists, engineers, academics, researchers, and entrepreneurs of all nationalities working or educated in the United States. The Department of Energy issued an order on 7 July 2019 with fairly clear explanations of Talents programs DOE O 486.1.

Participation in such a program must be disclosed on the FCOI form, as well as any relevant forms related to any federal funding that you may be receiving, and on future federal funding proposals. Association with a Talents Program could potentially lead to ineligibility to receive Federal funding for your research.

MALIGN FOREIGN TALENT RECRUITMENT PROGRAM (MFTRP)

The federal government's definition of a MFTRP or "malign" program includes three main elements: (1) Sponsored by a "country of concern" as defined by the Secretary of State, and currently (as of January 1, 2024) includes China, North Korea, the Russian Federation, and Iran; (2) There is some form compensation or benefit (which does not have to be monetary, including the promise of a future benefit including in-kind contributions or honorary titles); and (3) Includes one of more of the problematic indicators in the federal government's definition of a "malign" talent program.

Participation in a MFTRP is prohibited for researchers applying for or receiving federal funding.

EQUIPMENT USED IN RESEARCH

If you use the following equipment/goods in your research or the below is your area of research, please call the Director of Export Controls at 618-650-2476.

Wafer probing equipment 3B992.b.3

Wafer production align and expose equipment3B991.b.2.f

Wafers, semiconductor with function determined 3A001.a

Wafers, comprising multiple epitaxially grown layers 3C001

Wall skin friction transducers 9B008

War implement machinery, equipment, gear, and specially designed parts and accessories therefor..... 2B018

Water cannon systems for riot or crowd control, and "parts" and "components" "specially designed" therefor0A977

Water cannon systems "software" "specially designed" for the "development," "production" or "use" of commodities controlled by 0A9770D977

Water cannon systems "technology" "required" for the "development" or "production" of commodities controlled by 0A9770E977

Water (deep) fording kits for ground vehicles 0A606.e

Water jet cutting machines (CNC) 2B001.e.1.a

SIU CLEAN LAPTOP PROGRAM

All SIU travel to the below countries WILL REQUIRE that an Informational Technology Services clean laptop be used during SIU business travel to those countries. Your SIU issued laptop computer SHALL NOT be transported to any of the below countries.

Iran	Russia	Sudan	Belarus
Syria	China	Cuba	North Korea
Cambodia	Venezuela	Ukraine (Crimea Region)	

U.S. EXPORT CONTROL REGULATIONS

- EXPORT ADMINISTRATION REGULATIONS (EAR) by the U.S. Department of Commerce Bureau of Industry and Security (BIS)
- INTERNATIONAL TRAFFIC IN ARMS REGULATIONS (ITAR) by the U.S. Department of State Directorate of Defense Trade Controls (DDTC)
- FOREIGN ASSETS CONTROL REGULATIONS (FACR) by the U.S. Department of Treasury Office of Foreign Assets Control (OFAC)

SIU EXPORT CONTROLS CONTACT INFORMATION

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SIU EXPORT CONTROLS WEBSITE

SIU EXPORT CONTROL COMPLIANCE MANUAL

NEWSLETTER CONTENT REQUESTS

If you find any articles, research, or other information relevant to export controls, or have a specific topic you think would be a great addition to this newsletter, please submit your ideas to bjmartin@siu.edu.